



**District of Columbia Agencies' Compliance with
Fiscal Year 2015 Small Business Enterprise
Expenditure Goals through the 2nd Quarter of
Fiscal Year 2015**

May 21, 2015

Audit Team:

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A Report by the Office of the District of Columbia Auditor
Kathleen Patterson, District of Columbia Auditor

The Honorable Phil Mendelson, Chairman
Council of the District of Columbia
1350 Pennsylvania Avenue, NW, Suite 504
Washington, D.C. 20004

Letter Report: District of Columbia Agencies' Compliance with Fiscal Year 2015 Small Business Enterprise Expenditure Goals through the 2nd Quarter of Fiscal Year 2015.

Dear Chairman Mendelson:

The Office of the District of Columbia Auditor (ODCA) examined and assessed District of Columbia government agencies' compliance with fiscal year (FY) 2015 Small Business Enterprise (SBE) expenditure goals through the 2nd quarter of FY 2015 (January 1, 2015 through March 31, 2015).¹

Objectives, Scope and Methodology

The objectives of this examination were to determine whether:

1. District agencies met the required goal of procuring 50 percent of their expendable budgets with SBEs through the 2nd quarter of FY 2015;² and
2. District agencies complied with SBE goal establishment and expenditure reporting requirements.³

The examination covered the period January 1, 2015 through March 31, 2015. We did not conduct the examination as an audit as defined by the Government Accountability Office's Government Auditing Standards.

In conducting this examination, we reviewed 82 agencies, offices and programs⁴ that the Department of Small and Local Business Development (DSLBD) determined were required to (1) procure 50 percent of their expendable budget for goods and services from SBEs each fiscal year and (2) submit quarterly SBE expenditure reports, as well as an annual report, to DSLBD and ODCA. We reviewed appropriated budgets, expendable budgets, and reported SBE goals for each agency. We also verified vendors in DSLBD's CBE Online System and reviewed DSLBD's QuickBase data tracking system.

For 76 of the 82 agencies, we reviewed their SBE expenditures using the District's System of Accounting and Reporting (SOAR) and the Office of Contracting and Procurement's Purchase Card (P-Card) data. Six

¹ See D.C. Official Code § 2-218.41.

² Ibid.

³ §2-218.53(a) and (b).

⁴ DSLBD QuickBase Data Tracking System as of May 4, 2015.

of the 82 agencies do not record expenditures in SOAR, using financial systems independent of SOAR to record their expenditures. As a result, no quarterly expenditures could be retrieved from SOAR for these six agencies. Therefore, we were not able to independently verify the submitted expenditure data for the following agencies:

1. Events DC
2. Housing Finance Agency
3. Not-for-Profit Hospital Corporation (United Medical Center)
4. D.C. Public Charter School Board
5. D.C. Retirement Board
6. The University of the District of Columbia

For the agencies that submitted required quarterly reports, we verified that the submitted expenditures were spent with certified SBEs at the time of the expenditure.

Background

In the 2nd quarter of FY 2015, the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005 (the Act), as amended, stated that “it shall be the goal and responsibility of the Department [of Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in and serving the District of Columbia, particularly certified business enterprises, with the intended goals of:

- (A) Stimulating and expanding the local tax base of the District of Columbia;
- (B) Increasing the number of viable employment opportunities for District residents; and
- (C) Extending economic prosperity to local business owners, their employees, and the communities they serve.”

The Act tasks DSLBD with providing “advocacy, business development programs, and technical assistance offerings” that will “maximize opportunities for certified business enterprises to participate in:

- (A) The District's contracting and procurement process;
- (B) The District's economic development activities; and
- (C) Federal and private sector business opportunities that occur in the District of Columbia.”⁵

The Act also establishes the following categories of businesses: Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs).⁶

The Act requires each District agency to contract 50 percent of the dollar volume of its goods and services to SBEs each fiscal year.⁷ Additionally, it requires agencies to submit quarterly SBE expenditure reports to DSLBD and ODCA. The Act contains no expenditure requirements for LBEs, DBEs, DZEs, ROBs, LRBs, LMEs, or VOBs.

To establish FY 2015 SBE goals, DSLBD required each agency to access QuickBase, DSLBD’s electronic database containing all budget, SBE goal, and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in QuickBase, the items in their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD’s approval, the QuickBase system subtracted the exclusions from the agency’s appropriated budget to determine the agency’s “expendable budget,” that is, the amount of the total budget that could be considered discretionary and thus appropriate to use in determining the agency’s SBE goal. To determine the SBE goal, the QuickBase system multiplied the expendable budget by 50 percent.

⁵ See D.C. Official Code §2-218.13(a).

⁶ See D.C. Official Code §2-218.31 et seq.

⁷ See D.C. Official Code § 2-218.41.

Results of the Auditor's Examination

According to DSLBD's QuickBase database as of May 4, 2015, the 82 agencies we assessed had a total FY 2015 reported appropriated budget of \$10,921,461,944 and a total reported expendable budget for goods and services of \$746,924,708. Based on the expendable budget of \$746,924,708, the FY 2015 SBE expenditure goal for the 82 agencies was \$373,462,354.⁸

The 82 agencies' FY 2015 reported appropriated budgets, expendable budgets and reported SBE goals are listed in Appendix I.

2nd Quarter Results

We found that the 82 agencies, as a whole, spent a total of \$77,979,967 with SBEs through the 2nd quarter of FY 2015 or 21 percent towards the \$373,462,354 total FY 2015 SBE expenditure goal for all of the 82 agencies. The FY 2015 2nd quarter SBE expenditures for each of the 82 agencies are listed in Appendix I.

Total FY 2015 expenditures of the 82 agencies, through the 2nd quarter of FY 2015, on Local Business Enterprises, Small Business Enterprises, Disadvantaged Business Enterprises, Local Business Enterprises with Principle Offices Located in an Enterprise Zone, Resident-Owned Businesses, Longtime Resident Businesses, Local Manufacturing Enterprises, and Veteran Owned Businesses are presented in Appendix II.

According to DSLBD's QuickBase database, as of May 4, 2015, 10 agencies did not submit the required quarterly expenditure report for the 2nd quarter of FY 2015. All agencies that failed to submit the required expenditure report for the 2nd quarter of FY 2015 are listed in Appendix III.

One of the ten agencies that did not submit the required quarterly expenditure report for the 2nd quarter of FY 2015 (the Not-for-Profit Hospital Corporation), does not report expenditures through SOAR, leaving the expenditures toward their SBE expenditure goal of \$56,000,000 unexamined by either ODCa or DSLBD. As a result, there is an incomplete presentation of the District's overall SBE goal attainment.

Of the 82 agencies, seven agencies met or exceeded their reported annual FY 2015 SBE expenditure goals by the end of the 2nd quarter of FY 2015, raising questions about the validity of the reported expendable budgets of those seven agencies. The seven agencies that exceeded their FY 2015 expenditure goal by the end of the 2nd quarter are presented in Figure 1.

⁸ All numbers are rounded to the nearest dollar.

Figure 1

Agencies that Exceeded their FY 2015 SBE Expenditure Goal by the end of the 2nd Quarter

	Agency	1 st and 2 nd Quarter SBE Expenditures	Reported SBE Goal	% of SBE Goal Achieved
1	Tenant Advocate, Office of the	\$15,464	\$2,293	674%
2	Risk Management, D.C. Office of	\$23,284	\$11,880	196%
3	State Superintendent of Education, Office of the	\$1,580,100	\$821,555	192%
4	Contract Appeals Board	\$18,385	\$10,500	175%
5	Mayor, Executive Office of the	\$120,531	\$71,173	169%
6	Contracting and Procurement, Office of	\$171,346	\$105,000	163%
7	Child and Family Services Agency	\$1,037,883	\$702,479	148%

Recommendations:

Within 30 days of the release of this report:

1. DSLBD should notify (1) the Chairman of the Council Committee responsible for providing oversight of the non-compliant agency, and (2) the Mayor that the ten agencies listed in this report did not submit the required expenditure report for the 2nd quarter of FY 2015.
2. DSLBD should review the status of the agencies that did not submit expenditure reports and do not record expenditures in SOAR to determine their FY 2015 SBE expenditures. DSLBD should also develop and implement an accurate method to capture expenditures for the six agencies that do not record expenditures in SOAR.
3. DSLBD should review the SBE goals, procurement plans, and purchases of the 7 agencies that exceeded their FY 2015 goals by the end of the 2nd quarter of FY 2015.

Conclusion

Based on our examination, we found that 82 agencies collectively spent \$77,979,967 with SBEs through the 2nd quarter of FY 2015 or 21 percent of the cumulative reported SBE goal of \$373,462,354.

Sincerely,



Kathleen Patterson
District of Columbia Auditor

Appendices

Appendix I

Fiscal Year 2015 2nd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	Total 1 st and 2 nd Quarter SBE Expenditures	% of SBE Goal Achieved
1	Administrative Hearings, Office of	\$10,404,000	\$603,907	\$301,954	\$54,940	\$26,480	\$81,420	27%
2	Advisory Neighborhood Commissions, Office of	\$924,000	\$7,288	\$3,644	\$0	\$0	\$0	0%
3	Aging, Office on	\$42,018,000	\$4,348,714	\$2,174,357	\$417,787	\$658,852	\$1,076,639	50%
4	Alcoholic Beverage Regulation Administration	\$7,446,000	\$453,962	\$226,981	\$2,188	\$45,896	\$48,084	21%
5	Arts and Humanities, Commission on	\$16,460,000	\$1,233,056	\$616,528	\$79,597	\$140,954	\$220,551	36%
6	Asian and Pacific Islander Affairs, Office on	\$1,175,559	\$304,532	\$152,266	\$771	\$5,744	\$6,515	4%
7	Attorney General for the District of Columbia, Office of the	\$109,631,000	\$3,126,418	\$1,563,209	\$57,931	\$102,396	\$160,327	10%
8	Auditor, Office of the D.C.	\$4,566,000	\$361,397	\$180,698	\$725	\$2,105	\$2,830	2%
9	Behavioral Health, Department of	\$231,857,257	\$15,999,214	\$7,999,607	\$1,018,198	\$1,866,523	\$2,884,721	36%
10	Cable Television, Office of	\$9,444,066	\$420,072	\$210,036	\$13,257	\$24,271	\$37,528	18%

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	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	Total 1 st and 2 nd Quarter SBE Expenditures	% of SBE Goal Achieved
11	Campaign Finance, Office of	\$2,798,000	\$62,711	\$31,355	\$929	\$3,335	\$4,264	14%
12	Captive Insurance Agency	\$1,850,000	\$9,855	\$4,928	\$0	\$0	\$0	0%
13	Chief Financial Officer, Office of the	\$182,498,000	\$55,467,730	\$27,733,865	\$1,448,934	\$1,992,598	\$3,441,532	12%
14	Chief Medical Examiner, Office of the	\$9,519,000	\$611,924	\$305,962	\$36,808	\$119,403	\$156,211	51%
15	Chief Technology Officer, Office of the	\$124,723,000	\$48,401,067	\$24,200,534	\$1,203,615	\$2,818,905	\$4,022,520	17%
16	Child and Family Services Agency	\$246,266,239	\$1,404,958	\$702,479	\$177,153	\$860,730	\$1,037,883	148%
17	City Administrator, Office of the	\$4,052,886	\$97,000	\$48,500	\$8,389	\$10,628	\$19,017	39%
18	Consumer & Regulatory Affairs, Department of	\$51,463,000	\$14,821,474	\$7,410,737	\$518,854	\$1,639,326	\$2,158,180	29%
19	Contract Appeals Board	\$1,426,000	\$21,000	\$10,500	\$3,254	\$15,131	\$18,385	175%
20	Contracting and Procurement, Office of	\$18,145,000	\$210,000	\$105,000	\$54,834	\$116,512	\$171,346	163%

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	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	Total 1 st and 2 nd Quarter SBE Expenditures	% of SBE Goal Achieved
21	Corrections, Department of	\$154,279,000	\$19,520,864	\$9,760,432	\$873,092	\$881,781	\$1,754,873	18%
22	Disability Rights, Office of	\$1,650,000	\$63,406	\$31,703	\$1,697	\$99	\$1,796	6%
23	Disability Services, Department on	\$158,051,000	\$559,466	\$279,733	\$86,201	\$178,808	\$265,009	95%
24	Education, Deputy Mayor for	\$6,917,000	\$379,587	\$189,793	\$0	\$19,915	\$19,915	10%
25	Elections, Board of	\$7,240,000	\$1,222,836	\$611,418	\$306,140	\$39,778	\$345,918	57%
26	Employee Appeals, Office of	\$1,570,000	\$1,568,430	\$784,215	\$8,698	\$16,131	\$24,829	3%
27	Employees' Compensation Fund	\$20,221,000	\$210,614	\$105,307	\$33,970	\$89,410	\$123,380	117%
28	Employment Services, Department of	\$156,174,000	\$15,888,800	\$7,944,400	\$97,482	\$185,300	\$282,782	4%
29	Environment, District Department of the	\$115,058,000	\$2,000,526	\$1,000,263	\$38,817	\$153,846	\$192,663	19%
30	Ethics and Government Accountability, D.C. Board of	\$1,498,000	\$22,447	\$11,224	\$1,626	\$388	\$2,014	18%

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	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	Total 1 st and 2 nd Quarter SBE Expenditures	% of SBE Goal Achieved
31	Events DC ⁹	\$146,577,200	\$43,583,403	\$21,791,702	\$1,195,523	\$705,748	\$1,901,271	9%
32	Finance and Resource Management, Office of	\$35,348,000	\$58,770	\$29,385	\$2,200	\$1,978	\$4,178	14%
33	Fire and Emergency Medical Services Department	\$227,999,000	\$16,533,410	\$8,266,705	\$112,351	\$420,034	\$532,385	6%
34	Forensic Sciences, Department of	\$15,763,000	\$1,389,493	\$694,747	\$12,234	\$27,490	\$39,724	6%
35	General Services , Department of	\$456,810,000	\$152,748,295	\$76,374,148	\$1,358,190	\$3,108,726	\$4,466,916	6%
36	Health and Human Services, Deputy Mayor for	\$1,172,000	\$264,000	\$132,000	\$9,085	\$49,974	\$59,059	45%
37	Health Benefit Exchange Authority, D.C.	\$28,751,000	\$17,842,508	\$8,921,254	\$1,725,159	\$6,070,245	\$7,795,404	87%
38	Health Care Finance, Department of	\$2,954,677,000	\$49,559,069	\$24,779,535	\$1,050,721	\$2,315,944	\$3,366,665	14%
39	Health, Department of	\$269,404,000	\$9,813,876	\$4,906,938	\$509,746	\$1,766,419	\$2,276,165	46%

⁹ Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

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Fiscal Year 2015 2nd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	Total 1 st and 2 nd Quarter SBE Expenditures	% of SBE Goal Achieved
40	Homeland Security and Emergency Management Agency	\$114,895,000	\$6,008,684	\$3,004,342	\$189,945	\$323,808	\$513,753	17%
41	Housing Finance Agency ¹⁰	\$9,662,000	\$2,205,839	\$1,102,920	\$0	\$36,254	\$36,254	3%
42	Housing and Community Development, Department of	\$188,627,000	\$1,129,155	\$564,578	\$94,646	\$700,603	\$795,249	141%
43	Human Resources, D.C. Department of	\$13,077,000	\$3,866,591	\$1,933,296	\$54,087	\$156,706	\$210,793	11%
44	Human Rights, Office of	\$2,967,000	\$157,977	\$78,989	\$26,106	\$7,959	\$34,065	43%
45	Human Services, Department of	\$423,621,000	\$6,714,231	\$3,357,115	\$80,314	\$359,171	\$439,485	13%
46	Inspector General, Office of the	\$16,920,000	\$312,133	\$156,067	\$30,313	\$27,216	\$57,529	37%
47	Insurance, Securities and Banking, Department of	\$22,118,000	\$329,843	\$164,922	\$6,013	\$33,726	\$39,739	24%
48	Latino Affairs, Office on	\$2,969,000	\$120,829	\$60,415	\$0	\$6,022	\$6,022	10%

¹⁰ The Housing Finance Agency has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to obtain expenditure data for the Housing Finance Agency.

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Fiscal Year 2015 2nd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	Total 1 st and 2 nd Quarter SBE Expenditures	% of SBE Goal Achieved
49	Lottery & Charitable Games Control Board, D.C.	\$242,156,000	\$10,316,943	\$5,158,472	\$984,396	\$1,670,855	\$2,655,251	51%
50	Mayor, Executive Office of the	\$12,233,000	\$142,345	\$71,173	\$52,450	\$68,081	\$120,531	169%
51	Metropolitan Police Department	\$534,238,000	\$9,890,706	\$4,945,353	\$306,134	\$517,755	\$823,889	17%
52	Motion Picture and Television Development, Office of	\$3,699,820	\$107,625	\$53,813	\$2,684	\$17,718	\$20,402	38%
53	Motor Vehicles, Department of	\$45,672,000	\$2,110,923	\$1,055,461	\$70,123	\$170,188	\$240,311	23%
54	National Guard, D.C.	\$12,704,000	\$1,485,927	\$742,964	\$0	\$94,388	\$94,388	13%
55	Not-for-Profit Hospital Corporation (United Medical Center) ¹¹	\$112,000,000	\$112,000,000	\$56,000,000	\$0	\$0	\$0	0%
56	Parks and Recreation, Department of	\$86,517,000	\$3,960,113	\$1,980,057	\$30,975	\$56,526	\$87,501	4%

¹¹ The Not-for-Profit Hospital Corporation (United Medical Center) has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to obtain expenditure data for the Not-for-Profit Hospital Corporation (United Medical Center). The Not-for-Profit Hospital Corporation (United Medical Center) did not submit FY 2015 expenditure reports for quarters 1 and 2.

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Fiscal Year 2015 2nd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	Total 1 st and 2 nd Quarter SBE Expenditures	% of SBE Goal Achieved
57	People's Counsel, Office of the	\$6,911,000	\$278,959	\$139,479	\$62,220	\$136,306	\$198,526	142%
58	Planning and Economic Development, Office of the Deputy Mayor for	\$98,846,000	\$1,792,297	\$896,149	\$84,547	\$114,204	\$198,751	22%
59	Planning, Office of	\$10,399,000	\$960,165	\$480,083	\$13,277	\$27,227	\$40,504	8%
60	Police Complaints, Office of	\$2,241,000	\$60,590	\$30,295	\$5,799	\$4,070	\$9,869	33%
61	Public Charter School Board, District of Columbia ¹²	\$6,741,000	\$877,802	\$438,901	\$22,957	\$42,642	\$65,599	15%
62	Public Employee Relations Board	\$1,228,000	\$19,000	\$9,500	\$434	\$5,067	\$5,501	58%
63	Public Library, District of Columbia	\$90,198,000	\$9,997,067	\$4,998,533	\$584,505	\$806,303	\$1,390,808	28%
64	Public Safety and Justice, Office of the Deputy Mayor for	\$28,290,000	\$166,366	\$83,183	\$10,775	\$11,635	\$22,410	27%
65	Public Schools, D.C.	\$1,300,566,000	\$17,729,870	\$8,864,935	\$1,541,446	\$1,723,651	\$3,265,097	37%

¹² The District of Columbia Public Charter School Board has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the District of Columbia Public Charter School Board.

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Fiscal Year 2015 2nd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	Total 1 st and 2 nd Quarter SBE Expenditures	% of SBE Goal Achieved
66	Public Service Commission	\$12,549,000	\$749,082	\$374,541	\$60,706	\$165,809	\$226,515	60%
67	Public Works, Department of	\$160,555,000	\$11,386,951	\$5,693,476	\$1,098,504	\$4,208,301	\$5,306,805	93%
68	Real Property Tax Appeals Commission	\$1,749,000	\$196,069	\$98,035	\$19,908	\$57,204	\$77,112	79%
69	Retirement Board, D.C. ¹³	\$30,338,000	\$409,972	\$204,986	\$225,927	\$31,629	\$257,556	126%
70	Risk Management, D.C. Office of	\$3,124,189	\$23,760	\$11,880	\$1,774	\$21,510	\$23,284	196%
71	Secretary, Office of the	\$24,015,000	\$100,976	\$50,488	\$9,958	\$29,336	\$39,294	78%
72	Small and Local Business Development, Department of	\$8,846,000	\$622,181	\$311,091	\$9,582	\$19,591	\$29,173	9%
73	Special Education Transportation	\$109,525,000	\$6,230,466	\$3,115,233	\$1,997	\$144,880	\$146,877	5%
74	State Superintendent of Education, Office of the	\$443,270,000	\$1,643,110	\$821,555	\$823,409	\$756,691	\$1,580,100	192%
75	Taxicab Commission, D.C.	\$8,470,000	\$1,653,910	\$826,955	\$21,685	\$252,254	\$273,939	33%

¹³ The D.C. Retirement Board has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the D.C. Retirement Board.

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Fiscal Year 2015 2nd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	Total 1 st and 2 nd Quarter SBE Expenditures	% of SBE Goal Achieved
76	Tenant Advocate, Office of the	\$2,392,000	\$4,586	\$2,293	\$0	\$15,464	\$15,464	674%
77	Transportation, Department of	\$567,062,000	\$7,903,554	\$3,951,777	\$278,650	\$345,705	\$624,355	16%
78	Unified Communications, Office of	\$46,760,000	\$2,470,701	\$1,235,350	\$2,219	\$235,231	\$237,450	19%
79	University of the District of Columbia ¹⁴	\$168,055,000	\$35,806,584	\$17,903,292	\$1,794,531	\$16,233,729	\$18,028,260	101%
80	Veterans' Affairs, Office of	\$416,000	\$10,366	\$5,183	\$0	\$0	\$0	0%
81	Youth Rehabilitation Services, Department of	\$106,284,728	\$3,630,192	\$1,815,096	\$333,190	\$354,293	\$687,483	38%
82	Zoning, Office of	\$2,729,000	\$144,190	\$72,095	\$18,272	\$32,902	\$51,174	71%
		\$10,921,461,944	\$746,924,708	\$373,462,354	\$21,475,554	\$56,504,413	\$77,979,967	21%

SOURCE: QuickBase, SOAR and P-Card data as of May 4, 2015. CBE Online data as of May 7, 2015. All numbers are rounded to the nearest dollar.

¹⁴ The University of the District of Columbia has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the University of the District of Columbia.

Appendix II

Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
1	Administrative Hearings, Office of	\$81,420	\$81,420	\$30,685	\$50,735	\$46,752	\$50,735	\$0	\$0
2	Advisory Neighborhood Commissions, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
3	Aging, Office on	\$1,076,639	\$1,076,639	\$1,057,871	\$1,057,871	\$23,395	\$12,906	\$0	\$0
4	Alcoholic Beverage Regulation Administration	\$48,084	\$48,084	\$6,078	\$48,084	\$43,287	\$42,006	\$0	\$0
5	Arts and Humanities, Commission on	\$220,551	\$220,551	\$54,222	\$215,751	\$53,414	\$163,825	\$0	\$0
6	Asian and Pacific Islander Affairs, Office on	\$6,515	\$6,515	\$4,315	\$4,315	\$0	\$0	\$0	\$0
7	Attorney General for the District of Columbia, Office of the	\$160,327	\$160,327	\$60,748	\$76,426	\$32,783	\$68,353	\$0	\$17,361
8	Auditor, Office of the D.C.	\$2,830	\$2,830	\$0	\$2,457	\$2,590	\$0	\$0	\$0

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Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
9	Behavioral Health, Department of	\$2,884,721	\$2,884,721	\$452,421	\$795,675	\$532,721	\$453,208	\$0	\$41,115
10	Cable Television, Office of	\$37,528	\$37,528	\$35,918	\$34,758	\$34,758	\$0	\$0	\$0
11	Campaign Finance, Office of	\$4,264	\$4,264	\$4,264	\$4,264	\$4,264	\$0	\$0	\$0
12	Captive Insurance Agency	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
13	Chief Financial Officer, Office of the	\$3,473,371	\$3,441,532	\$1,634,920	\$1,714,334	\$1,053,485	\$185,256	\$0	\$27,329
14	Chief Medical Examiner, Office of the	\$156,211	\$156,211	\$74,317	\$155,976	\$70,571	\$81,517	\$0	\$20,640
15	Chief Technology Officer, Office of the	\$4,022,520	\$4,022,520	\$3,958,944	\$3,555,825	\$1,885,915	\$10,911	\$0	\$0
16	Child and Family Services Agency	\$1,037,883	\$1,037,883	\$578,833	\$655,861	\$664,425	\$45,757	\$0	\$0
17	City Administrator, Office of the	\$19,017	\$19,017	\$16,017	\$6,427	\$18,838	\$6,466	\$0	\$0

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Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
18	Consumer & Regulatory Affairs, Department of	\$2,158,180	\$2,158,180	\$1,448,842	\$951,123	\$1,371,312	\$870,176	\$0	\$0
19	Contract Appeals Board	\$18,385	\$18,385	\$18,300	\$18,385	\$18,385	\$0	\$0	\$0
20	Contracting and Procurement, Office of	\$171,346	\$171,346	\$88,923	\$94,906	\$20,131	\$80,207	\$0	\$0
21	Corrections, Department of	\$1,754,873	\$1,754,873	\$347,023	\$1,111,639	\$513,585	\$477,520	\$0	\$9,998
22	Disability Rights, Office of	\$1,796	\$1,796	\$99	\$1,074	\$975	\$0	\$0	\$0
23	Disability Services, Department on	\$265,009	\$265,009	\$172,626	\$188,405	\$238,410	\$5,029	\$0	\$59,284
24	Education, Deputy Mayor for	\$19,915	\$19,915	\$19,915	\$10,155	\$9,760	\$0	\$0	\$0
25	Elections, Board of	\$345,918	\$345,918	\$180,995	\$279,601	\$172,482	\$63,733	\$0	\$14,093
26	Employee Appeals, Office of	\$24,829	\$24,829	\$0	\$500	\$500	\$0	\$0	\$2,521

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Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
27	Employees' Compensation Fund	\$123,380	\$123,380	\$23,103	\$97,533	\$120,636	\$2,744	\$0	\$0
28	Employment Services, Department of	\$282,782	\$282,782	\$175,293	\$79,354	\$144,179	\$107,567	\$0	\$26,928
29	Environment, District Department of the	\$194,663	\$192,663	\$90,603	\$138,231	\$97,359	\$68,379	\$0	\$0
30	Ethics and Government Accountability, D.C. Board of	\$2,014	\$2,014	\$0	\$1,935	\$1,547	\$0	\$0	\$0
31	Events DC ¹⁵	\$1,976,331	\$1,901,271	\$1,020,420	\$914,863	\$394,199	\$776,208	\$0	\$1,183
32	Finance and Resource Management, Office of	\$4,178	\$4,178	\$4,178	\$4,178	\$4,178	\$0	\$0	\$0
33	Fire and Emergency Medical Services Department	\$545,619	\$532,385	\$275,732	\$506,085	\$120,337	\$398,348	\$0	\$0

¹⁵ Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

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Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
34	Forensic Sciences, Department of	\$39,724	\$39,724	\$34,550	\$13,499	\$34,257	\$3,823	\$0	\$0
35	General Services, Department of	\$4,587,203	\$4,466,916	\$3,733,945	\$4,044,735	\$1,910,519	\$1,110,219	\$24,669	\$878
36	Health and Human Services, Deputy Mayor for	\$59,059	\$59,059	\$55,099	\$55,099	\$55,099	\$0	\$0	\$0
37	Health Benefit Exchange Authority, D.C.	\$7,795,404	\$7,795,404	\$7,777,451	\$4,019,276	\$5,758,107	\$16,350	\$0	\$0
38	Health Care Finance, Department of	\$3,366,665	\$3,366,665	\$2,740,014	\$3,062,436	\$475,016	\$626,651	\$0	\$0
39	Health, Department of	\$2,276,165	\$2,276,165	\$1,250,045	\$1,451,601	\$879,632	\$1,206,936	\$0	\$52,266
40	Homeland Security and Emergency Management Agency	\$513,753	\$513,753	\$412,674	\$421,130	\$503,903	\$9,850	\$0	\$73,525
41	Housing Finance Agency ¹⁶	\$36,254	\$36,254	\$0	\$24,833	\$24,833	\$27,038	\$0	\$0

¹⁶ The Housing Finance Agency has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to obtain expenditure data for the Housing Finance Agency.

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Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
42	Housing and Community Development, Department of	\$795,249	\$795,249	\$295,596	\$182,832	\$389,566	\$337,308	\$0	\$3,832
43	Human Resources, D.C. Department of	\$210,793	\$210,793	\$154,598	\$177,319	\$59,639	\$25,129	\$0	\$0
44	Human Rights, Office of	\$34,065	\$34,065	\$25,019	\$9,165	\$25,562	\$6,703	\$0	\$0
45	Human Services, Department of	\$439,485	\$439,485	\$312,629	\$357,615	\$241,169	\$139,767	\$0	\$4,830
46	Inspector General, Office of the	\$57,529	\$57,529	\$47,343	\$43,804	\$23,353	\$3,288	\$0	\$0
47	Insurance, Securities and Banking, Department of	\$39,739	\$39,739	\$38,678	\$27,338	\$31,674	\$2,275	\$0	\$0
48	Latino Affairs, Office on	\$6,022	\$6,022	\$6,022	\$6,022	\$6,022	\$0	\$0	\$0
49	Lottery & Charitable Games Control Board, D.C.	\$2,655,251	\$2,655,251	\$62,786	\$36,494	\$5,402	\$2,633,916	\$0	\$0
50	Mayor, Executive Office of the	\$120,531	\$120,531	\$107,017	\$15,892	\$55,692	\$5,202	\$0	\$0

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Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
51	Metropolitan Police Department	\$823,889	\$823,889	\$256,219	\$673,983	\$294,023	\$544,522	\$0	\$1,792
52	Motion Picture and Television Development, Office of	\$20,402	\$20,402	\$18,822	\$1,368	\$18,902	\$0	\$0	\$0
53	Motor Vehicles, Department of	\$240,311	\$240,311	\$36,426	\$28,872	\$152,176	\$42,964	\$0	\$682
54	National Guard, D.C.	\$94,388	\$94,388	\$44,994	\$89,239	\$10,270	\$49,309	\$0	\$0
55	Not-for-Profit Hospital Corporation (United Medical Center) ¹⁷	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
56	Parks and Recreation, Department of	\$87,501	\$87,501	\$84,092	\$85,101	\$72,229	\$3,409	\$2,400	\$0
57	People's Counsel, Office of the	\$204,022	\$198,526	\$142,039	\$155,603	\$122,777	\$30,367	\$0	\$0

¹⁷ The Not-for-Profit Hospital Corporation (United Medical Center) has independent procurement authority and does not record expenditures in SOAR. The Not-for-Profit Hospital Corporation (United Medical Center) did not submit FY 2015 expenditure reports for quarters 1 and 2. Therefore, we were not able to obtain expenditure data for the Not-for-Profit Hospital Corporation (United Medical Center).

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Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
58	Planning and Economic Development, Office of the Deputy Mayor for	\$198,751	\$198,751	\$121,655	\$163,950	\$75,922	\$23,110	\$0	\$0
59	Planning, Office of	\$40,504	\$40,504	\$19,293	\$22,680	\$17,911	\$2,830	\$0	\$14,273
60	Police Complaints, Office of	\$9,869	\$9,869	\$5,647	\$3,138	\$1,534	\$893	\$0	\$0
61	Public Charter School Board, District of Columbia ¹⁸	\$65,599	\$65,599	\$5,395	\$0	\$0	\$24	\$0	\$0
62	Public Employee Relations Board	\$5,501	\$5,501	\$0	\$736	\$736	\$0	\$0	\$4,765
63	Public Library, District of Columbia	\$1,391,429	\$1,390,808	\$724,199	\$1,370,955	\$90,046	\$77,061	\$0	\$12,630
64	Public Safety and Justice, Office of the Deputy Mayor for	\$22,410	\$22,410	\$19,838	\$19,838	\$5,567	\$0	\$0	\$0

¹⁸ The District of Columbia Public Charter School Board has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the District of Columbia Public Charter School Board.

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Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
65	Public Schools, D.C.	\$3,265,097	\$3,265,097	\$2,123,433	\$2,553,917	\$1,158,019	\$1,005,939	\$0	\$347,989
66	Public Service Commission	\$226,515	\$226,515	\$91,079	\$217,705	\$92,488	\$57,859	\$0	\$0
67	Public Works, Department of	\$5,453,721	\$5,306,805	\$2,151,538	\$5,136,420	\$847,143	\$4,132,108	\$0	\$68,821
68	Real Property Tax Appeals Commission	\$77,112	\$77,112	\$76,082	\$77,112	\$77,112	\$0	\$0	\$0
69	Retirement Board, D.C. ¹⁹	\$257,556	\$257,556	\$221,944	\$194,651	\$62,905	\$23,907	\$0	\$11,705
70	Risk Management, D.C. Office of	\$23,284	\$23,284	\$23,284	\$0	\$0	\$0	\$0	\$0
71	Secretary, Office of the	\$39,294	\$39,294	\$29,129	\$1,229	\$22,516	\$6,613	\$0	\$0
72	Small and Local Business Development, Department of	\$29,173	\$29,173	\$4,788	\$21,917	\$17,661	\$0	\$0	\$0
73	Special Education Transportation	\$277,364	\$146,877	\$46,834	\$91,636	\$41,134	\$100,043	\$0	\$0

¹⁹ The D.C. Retirement Board has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the D.C. Retirement Board.

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Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
74	State Superintendent of Education, Office of the	\$1,580,100	\$1,580,100	\$1,462,635	\$197,665	\$1,020,290	\$359,796	\$0	\$0
75	Taxicab Commission, D.C.	\$273,939	\$273,939	\$196,750	\$272,659	\$16,060	\$75,979	\$0	\$0
76	Tenant Advocate, Office of the	\$15,464	\$15,464	\$0	\$15,464	\$0	\$15,464	\$0	\$0
77	Transportation, Department of	\$627,247	\$624,355	\$371,879	\$439,108	\$12,745	\$127,821	\$0	\$0
78	Unified Communications, Office of	\$237,450	\$237,450	\$164,814	\$234,964	\$1,952	\$72,102	\$0	\$0
79	University of the District of Columbia ²⁰	\$18,119,867	\$18,028,260	\$3,870,488	\$4,663,038	\$14,387,368	\$1,815,367	\$0	\$2,506,147
80	Veterans' Affairs, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
81	Youth Rehabilitation Services, Department of	\$687,483	\$687,483	\$450,653	\$395,924	\$78,695	\$183,733	\$0	\$0

²⁰ The University of the District of Columbia has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the University of the District of Columbia.

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Fiscal Year 2015 2nd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
82	Zoning, Office of	\$51,174	\$51,174	\$3,718	\$30,966	\$25,307	\$28,118	\$0	\$14,906
	TOTAL	\$78,600,406	\$77,979,967	\$41,656,738	\$43,885,654	\$36,898,106	\$18,904,644	\$27,069	\$3,339,493

SOURCE: SOAR, P-Card and CBE Online data as of May 4, 2015. All numbers are rounded to the nearest dollar

Appendix IIIAgencies That Failed to Submit 2nd Quarter FY 2015 Expenditure Reports, as of May 13, 2015

	Agency	1st Quarter Report Received	2nd Quarter Report Received
1	Advisory Neighborhood Commissions, Office of	No	No
2	Campaign Finance, Office of	Yes	No
3	Captive Insurance Agency	No	Yes
4	Education, Deputy Mayor for	No	No
5	Health and Human Services, Deputy Mayor for	No	No
6	Not-for-Profit Hospital Corporation (United Medical Center)	No	No
7	Public Safety and Justice, Office of the Deputy Mayor for	No	No
8	Taxicab Commission, D.C.	No	No
9	Tenant Advocate, Office of the	No	Yes
10	Special Education Transportation	Yes	No