



**District of Columbia Agencies' Compliance
with Fiscal Year 2014 Small Business
Enterprise Expenditure Goals**

March 30, 2015

Audit Team:

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A Report by the Office of the District of Columbia Auditor
Kathleen Patterson, District of Columbia Auditor

The Honorable Phil Mendelson, Chairman
Council of the District of Columbia
1350 Pennsylvania Avenue, NW, Suite 504
Washington, D.C. 20004

Letter Report: District of Columbia Agencies' Compliance with Fiscal Year 2014 Small Business Enterprise Expenditure Goals

Dear Chairman Mendelson:

The Office of the District of Columbia Auditor (ODCA) examined and assessed District of Columbia government agencies' compliance with fiscal year (FY) 2014 Small Business Enterprise (SBE) expenditure goals (October 1, 2013 through September 30, 2014).¹

Objectives, Scope and Methodology

The objectives of this examination were to determine whether:

1. District agencies met the required goal of procuring 50 percent of their expendable budgets with SBEs in FY 2014;² and
2. District agencies complied with SBE goal establishment and expenditure reporting requirements.³

The examination covered the period October 1, 2013 through September 30, 2014. We did not conduct the examination as an audit as defined by the Government Accountability Office's Government Auditing Standards.

In conducting this examination, we reviewed 82 agencies, offices or programs⁴ that the Department of Small and Local Business Development (DSLBD) determined were required to (1) procure 50 percent of their expendable budget for goods and services from SBEs each fiscal year and (2) submit quarterly SBE expenditure reports as well as an annual report to DSLBD and ODCA. We reviewed appropriated budgets, expendable budgets, and reported SBE goals for each agency. We also verified vendors in DSLBD's CBE Online System and reviewed DSLBD's QuickBase data tracking system.

¹ See D.C. Official Code § 2-218.41. The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law prior to that amendment.

² Ibid.

³ See D.C. Official Code §2-218.53(a) and (b). The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law prior to that amendment.

⁴ DSLBD QuickBase Data Tracking System and discussion with DSLBD staff, as of March 2, 2015.

For 76 of the 82 agencies, we reviewed SBE expenditures using the District's System of Accounting and Reporting (SOAR) and the Office of Contracting and Procurement's Purchase Card (P-Card) data. Six of the 82 agencies do not record expenditures in SOAR, using financial systems independent of SOAR to record their expenditures. As a result, no quarterly expenditures could be retrieved from SOAR for these six agencies. Therefore, we were not able to independently verify the submitted expenditure data for the following agencies:

1. Events DC
2. Housing Finance Agency
3. Not-for-Profit Hospital Corporation (United Medical Center)
4. D.C. Public Charter School Board
5. D.C. Retirement Board
6. The University of the District of Columbia

For the agencies that submitted required quarterly reports, we verified that the submitted expenditures were spent with certified SBEs at the time of the expenditure.

Background

In FY 2014⁵, the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005 (the Act), as amended, stated that “it shall be the goal and responsibility of the Department [of Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in and serving the District of Columbia, particularly certified business enterprises, with the intended goals of:

- (A) Stimulating and expanding the local tax base of the District of Columbia;
- (B) Increasing the number of viable employment opportunities for District residents; and
- (C) Extending economic prosperity to local business owners, their employees, and the communities they serve.”

The Act tasked DSLBD with providing “advocacy, business development programs, and technical assistance offerings” that will “maximize opportunities for certified business enterprises to participate in:

- (A) The District's contracting and procurement process;
- (B) The District's economic development activities; and
- (C) Federal and private sector business opportunities that occur in the District of Columbia.”⁶

The Act also established the following categories of businesses: Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs).⁷

The Act required each District agency to contract 50 percent of the dollar volume of its goods and services to SBEs each fiscal year.⁸ Additionally, it required agencies to submit quarterly SBE expenditure reports to DSLBD and ODCA.⁹ The Act contained no expenditure requirements related to LBEs, DBEs, DZEs, ROBs, LRBs, LMEs, or VOBs.

To establish FY 2014 SBE goals, DSLBD required each agency to access QuickBase, DSLBD's electronic database containing all budget, SBE goal, and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in QuickBase, the items in their

⁵ The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law prior to that amendment.

⁶ See D.C. Official Code §2-218.13(a).

⁷ See D.C. Official Code §2-218.31 et seq. The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law prior to that amendment.

⁸ See D.C. Official Code § 2-218.41. The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law prior to that amendment.

⁹ See D.C. Official Code §2-218.53(a) and (b). The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law prior to that amendment.

appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD's approval, the QuickBase system subtracted the exclusions from the agency's appropriated budget to determine the agency's "expendable budget," that is, the amount of the total budget that could be considered discretionary and thus appropriate to use in determining the agency's SBE goal. To determine the SBE goal, the QuickBase system multiplied the expendable budget by 50 percent.

Results of the Auditor's Examination

According to DSLBD's QuickBase database as of March 2, 2015, the 82 agencies we assessed had a total FY 2014 reported appropriated budget of \$10,514,613,697 and a total reported expendable budget for goods and services of \$457,454,358. Based on the expendable budget of \$457,454,358, the FY 2014 SBE expenditure goal for the 82 agencies was \$228,727,189.¹⁰

The 82 agencies' FY 2014 reported appropriated budgets, expendable budgets and reported SBE goals are listed in Appendix I.

FY 2014 Expenditure Results

We found that the 82 agencies, as a whole, spent a total of \$175,235,341 with SBEs in FY 2014 or 77 percent toward the \$228,727,189 total FY 2014 SBE expenditure goal for all of the 82 agencies. The FY 2014 SBE expenditures for each of the 82 agencies are listed in Appendix I.

Total FY 2014 expenditures of the 82 agencies on Local Business Enterprises, Small Business Enterprises, Disadvantaged Business Enterprises, Local Business Enterprises with Principle Offices Located in an Enterprise Zone, Resident-Owned Businesses, Longtime Resident Businesses, Local Manufacturing Enterprises, and Veteran Owned Businesses are presented in Appendix II.

¹⁰ This report reflects all changes to appropriated and expendable budgets, as well as SBE expenditure goals, as of March 2, 2015.

Many District Agencies Submitted Inaccurate SBE Expenditure Reports

Both ODCA and DSLBD track agency SBE expenditures throughout the fiscal year. ODCA reviews SBE expenditures using the District’s System of Accounting and Reporting (SOAR) and the Office of Contracting and Procurement’s Purchase Card (P-Card) data. In contrast, DSLBD relies solely on self-reported data by agencies. We found significant overstatements and understatements of expenditure totals that District agencies entered into DSLBD’s QuickBase database as compared with expenditure totals that we verified through SOAR and P-Card data.

For example, the following three agencies understated their SBE expenditures by more than \$3 million dollars each:

Agency	Reported by Agency	Spent (per SOAR)	Difference
Department of Public Works	\$6,049,266	\$10,367,759	(\$4,318,493)
Office of the State Superintendent of Education	\$1,456,175	\$5,548,069	(\$4,091,894)
Office of the Deputy Mayor for Planning and Economic Development	\$4,099,262	\$7,831,512	(\$3,732,250)

We also found that agencies overstated their expenditures by significant amounts. For example, the following three agencies overstated their SBE expenditures by more than \$10 million each:

Agency	Reported by Agency	Spent (per SOAR)	Difference
Department of General Services	\$120,592,310	\$41,302,389	\$79,289,921
Office of the Chief Technology Officer	\$42,142,944	\$8,067,968	\$34,074,976
Department of Transportation	\$15,589,510	\$1,863,000	\$13,726,510

Our full analysis of the differences between reported expenditures and actual expenditures with SBEs, based on the 76 agencies for which a comparison is possible, is listed in Appendix III.¹¹

The cause of discrepancies between SBE expenditures reported by agencies and actual SBE expenditures may be that: (1) agencies reported contract awards rather than expenditures; (2) agencies reported dollars appropriated in previous years but expended in the reporting quarter

¹¹ Six of the 82 agencies required by DSLBD to report SBE expenditures do not record expenditures in SOAR, using financial systems independent of SOAR to record their expenditures. As a result, no quarterly expenditures could be retrieved from SOAR for these six agencies. Therefore, we were not able to independently verify the submitted expenditure data for the six agencies.

or year; (3) some Agency Compliance Officers, who were responsible for compiling and submitting SBE expenditure reports, did not know how to locate the correct SBE financial data; or (4) some Agency Compliance Officers did not receive the SBE financial data from their Agency Fiscal Officer in a timely manner.

As a result of the apparent inability of agencies to correctly track and submit accurate quarterly SBE expenditure data, DSLBD does not obtain the necessary information to assess agency goal attainment and to identify agencies that need assistance in procuring goods and services from SBEs. DSLBD's lack of SBE expenditure information ultimately may result in a loss of procurement opportunities for SBEs. Additionally, the failure of agencies to accurately track SBE quarterly expenditures prevents agency procurement officials from making necessary spending adjustments during the year to meet agency annual SBE goals.

To address the issue of the submission of inaccurate agency SBE expenditure reports, we offer the following recommendation:

1. The DSLBD Director should begin calculating agency SBE expenditure totals using SOAR and P-Card data, rather than relying solely on agency submissions. DSLBD should report to the Council Committee on Business, Consumer and Regulatory Affairs on the progress of implementation within 90 days of this report.
2. The Council Committee on Business, Consumer and Regulatory Affairs should include questions on DSLBD's progress on implementation of this recommendation in DSLBD's annual performance hearing and, similarly, other Council committees with oversight for agencies that failed to provide accurate reports should seek updates on those agencies' efforts to improve compliance.

DSLBD's Goal-Setting Process Had Flaws

As previously stated, to establish FY 2014 SBE goals, DSLBD required each agency to access QuickBase, DSLBD's electronic database containing all budget, SBE goal, and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in QuickBase, the items in their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD's approval, the QuickBase system subtracted the exclusions from the agency's appropriated budget to determine the agency's expendable budget. To determine the SBE goal, the QuickBase system multiplied the expendable budget by 50 percent.

We found that several agencies exceeded their FY 2014 SBE goal by excessively large amounts. The excessive amount by which some agencies exceeded their SBE goals raises questions about the validity of the declared expendable budgets of certain agencies. We found that 15 agencies achieved over 200 percent of their SBE goal, with some agencies meeting or exceeding their goal within the 1st quarter of the fiscal year. This is a recurring issue that we have addressed in previous reports. This recurring finding indicates an ongoing issue with DSLBD's goal setting process and its willingness to approve agency targets that are overly modest. We will continue to monitor DSLBD's goal setting process in FY 2015.

We also found that DSLBD made significant changes to the District's FY 2014 SBE expenditure goal throughout the fiscal year. During the 4th quarter alone, DSLBD approved the reduction of the District's SBE expenditure goal from \$495,102,237, as noted in ODCA's analysis of the District's SBE Expenditures for the 3rd quarter, to the final total of \$228,727,189. DSLBD's expenditure goal for the 82 agencies, included in this report, was reduced from \$778,692,040 as of May 14, 2014 when ODCA produced its analysis of the District's SBE Expenditures for the 1st quarter, to the current amount of \$228,727,189, included within this report. While some changes to goals are to be expected due to budget reductions or augmentations, a reduction of more than \$250 million during the last quarter of the year is troublesome.

This is another recurring issue we have addressed in prior reports. For example in FY 2013, DSLBD approved agency exclusions from appropriated budgets that reduced the total FY 2013 SBE expenditure goal for all agencies from approximately \$633 million to \$507 million, a reduction of approximately \$125 million, with \$100 million in reductions occurring during and after the end of the 4th quarter.

Similarly, in FY 2012 DSLBD approved agency exclusions from appropriated budgets that reduced the total FY 2012 SBE expenditure goal for all agencies from approximately \$937 million to \$661 million, a reduction of over \$275 million in the last quarter of the year.

DSLBD has stated that they now have established a deadline for changes to be made to agencies' FY 2015 SBE goals. We will continue to monitor DSLBD's progress in this area.

To address the issues of the excessive amount by which some agencies exceed their SBE goals and significant changes to expenditure goals, we offer the following recommendation:

1. Within 30 days of the release of this report, DSLBD should review all FY 2015 SBE expenditure goals to ensure that they are realistic and consistent with the intent of the overall SBE statute.

Two District Agencies Did Not Submit Expenditure Data for at least one Quarter in FY 2014

According to DSLBD's QuickBase database, two agencies did not submit the required quarterly expenditure report for at least one quarter in FY 2014, the Not-for-Profit Hospital Corporation (United Medical Center) and the DC Taxicab Commission. Because we obtain agency expenditures directly from SOAR and P-Card data, the lack of an agency-submitted expenditure report did not prevent us from listing expenditure totals for the DC Taxicab Commission.

We found, however, that the Not-For-Profit Hospital Corporation (United Medical Center) does not report expenditures through SOAR, leaving the complete expenditures toward their SBE expenditure goal of \$880,000 unexamined by either the Auditor or DSLBD. As a result, there is an incomplete presentation of the District's overall SBE goal attainment.

To address issues pertaining to the two agencies that did not submit all required FY 2014 expenditure reports and the two agencies that did not record expenditures in SOAR, we offer the following recommendation:

1. Within 30 days of the release of this report, DSLBD should review the status of the two agencies that did not submit all reports and did not record expenditures in SOAR to determine the best method for accurately capturing their expenditures.

Procurement Supplemental Information Analysis

D.C. Official Code required each District agency to submit the following procurement supplemental information with their FY 2014 SBE expenditure report:

1. A description of the activities the agency engaged in to achieve their FY 2014 SBE expenditure goal; and
2. A description of any changes the agency intends to make during FY 2015 to achieve their SBE expenditure goal.¹²

Of the 82 agencies, 41 or 50 percent of the agencies entered complete procurement supplemental information in QuickBase and 41 agencies did not enter the required procurement supplemental information in QuickBase¹³.

There was a decrease in the number of agencies that submitted procurement supplemental information for FY 2014 over FY 2013.¹⁴ In FY 2014, 41 agencies submitted procurement supplemental information, compared with 49 agencies that submitted procurement supplemental information in FY 2013. The quality of the procurement supplemental information remained fairly consistent as compared to FY 2013. It was evident that many agencies took a proactive approach in developing strategies to track their SBE expenditures and assess the capabilities of potential SBEs.

Appendix IV summarizes (1) the supplemental procurement information from the 41 compliant agencies, and (2) our recommendation on whether the 41 agencies should meet with DSLBD to identify additional opportunities to spend funds with SBEs.

Appendix V lists the 41 agencies that did not submit the required supplemental procurement information.

¹² See D.C. Official Code §2-218.53(b). The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law prior to that amendment.

¹³ This report reflects all changes to procurement supplemental information as of March 2, 2015.

¹⁴ See ODCA Letter Report: District of Columbia Agencies' Compliance with Fiscal Year 2013 Small Business Enterprise Expenditure Goals, Jul 7, 2014.

FY 2015 Procurement Plan Analysis

District of Columbia Municipal Regulations (DCMR) requires agencies to submit procurement plans to DSLBD one month prior to the beginning of each fiscal year.¹⁵

Of the 82 agencies, 76 agencies submitted a FY 2015 procurement plan and six agencies did not submit a FY 2015 procurement plan. The 76 agencies that submitted a procurement plan are listed in Appendix VI. The six agencies that did not submit a procurement plan are presented in Appendix VII.

To address the issue of agencies that do not submit procurement plans, we offer the following recommendation:

1. In accordance with DCMR requirements, the DSLBD Director should report agencies that do not submit procurement plans to the City Administrator within 30 days of the start of the fiscal year.¹⁶

¹⁵ See DCMR Title 27, Section 832.1

¹⁶ See DCMR Title 27, Section 832.2

Conclusion

Based on our examination, we found that 82 agencies collectively spent \$175,235,341 with SBEs in FY 2014 and achieved only 77 percent of the cumulative reported SBE goal of \$228,727,189. Of the 82 agencies, 51 agencies met or exceeded their FY 2014 expenditure goal, spending a combined total of \$87,056,802.

Although many agencies reached or exceeded their SBE expenditure goal, many agencies did not reach their SBE goal. In total, the District of Columbia fell over \$53 million short of the overall SBE expenditure goal of \$228,727,189.

We remain concerned that problems persist in DSLBD's goal-setting process and that some agencies continue to submit inaccurate SBE expenditure data. DSLBD has initiated improvements to the procedures used to establish District agency SBE goals and monitor agency compliance with SBE reporting requirements and goal attainment. We will continue to monitor DSLBD's efforts to ensure that agencies adopt realistic SBE expenditure goals, to establish a reasonable deadline for changes to SBE expenditure goals and to address the issue of inaccurate agency SBE expenditure reports.

Sincerely yours,

A handwritten signature in blue ink that reads "Kathleen Patterson". The signature is fluid and cursive, with the first letters of each name being capitalized and prominent.

Kathleen Patterson
District of Columbia Auditor

Appendices

Appendix I

FY 2014 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2014 SBE Expenditures	% of SBE Goal Achieved
1	Administrative Hearings, Office of	\$9,517,000	\$560,606	\$280,303	\$29,863	\$60,109	\$58,613	\$130,452	\$279,037	100%
2	Advisory Neighborhood Commissions, Office of	\$902,000	\$5,312	\$2,656	\$0	\$0	\$0	\$0	\$0	0%
3	Aging, Office on	\$35,006,000	\$5,135,481	\$2,567,740	\$14,217	\$52,721	\$23,460	\$329,871	\$420,269	16%
4	Alcoholic Beverage Regulation Administration	\$7,565,000	\$314,018	\$157,009	\$25,811	\$38,586	\$73,116	\$112,328	\$249,841	159%
5	Arts and Humanities, Commission on	\$13,253,000	\$502,825	\$251,412	\$39,466	\$85,433	\$79,495	\$133,328	\$337,722	134%
6	Asian and Pacific Islander Affairs, Office on	\$1,111,585	\$153,951	\$76,976	\$2,528	\$2,991	\$15,473	\$69,362	\$90,354	117%
7	Attorney General for the District of Columbia, Office of the	\$101,248,000	\$1,452,487	\$726,244	\$90,908	\$182,912	\$123,970	\$440,336	\$838,126	115%
8	Auditor, Office of the D.C.	\$4,276,000	\$40,277	\$20,139	\$0	\$871	\$18,014	\$30,033	\$48,918	243%
9	Behavioral Health, Department of	\$207,501,340	\$21,192,792	\$10,596,396	\$601,317	\$722,707	\$1,051,207	\$2,247,707	\$4,622,938	44%
10	Cable Television, Office of	\$8,464,000	\$299,341	\$149,671	\$0	\$89,651	\$31,662	\$93,166	\$214,479	143%
11	Campaign Finance, Office of	\$2,703,620	\$255,488	\$127,744	\$0	\$7,784	\$14,074	\$316,802	\$338,660	265%
12	Captive Insurance Agency	\$1,802,000	\$9,929	\$4,965	\$0	\$0	\$0	\$5,735	\$5,735	116%

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13	Chief Financial Officer, Office of the	\$145,780,000	\$16,561,995	\$8,280,998	\$1,411,190	\$1,462,646	\$1,570,666	\$5,172,883	\$9,617,385	116%
14	Chief Medical Examiner, Office of the	\$9,211,109	\$1,473,109	\$736,555	\$64,654	\$159,218	\$122,067	\$518,201	\$864,140	117%
15	Chief Technology Officer, Office of the	\$108,865,000	\$49,362,972	\$24,681,486	\$552,250	\$1,053,608	\$696,907	\$5,765,203	\$8,067,968	33%
16	Child and Family Services Agency	\$237,644,000	\$1,303,770	\$651,885	\$61,552	\$262,661	\$78,681	\$1,251,349	\$1,654,243	254%
17	City Administrator, Office of the	\$5,523,000	\$39,198	\$19,599	\$1,073	\$2,390	\$5,318	\$17,985	\$26,766	137%
18	Consumer & Regulatory Affairs, Department of	\$40,946,000	\$7,860,032	\$3,930,016	\$439,213	\$751,349	\$523,881	\$1,178,884	\$2,893,327	74%
19	Contract Appeals Board	\$1,059,000	\$11,616	\$5,808	\$0	\$79	\$0	\$7,330	\$7,409	128%
20	Contracting and Procurement, Office of	\$11,731,000	\$820,774	\$410,387	\$33,672	\$149,823	\$256,888	\$237,294	\$677,677	165%
21	Corrections, Department of	\$151,454,000	\$18,417,193	\$9,208,597	\$284,836	\$636,380	\$755,356	\$1,478,112	\$3,154,684	34%
22	Disability Rights, Office of	\$1,842,000	\$44,107	\$22,053	\$0	\$424	\$0	\$29,027	\$29,451	134%
23	Disability Services, Department on	\$95,596,000	\$615,169	\$307,585	\$138,349	\$177,234	\$324,675	\$398,548	\$1,038,806	338%
24	Education, Deputy Mayor for	\$7,826,000	\$129,153	\$64,577	\$0	\$0	\$0	\$22,796	\$22,796	35%
25	Elections, Board of	\$6,615,000	\$510,175	\$255,088	\$40,389	\$118,910	\$152,261	\$250,335	\$561,895	220%

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26	Employee Appeals, Office of	\$1,479,993	\$103,993	\$51,997	\$4,324	\$8,412	\$12,814	\$17,524	\$43,074	83%
27	Employees' Compensation Fund	\$20,021,000	\$99,588	\$49,794	\$43,551	\$56,547	\$0	\$47	\$100,145	201%
28	Employment Services, Department of	\$156,412,000	\$4,599,685	\$2,299,842	\$73,824	\$176,235	\$363,859	\$1,512,018	\$2,125,936	92%
29	Environment, District Department of the	\$102,946,000	\$1,433,331	\$716,665	\$33,834	\$114,352	\$127,319	\$451,969	\$727,474	102%
30	Ethics and Government Accountability, D.C. Board of	\$1,391,685	\$47,223	\$23,611	\$718	\$16,349	\$1,842	\$12,933	\$31,842	135%
31	Events DC	\$114,585,000	\$22,264,497	\$11,132,249	\$1,979,892	\$574,930	\$854,552	\$1,482,010	\$4,891,384	44%
32	Finance and Resource Management, Office of	\$33,591,000	\$117,846	\$58,923	\$3,182	\$4,013	\$4,754	\$108,234	\$120,183	204%
33	Fire and Emergency Medical Services Department	\$227,228,000	\$4,381,288	\$2,190,644	\$252,644	\$1,015,895	\$391,192	\$1,365,888	\$3,025,619	138%
34	Forensic Sciences, Department of	\$13,268,000	\$311,110	\$155,555	\$0	\$6,424	\$44,498	\$200,564	\$251,486	162%
35	General Services, Department of	\$414,605,000	\$125,523,668	\$62,761,834	\$1,763,237	\$9,393,196	\$8,238,479	\$21,907,477	\$41,302,389	66%
36	Health and Human Services, Deputy Mayor for	\$1,945,000	\$486,735	\$243,368	\$0	\$95,316	\$44,764	\$231,997	\$372,077	153%

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37	Health Benefit Exchange Authority, D.C.	\$66,140,000	\$25,656,731	\$12,828,365	\$563,360	\$1,313,410	\$1,581,535	\$3,469,296	\$6,927,601	54%
38	Health Care Finance, Department of	\$2,897,030,538	\$7,285,317	\$3,642,658	\$47,532	\$450,563	\$978,284	\$2,509,013	\$3,985,392	109%
39	Health, Department of	\$267,064,287	\$12,296,097	\$6,148,049	\$159,929	\$900,315	\$2,094,926	\$4,393,319	\$7,548,489	123%
40	Homeland Security and Emergency Management Agency	\$93,893,000	\$82,156	\$41,078	\$119,638	\$574,882	\$828,329	\$329,535	\$1,852,384	4509%
41	Housing Finance Agency	\$9,689,000	\$3,597,000	\$1,798,500	\$0	\$0	\$0	\$0	\$0	0%
42	Housing and Community Development, Department of	\$207,703,000	\$2,417,461	\$1,208,731	\$29,890	\$168,981	\$289,964	\$310,067	\$798,902	66%
43	Human Resources, D.C. Department of	\$11,724,000	\$802,434	\$401,217	\$306,095	\$250,744	\$142,822	\$358,543	\$1,058,204	264%
44	Human Rights, Office of	\$2,902,000	\$147,948	\$73,974	\$10,245	\$25,434	\$64,023	\$83,871	\$183,573	248%
45	Human Services, Department of	\$396,669,000	\$2,647,092	\$1,323,546	\$71,414	\$330,381	\$296,502	\$449,680	\$1,147,977	87%
46	Inspector General, Office of the	\$15,948,000	\$92,973	\$46,487	\$20,055	\$38,893	\$36,155	\$188,417	\$283,520	610%
47	Insurance, Securities and Banking, Department of	\$21,662,000	\$321,652	\$160,826	\$24,712	\$4,639	\$14,813	\$43,165	\$87,329	54%
48	Latino Affairs, Office on	\$2,895,000	\$99,884	\$49,942	\$7,332	\$35,102	\$12,936	\$20,071	\$75,441	151%

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FY 2014 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2014 SBE Expenditures	% of SBE Goal Achieved
49	Lottery & Charitable Games Control Board, D.C.	\$253,000,000	\$10,012,911	\$5,006,456	\$563,069	\$1,674,266	\$1,675,847	\$2,133,949	\$6,047,131	121%
50	Mayor, Executive Office of the	\$12,100,000	\$542,346	\$271,173	\$26,873	\$28,030	\$39,279	\$89,348	\$183,530	68%
51	Metropolitan Police Department	\$526,978,000	\$6,553,876	\$3,276,938	\$659,348	\$914,222	\$743,172	\$1,660,799	\$3,977,541	121%
52	Motion Picture and Television Development, Office of	\$5,177,155	\$163,791	\$81,896	\$4,180	\$20,738	\$24,013	\$61,319	\$110,250	135%
53	Motor Vehicles, Department of	\$42,825,000	\$2,477,024	\$1,238,512	\$50,022	\$169,989	\$387,770	\$683,529	\$1,291,310	104%
54	National Guard, D.C.	\$10,690,000	\$892,394	\$446,197	\$0	\$8,858	\$39,151	\$157,002	\$205,011	46%
55	Not-for-Profit Hospital Corporation (United Medical Center)	\$110,000,000	\$1,760,000	\$880,000	N/A	N/A	N/A	N/A	N/A	N/A
56	Parks and Recreation, Department of	\$90,816,000	\$2,256,114	\$1,128,057	\$3,000	\$56,517	\$124,181	\$932,154	\$1,115,852	99%
57	People's Counsel, Office of the	\$6,566,000	\$682,995	\$341,497	\$20,858	\$40,287	\$70,915	\$221,171	\$353,231	103%
58	Planning and Economic Development, Office of the Deputy Mayor for	\$168,696,923	\$4,794,825	\$2,397,413	\$120,415	\$55,034	\$110,035	\$7,546,028	\$7,831,512	327%
59	Planning, Office of	\$14,465,000	\$285,218	\$142,609	\$13,075	\$19,736	\$18,564	\$74,472	\$125,847	88%

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FY 2014 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2014 SBE Expenditures	% of SBE Goal Achieved
60	Police Complaints, Office of	\$2,110,000	\$34,646	\$17,323	\$0	\$3,291	\$5,857	\$1,711	\$10,859	63%
61	Public Charter School Board, District of Columbia	\$4,209,000	\$244,074	\$122,037	\$0	\$15,550	\$6,254	\$54,217	\$76,021	62%
62	Public Employee Relations Board	\$1,192,683	\$33,070	\$16,535	\$1,207	\$4,091	\$1,069	\$7,927	\$14,294	86%
63	Public Library, District of Columbia	\$66,430,000	\$9,520,771	\$4,760,386	\$222,302	\$677,542	\$991,149	\$1,750,062	\$3,641,055	76%
64	Public Safety and Justice, Office of the Deputy Mayor for	\$23,731,660	\$69,149	\$34,575	\$1,329	\$18,743	\$14,480	\$25,114	\$59,666	173%
65	Public Schools, D.C.	\$1,285,573,000	\$14,789,293	\$7,394,646	\$2,491,339	\$1,929,094	\$1,131,199	\$4,691,812	\$10,243,444	139%
66	Public Service Commission	\$11,951,000	\$692,023	\$346,012	\$55,795	\$100,832	\$122,210	\$170,733	\$449,570	130%
67	Public Works, Department of	\$149,949,000	\$9,879,305	\$4,939,653	\$1,044,534	\$4,320,720	\$1,322,314	\$3,680,191	\$10,367,759	210%
68	Real Property Tax Appeals Commission	\$1,684,000	\$28,729	\$14,364	\$1,611	\$1,695	\$3,331	\$0	\$6,637	46%
69	Retirement Board, D.C.	\$30,338,000	\$796,695	\$398,348	\$71,573	\$213,120	\$145,606	\$57,937	\$488,236	123%
70	Risk Management, D.C. Office of	\$2,946,000	\$23,789	\$11,895	\$0	\$2,028	\$1,105	\$12,694	\$15,827	133%
71	Secretary, Office of the	\$7,266,000	\$151,422	\$75,711	\$14,365	\$17,083	\$18,499	\$136,213	\$186,160	246%

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FY 2014 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2014 SBE Expenditures	% of SBE Goal Achieved
72	Small and Local Business Development, Department of	\$8,155,000	\$74,321	\$37,161	\$5,170	\$272	\$9,491	\$69,786	\$84,719	228%
73	Special Education Transportation	\$92,010,119	\$1,419,649	\$709,825	\$22,036	\$467,871	\$396,790	\$366,956	\$1,253,653	177%
74	State Superintendent of Education, Office of the	\$438,685,000	\$2,327,720	\$1,163,860	\$443,357	\$742,496	\$1,081,970	\$3,280,246	\$5,548,069	477%
75	Taxicab Commission, D.C.	\$4,200,000	\$2,136,977	\$1,068,489	\$22,905	\$2,342	\$24,520	\$49,210	\$98,977	9%
76	Tenant Advocate, Office of the	\$2,132,000	\$80,408	\$40,204	\$0	\$727	\$8,875	\$15,082	\$24,684	61%
77	Transportation, Department of	\$497,702,000	\$11,488,616	\$5,744,308	\$34,083	\$358,760	\$449,333	\$1,020,824	\$1,863,000	32%
78	Unified Communications, Office of	\$75,014,000	\$14,502,085	\$7,251,042	\$36,088	\$89,228	\$87,662	\$589,599	\$802,577	11%
79	University of the District of Columbia	\$159,343,000	\$13,828,390	\$6,914,195	\$315,129	\$70,796	\$1,895,687	\$1,222,008	\$3,503,620	51%
80	Veterans' Affairs, Office of	\$391,000	\$13,465	\$6,733	\$0	\$0	\$348	\$9,845	\$10,193	151%
81	Youth Rehabilitation Services, Department of	\$107,226,000	\$2,651,231	\$1,325,616	\$265,701	\$412,035	\$458,890	\$835,198	\$1,971,824	149%
82	Zoning, Office of	\$2,827,000	\$359,557	\$179,779	\$42,794	\$93,466	\$50,118	\$83,856	\$270,234	150%
	Total	\$10,514,613,697	\$457,454,358	\$228,727,189	\$15,898,854	\$34,102,959	\$33,859,830	\$91,373,698	\$175,235,341	77%

SOURCE: QuickBase, SOAR, P-Card, and CBE Online data as of March 2, 2015. All numbers are rounded to the nearest dollar.

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FY 2014 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
1	Administrative Hearings, Office of	\$279,037	\$279,037	\$124,305	\$226,240	\$137,511	\$154,732	\$0	\$0
2	Advisory Neighborhood Commissions, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
3	Aging, Office on	\$420,269	\$420,269	\$289,596	\$143,746	\$302,166	\$135,296	\$0	\$0
4	Alcoholic Beverage Regulation Administration	\$249,841	\$249,841	\$33,234	\$214,232	\$233,910	\$212,954	\$0	\$0
5	Arts and Humanities, Commission on	\$339,338	\$337,722	\$27,041	\$337,722	\$13,011	\$308,377	\$0	\$0
6	Asian and Pacific Islander Affairs, Office on	\$90,354	\$90,354	\$39,501	\$54,810	\$16,783	\$18,504	\$0	\$0
7	Attorney General for the District of Columbia, Office of the	\$857,528	\$838,126	\$539,234	\$596,903	\$518,733	\$154,817	\$0	\$79,314
8	Auditor, Office of the D.C.	\$48,918	\$48,918	\$39,700	\$5,708	\$5,187	\$35,200	\$0	\$0
9	Behavioral Health, Department of	\$4,628,475	\$4,622,938	\$1,155,187	\$2,419,258	\$1,430,172	\$918,920	\$0	\$79,266
10	Cable Television, Office of	\$214,479	\$214,479	\$210,188	\$210,489	\$205,707	\$885	\$0	\$0
11	Campaign Finance, Office of	\$338,660	\$338,660	\$330,845	\$338,660	\$18,063	\$0	\$0	\$7,815
12	Captive Insurance Agency	\$5,735	\$5,735	\$0	\$0	\$0	\$0	\$0	\$0
13	Chief Financial Officer, Office of the	\$10,272,763	\$9,617,385	\$4,492,045	\$5,499,431	\$3,392,782	\$966,913	\$0	\$0
14	Chief Medical Examiner, Office of the	\$869,895	\$864,140	\$518,050	\$848,390	\$431,866	\$437,235	\$0	\$19,892
15	Chief Technology Officer, Office of the	\$19,720,176	\$8,067,968	\$7,747,861	\$17,949,472	\$3,297,941	\$309,000	\$0	\$187,468

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FY 2014 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
16	Child and Family Services Agency	\$2,005,949	\$1,654,243	\$975,609	\$1,654,790	\$765,303	\$93,947	\$1,875	\$60,841
17	City Administrator, Office of the	\$26,766	\$26,766	\$18,057	\$1,631	\$8,558	\$18,208	\$0	\$0
18	Consumer & Regulatory Affairs, Department of	\$2,914,918	\$2,893,327	\$2,278,211	\$2,017,091	\$2,246,841	\$1,819,399	\$0	\$19,000
19	Contract Appeals Board	\$7,409	\$7,409	\$4,361	\$7,409	\$4,361	\$3,048	\$0	\$0
20	Contracting and Procurement, Office of	\$677,677	\$677,677	\$427,692	\$223,747	\$417,657	\$385,946	\$0	\$0
21	Corrections, Department of	\$3,159,516	\$3,154,684	\$1,184,845	\$2,339,778	\$1,260,735	\$1,128,950	\$0	\$91,443
22	Disability Rights, Office of	\$29,451	\$29,451	\$8,148	\$18,307	\$8,148	\$19,174	\$0	\$0
23	Disability Services, Department on	\$1,063,158	\$1,038,806	\$458,717	\$551,240	\$926,744	\$16,101	\$0	\$415,508
24	Education, Deputy Mayor for	\$22,796	\$22,796	\$0	\$22,796	\$0	\$0	\$0	\$0
25	Elections, Board of	\$561,895	\$561,895	\$346,031	\$468,408	\$149,659	\$60,756	\$0	\$74,999
26	Employee Appeals, Office of	\$43,074	\$43,074	\$0	\$468	\$468	\$4,963	\$0	\$11,797
27	Employees' Compensation Fund	\$100,145	\$100,145	\$0	\$79,084	\$100,000	\$145	\$0	\$0
28	Employment Services, Department of	\$3,118,370	\$2,125,936	\$1,756,567	\$2,383,130	\$1,420,838	\$349,045	\$0	\$198,502
29	Environment, District Department of the	\$909,176	\$727,474	\$276,546	\$582,260	\$329,360	\$182,445	\$0	\$5,972
30	Ethics and Government Accountability, D.C. Board of	\$31,842	\$31,842	\$20,093	\$22,247	\$700	\$2,374	\$0	\$7,256

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FY 2014 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
31	Events DC	\$4,892,674	\$4,891,384	\$2,994,458	\$2,246,457	\$1,190,451	\$1,145,194	\$0	\$97,094
32	Finance and Resource Management, Office of	\$120,183	\$120,183	\$95,299	\$106,899	\$95,299	\$13,284	\$0	\$0
33	Fire and Emergency Medical Services Department	\$3,025,619	\$3,025,619	\$1,650,724	\$2,680,383	\$1,380,009	\$1,426,819	\$0	\$99,565
34	Forensic Sciences, Department of	\$251,486	\$251,486	\$205,748	\$197,249	\$102,902	\$35,938	\$0	\$6,253
35	General Services, Department of	\$55,033,716	\$41,302,389	\$28,651,396	\$43,068,285	\$23,981,510	\$20,433,550	\$942,173	\$566,308
36	Health and Human Services, Deputy Mayor for	\$372,077	\$372,077	\$267,326	\$370,747	\$367,191	\$3,910	\$0	\$0
37	Health Benefit Exchange Authority, D.C.	\$7,408,960	\$6,927,601	\$6,845,989	\$6,705,307	\$4,161,700	\$57,692	\$0	\$0
38	Health Care Finance, Department of	\$4,702,086	\$3,985,392	\$2,514,958	\$3,808,215	\$580,638	\$1,380,710	\$0	\$0
39	Health, Department of	\$8,798,814	\$7,548,489	\$5,817,077	\$5,858,921	\$2,798,739	\$2,759,397	\$0	\$222,414
40	Homeland Security and Emergency Management Agency	\$2,348,159	\$1,852,384	\$1,692,500	\$2,168,095	\$1,817,791	\$25,949	\$0	\$125,281
41	Housing Finance Agency	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
42	Housing and Community Development, Department of	\$810,402	\$798,902	\$448,016	\$488,514	\$634,805	\$101,809	\$0	\$12,637
43	Human Resources, D.C. Department of	\$1,058,204	\$1,058,204	\$679,800	\$973,652	\$305,166	\$79,028	\$0	\$0
44	Human Rights, Office of	\$183,573	\$183,573	\$120,222	\$63,462	\$80,924	\$98,319	\$0	\$0
45	Human Services, Department of	\$1,300,728	\$1,147,977	\$580,435	\$949,640	\$401,600	\$483,446	\$0	\$63,778

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FY 2014 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
46	Inspector General, Office of the	\$283,520	\$283,520	\$230,812	\$244,803	\$158,824	\$35,801	\$0	\$0
47	Insurance, Securities and Banking, Department of	\$87,329	\$87,329	\$61,887	\$55,772	\$50,261	\$16,737	\$0	\$0
48	Latino Affairs, Office on	\$75,441	\$75,441	\$75,441	\$75,441	\$75,441	\$0	\$0	\$0
49	Lottery & Charitable Games Control Board, D.C.	\$6,619,139	\$6,047,131	\$218,889	\$622,197	\$25,189	\$5,984,780	\$0	\$0
50	Mayor, Executive Office of the	\$183,530	\$183,530	\$38,164	\$69,878	\$82,074	\$80,317	\$0	\$38,531
51	Metropolitan Police Department	\$5,537,488	\$3,977,541	\$911,806	\$5,050,019	\$1,029,395	\$2,367,284	\$0	\$4,950
52	Motion Picture and Television Development, Office of	\$110,250	\$110,250	\$29,996	\$288	\$110,250	\$0	\$0	\$79,966
53	Motor Vehicles, Department of	\$1,291,310	\$1,291,310	\$652,088	\$486,784	\$975,208	\$172,227	\$0	\$47,754
54	National Guard, D.C.	\$205,011	\$205,011	\$69,062	\$196,834	\$40,323	\$117,091	\$0	\$0
55	Not-for-Profit Hospital Corporation (United Medical Center)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
56	Parks and Recreation, Department of	\$1,147,772	\$1,115,852	\$660,574	\$813,936	\$692,727	\$474,806	\$0	\$0
57	People's Counsel, Office of the	\$353,231	\$353,231	\$178,909	\$257,305	\$196,139	\$82,537	\$0	\$0
58	Planning and Economic Development, Office of the Deputy Mayor for	\$7,887,645	\$7,831,512	\$7,534,922	\$7,727,888	\$7,506,144	\$47,337	\$5,865	\$0
59	Planning, Office of	\$125,847	\$125,847	\$1,066	\$50,750	\$1,066	\$53,177	\$0	\$26,920

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FY 2014 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
60	Police Complaints, Office of	\$11,659	\$10,859	\$5,854	\$5,854	\$0	\$0	\$0	\$0
61	Public Charter School Board, District of Columbia	\$76,021	\$76,021	\$0	\$1,877	\$1,877	\$597	\$0	\$0
62	Public Employee Relations Board	\$14,294	\$14,294	\$0	\$342	\$342	\$2,087	\$0	\$11,865
63	Public Library, District of Columbia	\$3,708,455	\$3,641,055	\$1,947,183	\$3,442,353	\$837,065	\$911,743	\$0	\$38,151
64	Public Safety and Justice, Office of the Deputy Mayor for	\$59,666	\$59,666	\$50,163	\$38,825	\$33,218	\$10,996	\$0	\$0
65	Public Schools, D.C.	\$10,243,444	\$10,243,444	\$4,332,851	\$7,027,211	\$3,383,320	\$5,058,053	\$4,220	\$14,262
66	Public Service Commission	\$449,570	\$449,570	\$187,183	\$404,527	\$144,761	\$179,950	\$0	\$0
67	Public Works, Department of	\$10,714,990	\$10,367,759	\$6,617,931	\$9,875,486	\$4,433,551	\$4,857,303	\$0	\$9,650
68	Real Property Tax Appeals Commission	\$6,637	\$6,637	\$0	\$2,078	\$2,078	\$4,559	\$0	\$0
69	Retirement Board, D.C.	\$488,236	\$488,236	\$398,590	\$279,958	\$210,199	\$27,048	\$0	\$62,598
70	Risk Management, D.C. Office of	\$15,827	\$15,827	\$0	\$10,528	\$0	\$13,374	\$0	\$0
71	Secretary, Office of the	\$186,160	\$186,160	\$123,747	\$97,610	\$57,121	\$30,763	\$0	\$0
72	Small and Local Business Development, Department of	\$84,719	\$84,719	\$54,850	\$53,754	\$51,395	\$5,216	\$0	\$0
73	Special Education Transportation	\$1,495,278	\$1,253,653	\$674,213	\$877,203	\$602,942	\$565,480	\$0	\$0
74	State Superintendent of Education, Office of the	\$6,568,962	\$5,548,069	\$4,797,854	\$2,905,315	\$3,877,953	\$1,068,965	\$0	\$64,870

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FY 2014 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
75	Taxicab Commission, D.C.	\$98,977	\$98,977	\$50,090	\$98,725	\$32,157	\$47,365	\$0	\$0
76	Tenant Advocate, Office of the	\$24,684	\$24,684	\$9,997	\$24,684	\$9,997	\$14,687	\$0	\$0
77	Transportation, Department of	\$2,098,478	\$1,863,000	\$1,303,843	\$1,049,294	\$536,065	\$132,381	\$0	\$95,825
78	Unified Communications, Office of	\$1,999,049	\$802,577	\$682,332	\$1,771,498	\$496,276	\$83,852	\$0	\$81,861
79	University of the District of Columbia	\$3,594,333	\$3,503,620	\$1,974,517	\$3,009,635	\$1,083,627	\$258,895	\$0	\$418,364
80	Veterans' Affairs, Office of	\$10,193	\$10,193	\$3,583	\$3,579	\$2,796	\$783	\$0	\$0
81	Youth Rehabilitation Services, Department of	\$1,971,824	\$1,971,824	\$1,221,912	\$1,582,158	\$500,626	\$652,719	\$0	\$23,882
82	Zoning, Office of	\$290,573	\$270,234	\$80,019	\$250,009	\$229,176	\$155,665	\$0	\$0
	Total	\$211,433,834	\$175,235,341	\$111,045,940	\$157,367,671	\$83,011,512	\$59,296,954	\$954,133	\$3,471,852

SOURCE: SOAR, P-Card, and CBE Online data as of March 2, 2015. All numbers are rounded to the nearest dollar

Appendix III

FY 2014 Verified SBE Expenditures vs. Agency Self-Reported SBE Expenditures in DSLBD's QuickBase database, as of March 2, 2015

	Agency	Agency Self-Reported FY 2014 SBE Expenditures	Agency Self-Reported % of SBE Goal Achieved	Verified FY 2014 SBE Expenditures	Verified % of SBE Goal Achieved	Difference (Self-Reported Minus Verified)
1	Administrative Hearings, Office of	\$285,858	102%	\$279,037	100%	\$6,821
2	Advisory Neighborhood Commissions, Office of	\$0	0%	\$0	0%	\$0
3	Aging, Office on	\$2,823,020	110%	\$420,269	16%	\$2,402,751
4	Alcoholic Beverage Regulation Administration	\$244,853	156%	\$249,841	159%	(\$4,988)
5	Arts and Humanities, Commission on	\$389,769	155%	\$337,722	134%	\$52,047
6	Asian and Pacific Islander Affairs, Office on	\$101,699	132%	\$90,354	117%	\$11,345
7	Attorney General for the District of Columbia, Office of the	\$826,385	114%	\$838,126	115%	(\$11,741)
8	Auditor, Office of the D.C.	\$42,424	211%	\$48,918	243%	(\$6,494)
9	Behavioral Health, Department of	\$14,215,427	134%	\$4,622,938	44%	\$9,592,489
10	Cable Television, Office of	\$222,566	149%	\$214,479	143%	\$8,087
11	Campaign Finance, Office of	\$191,664	150%	\$338,660	265%	(\$146,996)
12	Captive Insurance Agency	\$5,735	116%	\$5,735	116%	\$0
13	Chief Financial Officer, Office of the	\$10,963,104	132%	\$9,617,385	116%	\$1,345,719
14	Chief Medical Examiner, Office of the	\$754,475	102%	\$864,140	117%	(\$109,665)
15	Chief Technology Officer, Office of the	\$42,142,944	171%	\$8,067,968	33%	\$34,074,976
16	Child and Family Services Agency	\$2,247,342	345%	\$1,654,243	254%	\$593,099
17	City Administrator, Office of the	\$46,790	239%	\$26,766	137%	\$20,024
18	Consumer & Regulatory Affairs, Department of	\$4,994,714	127%	\$2,893,327	74%	\$2,101,387
19	Contract Appeals Board	\$8,538	147%	\$7,409	128%	\$1,129
20	Contracting and Procurement, Office of	\$510,834	124%	\$677,677	165%	(\$166,843)
21	Corrections, Department of	\$13,333,331	145%	\$3,154,684	34%	\$10,178,647

Appendix III

FY 2014 Verified SBE Expenditures vs. Agency Self-Reported SBE Expenditures in DSLBD's QuickBase database, as of March 2, 2015

	Agency	Agency Self-Reported FY 2014 SBE Expenditures	Agency Self-Reported % of SBE Goal Achieved	Verified FY 2014 SBE Expenditures	Verified % of SBE Goal Achieved	Difference (Self-Reported Minus Verified)
22	Disability Rights, Office of	\$22,830	104%	\$29,451	134%	(\$6,621)
23	Disability Services, Department on	\$492,676	160%	\$1,038,806	338%	(\$546,130)
24	Education, Deputy Mayor for	\$42,438	66%	\$22,796	35%	\$19,642
25	Elections, Board of	\$501,484	197%	\$561,895	220%	(\$60,411)
26	Employee Appeals, Office of	\$59,319	114%	\$43,074	83%	\$16,245
27	Employees' Compensation Fund	\$100,000	201%	\$100,145	201%	(\$145)
28	Employment Services, Department of	\$2,762,396	120%	\$2,125,936	92%	\$636,460
29	Environment, District Department of the	\$1,282,959	179%	\$727,474	102%	\$555,485
30	Ethics and Government Accountability, D.C. Board of	\$40,685	172%	\$31,842	135%	\$8,843
31	Finance and Resource Management, Office of	\$83,676	142%	\$120,183	204%	(\$36,507)
32	Fire and Emergency Medical Services Department	\$3,426,183	156%	\$3,025,619	138%	\$400,564
33	Forensic Sciences, Department of	\$157,758	101%	\$251,486	162%	(\$93,728)
34	General Services, Department of	\$120,592,310	192%	\$41,302,389	66%	\$79,289,921
35	Health and Human Services, Deputy Mayor for	\$347,432	143%	\$372,077	153%	(\$24,645)
36	Health Benefit Exchange Authority, D.C.	\$14,599,929	114%	\$6,927,601	54%	\$7,672,328
37	Health Care Finance, Department of	\$6,491,307	178%	\$3,985,392	109%	\$2,505,915
38	Health, Department of	\$9,398,289	153%	\$7,548,489	123%	\$1,849,800
39	Homeland Security and Emergency Management Agency	\$51,238	125%	\$1,852,384	4509%	(\$1,801,146)
40	Housing and Community Development, Department of	\$1,796,491	149%	\$798,902	66%	\$997,589
41	Human Resources, D.C. Department of	\$629,730	157%	\$1,058,204	264%	(\$428,474)
42	Human Rights, Office of	\$151,359	205%	\$183,573	248%	(\$32,214)

Appendix III

FY 2014 Verified SBE Expenditures vs. Agency Self-Reported SBE Expenditures in DSLBD's QuickBase database, as of March 2, 2015

	Agency	Agency Self-Reported FY 2014 SBE Expenditures	Agency Self-Reported % of SBE Goal Achieved	Verified FY 2014 SBE Expenditures	Verified % of SBE Goal Achieved	Difference (Self-Reported Minus Verified)
43	Human Services, Department of	\$5,115,789	387%	\$1,147,977	87%	\$3,967,812
44	Inspector General, Office of the	\$75,246	162%	\$283,520	610%	(\$208,274)
45	Insurance, Securities and Banking, Department of	\$165,437	103%	\$87,329	54%	\$78,108
46	Latino Affairs, Office on	\$88,052	176%	\$75,441	151%	\$12,611
47	Lottery & Charitable Games Control Board, D.C.	\$5,707,923	114%	\$6,047,131	121%	(\$339,208)
48	Mayor, Executive Office of the	\$290,293	107%	\$183,530	68%	\$106,763
49	Metropolitan Police Department	\$6,479,951	198%	\$3,977,541	121%	\$2,502,410
50	Motion Picture and Television Development, Office of	\$128,230	157%	\$110,250	135%	\$17,980
51	Motor Vehicles, Department of	\$1,404,667	113%	\$1,291,310	104%	\$113,357
52	National Guard, D.C.	\$619,335	139%	\$205,011	46%	\$414,324
53	Parks and Recreation, Department of	\$1,706,958	151%	\$1,115,852	99%	\$591,106
54	People's Counsel, Office of the	\$290,449	85%	\$353,231	103%	(\$62,782)
55	Planning and Economic Development, Office of the Deputy Mayor for	\$4,099,262	171%	\$7,831,512	327%	(\$3,732,250)
56	Planning, Office of	\$155,386	109%	\$125,847	88%	\$29,539
57	Police Complaints, Office of	\$24,198	140%	\$10,859	63%	\$13,339
58	Public Employee Relations Board	\$21,977	133%	\$14,294	86%	\$7,683
59	Public Library, District of Columbia	\$5,052,241	106%	\$3,641,055	76%	\$1,411,186
60	Public Safety and Justice, Office of the Deputy Mayor for	\$17,640	51%	\$59,666	173%	(\$42,026)
61	Public Schools, D.C.	\$8,628,841	117%	\$10,243,444	139%	(\$1,614,603)
62	Public Service Commission	\$716,116	207%	\$449,570	130%	\$266,546

Appendix III

FY 2014 Verified SBE Expenditures vs. Agency Self-Reported SBE Expenditures in DSLBD's QuickBase database, as of March 2, 2015

	Agency	Agency Self-Reported FY 2014 SBE Expenditures	Agency Self-Reported % of SBE Goal Achieved	Verified FY 2014 SBE Expenditures	Verified % of SBE Goal Achieved	Difference (Self-Reported Minus Verified)
63	Public Works, Department of	\$6,049,266	122%	\$10,367,759	210%	(\$4,318,493)
64	Real Property Tax Appeals Commission	\$12,330	86%	\$6,637	46%	\$5,693
65	Risk Management, D.C. Office of	\$18,591	156%	\$15,827	133%	\$2,764
66	Secretary, Office of the	\$94,352	125%	\$186,160	246%	(\$91,808)
67	Small and Local Business Development, Department of	\$43,337	117%	\$84,719	228%	(\$41,382)
68	Special Education Transportation	\$1,234,908	174%	\$1,253,653	177%	(\$18,745)
69	State Superintendent of Education, Office of the	\$1,456,175	125%	\$5,548,069	477%	(\$4,091,894)
70	Taxicab Commission, D.C.	\$5,491	1%	\$98,977	9%	(\$93,486)
71	Tenant Advocate, Office of the	\$24,685	61%	\$24,684	61%	\$1
72	Transportation, Department of	\$15,589,510	271%	\$1,863,000	32%	\$13,726,510
73	Unified Communications, Office of	\$8,825,560	122%	\$802,577	11%	\$8,022,983
74	Veterans' Affairs, Office of	\$8,218	122%	\$10,193	151%	(\$1,975)
75	Youth Rehabilitation Services, Department of	\$2,646,747	200%	\$1,971,824	149%	\$674,923
76	Zoning, Office of	\$651,586	362%	\$270,234	150%	\$381,352
	TOTAL	\$334,830,711	161%	\$166,276,080	80%	\$168,554,629

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	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
1	Aging, Office on	DCOA ensured that major contracts, services and goods were awarded to CBE contractors and vendors who were capable of fulfilling the contracts, by providing quality services and goods, at a fair market cost to the agency.	DCOA will continue to ensure that major contracts, services and goods are awarded to CBE contractors and vendors who are capable of fulfilling the contracts, by providing quality services and goods, at a fair market cost to the agency.	Yes
2	Alcohol Beverage Regulation Administration	The Alcoholic Beverage Regulation Administration (ABRA) consistently strives to utilize CBE businesses in our daily transactions as well as our larger procurements. ABRA has surpassed the CBE goal for FY 14 and will look to continued success in FY 2015.	ABRA will continue to utilize as many CBE businesses as possible in order to exceed the goal again in FY 2015.	No
3	Arts and Humanities, Commission on	Input requisitions request into PASS and requested preferred CBE vendor for services.	Goal has been achieved and will continue to ensure we request CBE vendors for services.	No
4	Asian and Pacific Islander Affairs, Office on	OAPIA achieved its CBE goals by purchasing standard services and products from CBE vendors.	OAPIA will continue purchasing goods and services from CBE vendors whenever possible.	No
5	Attorney General for the District of Columbia, Office of the	The Office of the Attorney General ("OAG") met its FY 2014 CBE goal by doing business, whenever possible, with CBEs. OAG's non-personal services expendable budget primarily funds litigation support, child support functions, information technology, and office operations. OAG utilizes CBEs for information technology and office operations, as there is a ready supply of CBE vendors providing those services. This includes equipment purchase and maintenance, furniture purchase, office supplies, computer supplies and relocation services. Similarly, if there are available CBE vendors that can provide the services necessary for OAG's child support functions, OAG attempts to procure their services.	OAG values the CBE program and understands the need to purchase goods and services from CBEs where they provide the kinds of goods and services that meet OAG's needs. OAG has consistently met its CBE goal in previous fiscal years. Unfortunately, OAG may not meet its FY 2014 CSBE goal because DSLBD included in OAG's expendable budget funds contractually due to the U.S. Department of Justice and for which services had already been provided by the time DSLBD disapproved the exclusion after first approving it. For the previous four fiscal years, DSLBD had approved excluding the funds due to the Department of Justice from OAG's expendable budget. The interagency agreement is the result of an order issued by the U.S. District Court mandating that OAG	No

¹⁷Supplementary information is presented as it was submitted to DSLBD with the exception of minor grammatical/spelling corrections made by ODCA.

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	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
		<p>When procuring litigation support services where OAG selects the deposition transcription company, OAG always uses CBE vendors. Each year, OAG’s contracting officer contacts all CBE deposition transcription services vendors and offers them the opportunity to respond to OAG’s request for proposals. Each litigating unit within OAG is assigned one or more CBE transcription vendors to ensure that only CBE vendors are used when OAG requires a court reporter.</p>	<p>implement a document management system that complied with the specific requirements of the court order regarding discovery. No District CBE provides the services enumerated in the court order. The document management system allows OAG to timely provide large discovery productions to opposing parties thereby avoiding adverse court rulings and discovery sanctions which could cost the District millions of dollars. Moreover, OAG renewed the agreement with the Department of Justice in September (as it had done annually for the prior three fiscal years) while DSLBD eventually denied the exclusion some time later. By including the funds allocated and already expended on the Department of Justice interagency agreement, DSLBD doubled OAG’s CBE target. OAG was already obligated and work had already been performed consistent with the agreement thereby making it impossible to expend the same funds for CBE services and placing OAG in a position to fail to meet its goal. But for DSLBD’s inclusion of these previously-obligated funds, OAG expected to otherwise meet its requested target amount. It would do so by diligently exercising its responsibilities to CBE vendors. OAG will continue the excellent work that is has been doing in this regard by: (1) seeking CBE vendor services for equipment purchase and maintenance, information technology services and supplies, office supplies and deposition transcription services; (2) closely scrutinizing employee requests for purchase orders to ensure that CBE vendors are used whenever possible and (3) actively reviewing OAG’s programs to determine whether CBE vendor services can be utilized.</p>	
6	Auditor, Office of the D.C.	Office of the District of Columbia Auditor (ODCA) gives first priority to approved SBE and CBE vendors when purchasing goods or acquiring services. If the ODCA finds that it can procure the same goods and services from both an approved SBE vendor, and a vendor that has not been approved as a CBE,	Office of the District of Columbia Auditor (ODCA) will continue to give preferences and priority to the approved SBE and CBE vendors. The agency will utilize DSLBD’s CBE data to recruit certified vendors who can provide services and goods that the agency need.	No

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	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
		the ODCA will select the CBE vendor for its needs.		
7	Behavioral Health, Department of	The Department of Behavioral Health is proud to announce that we exceeded our 50% Goal for FY2014.	DBH will continue to strive to meet and exceed our 50% Goal.	Yes
8	Captive Insurance Agency	<p>The Captive Insurance Agency (RJO), which is administered by the Office of Risk Management, has met its yearly set-aside expenditure goal for Certified Business Enterprise (CBE) for FY 2014.</p> <p>The Captive Agency, also known as "the Captive," is unique and distinct because of the nature of its programmed mission. In FY 14, the Captive provided medical malpractice insurance to community health centers and 24-hr physicians' insurance coverage to qualified medical providers. The Captive successfully branched out into the area of procuring private insurance for the District's building properties. The budget for this agency is primarily used to support the payment of claims, legal services, and other services and charges associated with managing the captive fund.</p> <p>In FY 14, the Captive met its goal by using its government purchase card to acquire supplies from CBE businesses.</p>	For FY 15, we will continue to strive to make as many purchases as possible with CBE businesses outside of the contracts previously awarded to other vendors.	No
9	Chief Medical Examiner, Office of the	The OCME achieved its goal because it was able to work with its body transport and public disposition vendor and encourage the vendor to register for SBE Certification.	The agency shall continue to work with its proprietary and specialized vendors to encourage them to register for certification.	No
10	City Administrator, Office of the	The OCA is heavily reliant upon the DC Supply Schedule for the purchase of goods and services.	The OCA exceeded our CBE expenditure requirement goal for FY14. It is anticipated we will do the same in FY15.	No

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	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
11	Contracting and Procurement, Office of	OCP has aggressively diversified expenditures for the CBE community. During Fiscal Year 2014 we exceed our goal by 17%.	OCP intends to continue to aggressively track CBE expenditures. In the future we hope to be able to track the expenditures of our subcontractors as well. In addition we intend to diversify our CBE relationships.	No
12	Disability Services, Department on	DDS used the OCP and Quickbase resources to identify CBE vendors for specific goods and services. Our contracting officer diligently assigned contracts and purchase orders to CBE vendors whenever possible. Challenged P-Card holders to justify the use of non-CBE vendors.	Continue to use OCP and Quickbase resources to identify new CBE vendors for specific goods and services. Work with the new contracting officer to ensure awarding contracts to CBE vendors is a priority. Meet the new required CBE contracting requirements.	No
13	Education, Deputy Mayor for	DME worked hard to meet the CBE Goal this year. We looked at each transaction needed and compared pricing to the CBE vendors. In some cases we went with outside vendors because the CBE prices were too high. In other cases there were no CBE vendors who could provide the work needed.	Agency intends to try to use more CBE vendors first to meet our goal even when the cost are much high.	Yes
14	Elections, Board of	<p>As an independent charter agency, the Board is charged with the enfranchisement of eligible residents, conducting elections, and assuring the integrity of the electoral process. We accomplish this, in part, by acquiring goods and services from certified small business enterprises where possible.</p> <p>Based upon the reports submitted to the D.C. Department of Small and Local Business Development (DSLBD), the Board met its projected expendable budget with certified small business enterprises during Fiscal Year 2014. Specifically, the Board actually spent funds in excess of the original allotment for the procurement of goods and services from small, certified local business enterprises, also known as "SCBEs". The Board's Fiscal Year 2014 actual expendable budget for FY2014 was \$510,175.00, and the agency's annual goal allocated for use with small certified business enterprises was \$255,087.50. The contracts awarded to SCBEs totaled \$501,484.46. This</p>	During each election cycle there is a need to procure goods and services from small and minority businesses. We will continue working with the CBE community and remain compliant with the District of Columbia Government's expectation of its agencies as it related to small and minority businesses.	No

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	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
		<p>represented a 196.59 percent increase in the amount of funds actually spent in Fiscal Year 2014 over the allocation goal.</p> <p>The Board conducted two elections during Fiscal Year 2014: the April 1, Primary Election; and the July 15, 2014 Special Election for Ward 8 Member of the State Board of Education. All of these elections led to increased activity and purchases with our SCBE vendors. The Board's appropriated funds were used to procure goods and services ranging from court reporting, Election Day supplies, print and communication solutions, IT, maintenance and repair services, printing and duplication services, as well as office supplies. These expenditures reflected the Board's commitment to SCBE compliance whenever possible.</p>		
15	Employees' Compensation Fund	<p>The Employees' Compensation Fund (BGo), under the jurisdiction of the Office of Risk Management, has met its yearly set-aside expenditure goal for Certified Business Enterprise (CBE) for FY 2014.</p> <p>The Employees' Compensation Fund supports the cost of claims management handling for the Public Sector Workers' Compensation Program (PSWCP). The monies are used for paying indemnity benefits to PSWCP claimants. The fund also pays compensation to claimants when an award is made either by the program or an administrative law judge. Other expenses that are paid from the fund include: Additional Medical Examinations (AME), pharmaceutical vendors, diagnostic testing, transportation services, physical therapy providers, attending physicians, surgery centers, hospitals and investigative services. In addition, the funds pay for the Third Party Administrator (TPA) contract to manage the day-to-day operations of the program as well as the annual actuarial study.</p> <p>This fund generally does not require spending outside of the</p>	<p>For FY15, the PSWCP program will renew the contract option for actuarial services. The actuarial contract was awarded to a CBE vendor and ensures that our expenditure goals for this budget are met.</p>	No

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	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
		<p>realm of medical services, vocational rehabilitation, indemnity payments and other services for claimants as mentioned in the description of activities above.</p> <p>In FY 14, BGO met its CBE goal with its actuarial contract, which was awarded to a CBE contractor by the Office of Contracting and Procurement in FY 12.</p>		
16	Employment Services, Department of	Department of Employment Services achieved the goals for CBE expenditures by following the rules and regulations set in the DC Code 2-+218-46.	The agency does not attend to make any changes to its current policy. It will continue to abide by the rules and regulations set in the DC Code with regards to achieving CBE goals.	No
17	Environment, District Department of the	The District Department of the Environment (DDOE) this year through additional compliance, assessment and internal staff training efforts is pleased to report that in fiscal year 14, DDOE exceeded its CSBE targeted goal. DDOE leadership and staff will continue to ensure that the agency annually remains on target and in compliance.	DDOE will continue to strengthen its commitment to CSBE compliance.	No
18	Ethics and Government Accountability, D.C. Board of	The Board of Ethics and Government Accountability (BEGA) exceeded its fiscal year 2014 goal as authorized by DSLBD. The task was accomplished by researching the Certified Small Business Entity listing to obtain qualified businesses for goods and/or services.	In FY'15, BEGA will continue to work hard towards achieving even greater margins of CSBE compliance by seeking out more small businesses to provide goods and/or services to the District Government.	No
19	Events DC	The Washington Convention and Sports Authority are pleased to report that our FY 2014 actual CSBE spending was \$15,509,710, or 139% of our goal. This was achieved by targeting procurements for CSBEs for commercial, off-the-shelf services, capital projects and items which we routinely procure.	The Washington Convention and Sports Authority plans to meet our FY 2015 goal by continuing to target procurements for CSBEs for commercial, off-the-shelf services, capital projects and items which we routinely procure.	Yes
20	Fire and Emergency Medical Services	Most importantly, all "non-exempt from competition" solicitations under \$100,000 are first presented to DCSS/CBE vendors. Additionally, FEMS vigorously monitors its	FEMS continues to work with the District Government's Office of Contracting and Procurement and the DSLBD to make sure that FEMS spends as much of its approved expendable budget	No

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	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
	Department	expenditures to ascertain how well it is doing to maximize CBE participation in its procurements. To help accomplish this end FEMS' procurement specialist often reaches out to the DSLBD and known CBE for procurement-related information.	for CBE's as possible.	
21	Forensic Sciences, Department of	Worked closely with CBE's on procurements that were not proprietary or trademarked.	Work closer with local CBE's to make them aware of the types of products and services DFS requires in order to operate.	No
22	Health Care Finance, Department of	<p>The Department of Health Care Finance is a unique agency in that ninety seven percent of our budget is provider payments. These are payments made to Medicaid providers to provide and ensure our beneficiaries are receiving services to maintain their health, safety and well-being. Providers go through a process of first receiving a license to provide services from the regulatory agency, then through CMS and lastly through DHCF. These services are not contractual (in the District's budget sense) and the beneficiary has right to choice. Since we do have these constraints with a large portion of the budget, DHCF has taken strides to make businesses that qualify as a SBE; aware of the opportunities that are at Department of Health Care Finance as a Medicaid administrative contract. There are numerous SBE's that provide support services to our Providers as well. Although this utilization is not captured in our SBE totals, it does show that DHCF expenditure activity supports SBE vendors within the District.</p> <p>In addition to subcontracting with SBE's, DHCF has required that all small purchases be made utilizing a SBE as well as worked with DSLBD to send out notices of potential opportunities for SBE's to apply.</p> <p>In FY2014; the DHCF met the required SBE goal and intend to continue the success.</p>	DHCF continues to require that all small purchases are made utilizing SBE's, our contract office also sends out notifications to SBE vendors making them aware of opportunities in the agency they may be interested in. DHCF also prioritizes SBE's for all contracts under 250,000, as required by the new law.	No

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	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
23	Health, Department of	<p>In FY14 as in previous years, the DOH Office of Procurement Services partnered with OCP to select CBE's to provide the goods and services needed to facilitate meeting the Department's program goals. The DOH OPS has been diligent in adhering to the laws and procedures that require priority selection of vendors from the DCSS and CBE register. We also consistently emphasize to our customer base the importance of utilizing local businesses, as well as explaining the process so that they have a clear understanding of the purchasing process and requirements.</p> <p>P-Card holders are also strongly encouraged in every ART meeting to use CBE's for the purchase of goods and services whenever possible.</p>	<p>DOH does not plan to make any significant changes to our approach as it has allowed us to meet or exceed our CBE goal for the past several years. This FY, we will continue to work closely with OCP to engage in trainings and other opportunities that will assist in our meeting our CBE utilization goals. We will continue to make a conscious effort to ensure that if at all possible, our purchase orders are awarded to our CBE vendors, and that those awards are rotated among the CBE pool as often as practical.</p> <p>We will vigorously maintain our efforts to enlighten our program offices on the importance of doing business with CBE vendors. We have educated them on how to use the DSLBD website to do market research on suppliers and will continue to provide them with information on CBE vendors that fit their particular requirements.</p>	No
24	Human Resources, D.C. Department of	DCHR has exceeded the goal of 50% for FY2014.	DCHR will continue to search for and work with CBE vendors that meet the needs of the agency.	No
25	Insurance, Securities and Banking, Department of	The Department continues to be successful in achieving the goal by making every effort to seek bids from current CBE vendors that are listed on the DSLBD website. This will continue to be our standard procedure as in past years to secure goods and services and only after this effort is exhausted do we seek alternative vendors.	DISB will continue to make every effort to reach the CBE goals that have been set aside each year and CBEs will be the first choice of vendor when applicable.	Yes
26	Latino Affairs, Office on	In FY 2014 the Office on Latino Affairs (OLA) met and exceeded its Certified Small Business Enterprise (CSBE) goal for the fiscal year. The OLA was able to accomplish this by conducting business, whenever possible, with CSBE's registered in the District of Columbia. OLA's expendable budget primarily funds office operations and outreach activities. OLA utilized CSBE's to	As noted previously, OLA exceeded its FY 2014 CSBE expenditure goal and will strive to meet or exceed their goal in FY2015 once again. The OLA will continue to use CSBE's to procure its copier lease and maintenance contract, purchase general office supplies and promotional materials. In addition, OLA will ensure that priority is given to CSBE's, whenever	No

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	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
		purchase office supplies and equipment; promotional and outreach materials, equipment purchases, maintenance cost, and to secure professional contractual services.	possible, when selecting vendors for all other goods and services.	
27	Mayor, Executive Office of the	In an effort to attain the FY14 SBE set aside goal established for the Mayor's Office (AAO) the DSLBD staff and Mayor's Offices' reporting officer continued to stress the importance of adherence to the spending initiatives with its agencies. Staff attended CSBE trainings to ensure that we further our understanding of reporting guidelines and build a better line of communication between the purchasing agencies, our DSLBD Office liaison, and our fiscal managers in order to better consolidate our resources for a more accurate representation of FY14 spending. In addition, we have and will continue to seek out new opportunities for both reoccurring and new work as far in advance as we are able. We will continue to encourage vendors who have provided a high level of services to us in the past (that are not certified) to seek assistance with DSLBD's Office and determine if they are eligible for becoming an SBE by providing them any information available to us through our internal contacts and the DSLBD website.	As previously stated, We will continue to encourage vendors who have provided a high level of services to us in the past that are not certified to seek assistance with DSLBD's Office and determine if they are eligible for becoming an SBE by providing them any information available to us through our internal contacts and the DSLBD website. We will also continue to work closely with our fiscal managers to assure that we are made aware of any changes to the budget in real time which will allow the EOM to notify the DSLBD so that adjustments can be made, and the integrity of the numbers is upheld on multiple internal and external reports at any given time.	Yes
28	People's Counsel, Office of the	This year the agency has increased usage of CSBE's	Work with DSLBD to make sure we are not missing any exclusion in our list. See if more vendors can be changed to CSBE's	No
29	Police Complaints, Office of	The Office of Police Complaints (DCPC) continues to use and search for CSBE's whenever possible. Even as we met our FY 2014 goal we always strive to use SBE vendors for office supplies and equipment whenever possible but also attempt to use our budget wisely so that can at times force us to us a vendor that provides a better price that may fall outside the CSBE guidelines. We also have a situation where	The Office of Police Complaints will not make any major changes to our goal of using CSBE in GY 15 but will continue to seek out and use those vendors when possible.	Yes

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Procurement Supplemental Information Analysis

	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
		the city doesn't have a CSBE that meets our needs so even though it is a local business it does not meet all the District of Columbia's standards for an LSBE.		
30	Public Safety and Justice, Office of the Deputy Mayor for	We utilized the CBE database as well as the Local Business Development Team and made purchases with our Certified Business Enterprises	NA	No
31	Public Schools, D.C.	The District of Columbia Public Schools (DCPS) achieved its CSBE goal with a total CSBE expenditure amount of \$8,580,928.36. On a percentage basis this represents a 116.04% goal attainment. During this period DCPS has demonstrated its commitment to maximizing business opportunities for CBEs. Working in tandem with DSLBD, District of Columbia Public Schools will continue to communicate to our school administrators the importance of utilizing local certified businesses.	For the past four years DCPS has exceeded the approved CSBE goals, we plan to continue our review of procurement practices with a goal of developing strategic sourcing techniques to improve high volume low value purchases. Through the AMEX Buy-down Program we engage our CBE vendors via purchases of educational, office and custodial supplies. We will continue to adhere to the DC code regarding utilizing the DCSS and CBE's and the 35% mandatory subcontracting requirement, where applicable.	No
32	Public Service Commission	The agency achieved its CSBE goal in FY 14. In the areas where CBE services and goods were available, the agency attempted to use CBE companies. The agency was successful in most instances in using CBEs.	The agency will continue to request companies to register with DSLBD to become a CBE company. In areas that the agency can, CBEs will be utilized to provide goods and services.	No
33	Public Works, Department of	Agency continues to work with DSLBD to identify CBEs for expenditures. Agency P-Card holders have been reminded of the requirement to use CBEs.	Continue to remind P-Card holders to utilize CBEs as much as possible. New CBE requirements effective 10/1/14 will be incorporated in all applicable FY15 solicitations/procurements.	No
34	Real Property Tax Appeals Commission	Our agency makes a conscious effort to spend our money with CBEs. Many of the services that we need are of a specialized nature and there are not any CBEs that provide those services. In terms of goods, our main purchases are in the categories of supplies and we spent \$11,000 on supplies with CBEs. We also used CBEs for temporary services and for courier services, bring	In FY 15 we will work more closely with OCP to find CBEs to provide equipment that we will purchase and we will continue to use CBEs for our supplies. We will also work with DSLBD to have the funds for the electronic filing system approved as an exclusion to our baseline budget. Based on our FY 15 procurement plan we should not have a shortfall.	Yes

Appendix IV

Procurement Supplemental Information Analysis

	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
		our total spending to \$12,330.46 with CBEs.		
35	Risk Management, D.C. Office of	<p>The Office of Risk Management (RKO) has met its yearly set-aside expenditure goal for Certified Business Enterprise (CBE) for FY 2014.</p> <p>The Office of Risk Management (RKO) has three separate budget agencies under its jurisdiction: Risk Management, the Public Sector Workers Compensation Program (BGo) and the Medical Liability Captive Insurance Agency (RJo). The main operating budget for ORM day-to-day operations is Risk Management (RKO).</p> <p>The funds from RKO are primarily used for personnel, overhead fixed costs, and professional services. The remainders of the funds are used for goods and services to support the daily operations of the agency, which provides ORM with more opportunities to do business with CBE vendors by using the agency purchase card or through purchase orders awarded by the Office of Contacts and Procurement.</p> <p>In FY 14, ORM met its CBE goal by purchasing furniture for an office renovation as well as supplies, and services for the day-to-day administrative functions of the agency.</p>	For FY 15, we will continue to strive to make as many purchases as possible with CBE businesses outside of the purchase orders contracts previously awarded to other vendors.	No
36	Small and Local Business Development, Department of	We utilized the CBE database as well as the Local Business Development Team and made purchases with our Certified Business Enterprises.	Re-evaluate processes in which the agency's programs outreach to CBE firms prior to procurement, and make it a standard operating procedure for divisions and programs to place a request with the division of Local Business Development to research firms. This process will allow DSLBD to proactively support CSBEs on an ongoing basis. The Local Business Development team members will provide a listing prior to division representatives beginning their procurement process. We will take a closer look at promoting grantees use of CBEs as	N/A

Appendix IV

Procurement Supplemental Information Analysis

	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
			well.	
37	Unified Communications, Office of	With every purchase, particularly those within our span of control such as purchases the agency intends to make via credit card, the OUC gives first preference to the CBE community. With larger purchases, the agency defers to the Office of Contracting and Procurement with the understanding that CBEs receive preference points during the bid evaluation process.	The OUC achieved the goal this year. Nonetheless, the agency plans to continue to engage the CBE community to purchase goods and services whenever possible going forward.	Yes
38	University of the District of Columbia	The University's Office of Contracting and Procurement is committed to ensuring the success of this program by continually educating the University's community, equipping the purchase cardholders and end users with DSLBD's certified contractor listing and educating the cardholders and end users on how to utilize DSLBD's website. Monthly friendly reminders are sent to cardholders and end-user to remind them to utilize CBEs whenever possible. Contract Specialist uses commodity lookup on DSLBD's website to ensure that they utilize CBEs especially CSBEs first.	The University has implemented a certified business enterprises (CBE) awareness program to ensure that the purchase cardholders, end users and contract specialists reach out to the certified business enterprises first when applicable. The University's Office of Contracting and Procurement is committed to ensuring the success of this program by continually educating the University's community, equipping the purchase cardholders and end users with DSLBD's certified contractor listing and educating the cardholders and end users on how to utilize DSLBD's website. The University Office of Contracting and Procurement is committed to continuing to grow its partnership with the Department of Small and Local Business Development to educate the University Community regarding the CBE program. The University, Office of Contracting and Procurement in conjunction with the Department of Small and Local Business Development are planning to hold several training sessions in FY 2015 to educate the University Community on the importance of utilizing the CBEs and how using these small business help generate revenue for the District of Columbia.	Yes
39	Veterans' Affairs, Office of	The OVA successfully surpassed their goal this year. WE aggressively sought Small Local Businesses and are proud of the	We intend to continue to reach our goals in the future. We are also encouraging out veteran owned businesses to seek	No

Appendix IV

Procurement Supplemental Information Analysis

	Agency	Activities the agency engaged in to achieve the FY 2014 SBE expenditure goal ¹⁷	Changes the agency intends to make in FY 2015 to achieve the SBE expenditure goal	ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities.
		partnership with one Veteran Owned Business that produced our banners this year. With the lapse of funds that were previously held for unforeseen expenses that were not utilized, we were able to spend more than reported.	certification with the Department of Small and Local Business.	
40	Youth Rehabilitation Services, Department of	DYRS develops a spending/procurement plan for all funds each fiscal year. Prior to the beginning of each Fiscal Year, as part of spending forecast planning, program managers are re-trained on CSBE policy and on the importance of identifying and utilizing SBE and CBE vendors when applicable. DYRS identifies which funds are set aside for DSLBD vendors and works with OCP for all requisitions to ensure that SBE and CBE vendors are provided preference when applicable. The approved CSBE Goal is monitored on a monthly basis by management to ensure that it is met. Adjustments are made to the spending/procurement plan if needed in order achieve the required expenditure goals. DYRS exceeded its goal for FY14 with a total of 199.96%.	N/A. We plan to expand upon use of CSBE expenditures. However, for FY15 our CSG 50 budget (which is excluded as it is services directly related to the youth) has been reduced, so our CSBE goal is higher than year's past. We still expect to surpass the expenditure goal.	No
41	Zoning, Office of	Whenever possible, OZ made every effort to spend all expendable dollars with CSBEs before contracting with non-CSBE entities. Please note that 92% (\$649,160.60) of all expenditures were spent with CBEs.	In FY 2015, OZ maintains its pledge to spend all expendable dollars with CSBEs before contracting with non-CSBE entities. However, as the award of contracts is not solely at the discretion of OZ, but primarily at the discretion of the Office of Contracting and Procurement, OZ can request contracts be awarded to CSBEs, but ultimately the request may be denied. In an effort to ensure compliance this fiscal year, OZ has made a concerted effort to encourage non-CSBE awardees to become CSBE-certified.	No

Appendix V

Agencies That Did Not Submit Procurement Supplemental Information as of March 2, 2015

1. Administrative Hearings, Office of
2. Advisory Neighborhood Commissions, Office of
3. Cable Television, Office of
4. Campaign Finance, Office of
5. Chief Financial Officer, Office of the
6. Chief Technology Officer, Office of the
7. Child and Family Services Agency
8. Consumer & Regulatory Affairs, Department of
9. Contract Appeals Board
10. Corrections, Department of
11. Disability Rights, Office of
12. Employee Appeals, Office of
13. Finance and Resource Management, Office of
14. General Services, Department of
15. Health and Human Services, Deputy Mayor for
16. Health Benefit Exchange Authority, D.C.
17. Homeland Security and Emergency Management Agency
18. Housing Finance Agency
19. Housing and Community Development, Department of
20. Human Rights, Office of
21. Human Services, Department of
22. Inspector General, Office of the
23. Lottery & Charitable Games Control Board, D.C.
24. Metropolitan Police Department
25. Motion Picture and Television Development, Office of
26. Motor Vehicles, Department of
27. National Guard, D.C.
28. Not-for-Profit Hospital Corporation (United Medical Center)
29. Parks and Recreation, Department of
30. Planning and Economic Development, Office of the Deputy Mayor for
31. Planning, Office of
32. Public Charter School Board, District of Columbia

Appendix V

Agencies That Did Not Submit Procurement Supplemental Information as of March 2, 2015

33. Public Employee Relations Board
34. Public Library, District of Columbia
35. Retirement Board, D.C.
36. Secretary, Office of the
37. Special Education Transportation
38. State Superintendent of Education, Office of the
39. Taxicab Commission, D.C.
40. Tenant Advocate, Office of the
41. Transportation, Department of

Appendix VI

Agencies That Submitted FY 2015 Procurement Plans as of March 20, 2015

1. Administrative Hearings, Office of
2. Advisory Neighborhood Commissions, Office of
3. Aging, Office on
4. Alcoholic Beverage Regulation Administration
5. Arts and Humanities, Commission on
6. Asian and Pacific Islander Affairs, Office on
7. Attorney General for the District of Columbia, Office of the
8. Auditor, Office of the D.C.
9. Cable Television, Office of
10. Campaign Finance, Office of
11. Captive Insurance Agency
12. Chief Financial Officer, Office of the
13. Chief Medical Examiner, Office of the
14. Chief Technology Officer, Office of the
15. Child and Family Services Agency
16. City Administrator, Office of the
17. Consumer & Regulatory Affairs, Department of
18. Contract Appeals Board
19. Contracting and Procurement, Office of
20. Corrections, Department of
21. Disability Rights, Office of
22. Disability Services, Department on
23. Elections, Board of
24. Employee Appeals, Office of
25. Employees' Compensation Fund
26. Employment Services, Department of
27. Environment, District Department of the
28. Ethics and Government Accountability, D.C. Board of
29. Events DC
30. Fire and Emergency Medical Services Department
31. Forensic Sciences, Department of
32. General Services, Department of

Appendix VI

Agencies That Submitted FY 2015 Procurement Plans as of March 20, 2015

33. Health Benefit Exchange Authority, D.C.
34. Health Care Finance, Department of
35. Health, Department of
36. Homeland Security and Emergency Management Agency
37. Housing and Community Development, Department of
38. Human Resources, D.C. Department of
39. Human Rights, Office of
40. Human Services, Department of
41. Inspector General, Office of the
42. Insurance, Securities and Banking, Department of
43. Latino Affairs, Office on
44. Lottery & Charitable Games Control Board, D.C.
45. Mayor, Executive Office of the
46. Metropolitan Police Department
47. Motion Picture and Television Development, Office of
48. Motor Vehicles, Department of
49. National Guard, D.C.
50. Parks and Recreation, Department of
51. People's Counsel, Office of the
52. Planning and Economic Development, Office of the Deputy Mayor for
53. Planning, Office of
54. Police Complaints, Office of
55. Public Charter School Board, District of Columbia
56. Public Employee Relations Board
57. Public Library, District of Columbia
58. Public Safety and Justice, Office of the Deputy Mayor for
59. Public Schools, D.C.
60. Public Service Commission
61. Public Works, Department of
62. Real Property Tax Appeals Commission
63. Retirement Board, D.C.
64. Risk Management, D.C. Office of

Appendix VI

Agencies That Submitted FY 2015 Procurement Plans as of March 20, 2015

65. Secretary, Office of the
66. Small and Local Business Development, Department of
67. Special Education Transportation
68. State Superintendent of Education, Office of the
69. Taxicab Commission, D.C.
70. Tenant Advocate, Office of the
71. Transportation, Department of
72. Unified Communications, Office of
73. University of the District of Columbia
74. Veterans' Affairs, Office of
75. Youth Rehabilitation Services, Department of
76. Zoning, Office of

Appendix VII

Agencies That Did Not Submit FY 2015 Procurement Plans as of March 20, 2015

1. Behavioral Health, Department of
2. Education, Deputy Mayor for
3. Finance and Resource Management, Office of
4. Health and Human Services, Deputy Mayor for
5. Housing Finance Agency
6. Not-for-Profit Hospital Corporation (United Medical Center)