



**District of Columbia Agencies'
Compliance with Fiscal Year 2013
Small Business Enterprise
Expenditure Goals**

July 7, 2014

Audit Team:

Laura Hopman, Assistant Deputy Auditor
Lilai Gebreselassie, Senior Auditor

A Report by the Office of the District of Columbia Auditor
Lawrence Perry, Acting District of Columbia Auditor

The Honorable Phil Mendelson, Chairman
Council of the District of Columbia
1350 Pennsylvania Avenue, NW, Suite 504
Washington, D.C. 20004

Letter Report: District of Columbia Agencies' Compliance with Fiscal Year 2013 Small Business Enterprise Expenditure Goals

Dear Chairman Mendelson:

The Office of the District of Columbia Auditor (ODCA) examined and assessed District of Columbia government agencies' compliance with fiscal year (FY) 2013 Small Business Enterprise (SBE) expenditure goals (October 1, 2012 through September 30, 2013).¹

Objectives, Scope and Methodology

The objectives of this examination were to determine:

1. Whether District agencies met the required goal of procuring 50 percent of their expendable budgets with SBEs in FY 2013;² and
2. District agencies' compliance with SBE goal establishment and expenditure reporting requirements.³

The examination covered the period October 1, 2012 through September 30, 2013. We did not conduct the examination as an audit as defined by the Government Accountability Office's Government Auditing Standards.

In conducting this examination, we reviewed 80 agencies, offices or programs⁴ that the Department of Small and Local Business Development (DSLBD) determined were required to (1) procure 50 percent of their expendable budget for goods and services from SBEs each fiscal year and (2) submit quarterly SBE expenditure reports, as well as an annual report, to DSLBD and ODCA. We reviewed appropriated budgets, expendable budgets, and reported SBE goals for each agency. We also verified vendors in DSLBD's CBE Online System and reviewed DSLBD's QuickBase data tracking system.

For 74 of the 80 agencies, we reviewed their SBE expenditures using the District's System of Accounting and Reporting (SOAR) and the Office of Contracting and Procurement's Purchase

¹ See D.C. Official Code § 2-218.41. The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law as it was written during FY 2013.

² Ibid.

³ See D.C. Official Code §2-218.53(a) and (b). The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law as it was written during FY 2013.

⁴ DSLBD QuickBase Data Tracking System and discussion with DSLBD staff, as of June 18, 2014.

Card (P-Card) data. Six of the 80 agencies do not record expenditures in SOAR, using financial systems independent of SOAR to record their expenditures. As a result, no quarterly expenditures could be retrieved from SOAR for these six agencies. Therefore, we were not able to independently verify the submitted expenditure data for the six agencies. However, we did verify, for the agencies that submitted required quarterly reports, that the submitted expenditures were spent with certified SBEs at the time of the expenditure. The six agencies are listed below:

1. Events DC
2. Housing Finance Agency
3. D.C. Public Charter School Board
4. D.C. Public Charter Schools
5. D.C. Retirement Board
6. The University of the District of Columbia

Background

In FY 2013⁵, the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005 (the Act), as amended, stated that “it shall be the goal and responsibility of the Department [of Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in and serving the District of Columbia, particularly certified business enterprises, with the intended goals of:

- (A) Stimulating and expanding the local tax base of the District of Columbia;
- (B) Increasing the number of viable employment opportunities for District residents; and
- (C) Extending economic prosperity to local business owners, their employees, and the communities they serve.”

The Act tasked DSLBD with providing “advocacy, business development programs, and technical assistance offerings” that will “maximize opportunities for certified business enterprises to participate in:

- (A) The District's contracting and procurement process;
- (B) The District's economic development activities; and
- (C) Federal and private sector business opportunities that occur in the District of Columbia.”⁶

The Act also established the following categories of businesses: Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs).⁷

The Act required each District agency to contract 50 percent of the dollar volume of its goods and services to SBEs each fiscal year.⁸ Additionally, it required agencies to submit quarterly SBE expenditure reports to DSLBD and ODCA.⁹ The Act contained no expenditure requirements for LBEs, DBEs, DZEs, ROBs, LRBs, LMEs, or VOBs.

To establish FY 2013 SBE goals, DSLBD required each agency to access QuickBase, DSLBD's electronic database containing all budget, SBE goal, and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in QuickBase, the items in their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD's approval, the QuickBase system subtracted the exclusions from the agency's

⁵ The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law as it was written during FY 2013.

⁶ See D.C. Official Code §2-218.13(a).

⁷ See D.C. Official Code §2-218.31 et seq. The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law as it was written during FY 2013.

⁸ See D.C. Official Code § 2-218.41. The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law as it was written during FY 2013.

⁹ See D.C. Official Code §2-218.53(a) and (b). The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law as it was written during FY 2013.

appropriated budget to determine the agency's expendable budget. To determine the SBE goal, the QuickBase system multiplied the expendable budget by 50 percent.

Results of the Auditor's Examination

According to DSLBD's QuickBase database as of May 27, 2014, the 80 agencies we assessed had a total FY 2013 reported appropriated budget of \$9,955,117,156 and a total reported expendable budget for goods and services of \$1,013,311,562. Based on the expendable budget of \$1,013,311,562 the FY 2013 SBE expenditure goal for the 80 agencies was \$506,655,781.¹⁰

Five of the 80 agencies had not properly documented exclusions to their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Therefore, DSLBD calculated the SBE goals of the following five agencies by multiplying their entire appropriated budget by 50 percent:

1. Office of Advisory Neighborhood Commissions
2. Office of Employee Appeals
3. Housing Finance Agency
4. D.C. Public Charter Schools
5. Public Employee Relations Board

The 80 agencies' FY 2013 reported appropriated and expendable budgets and reported SBE goals are listed in Appendix I.

FY 2013 Expenditure Results

We found that the 80 agencies, as a whole, spent a total of \$172,869,111 with SBEs in FY 2013 or 34 percent towards the \$506,655,781 total FY 2013 SBE expenditure goal for all of the 80 agencies. The FY 2013 SBE expenditures for each of the 80 agencies are listed in Appendix I.

Total FY 2013 expenditures of the 80 agencies on Local Business Enterprises, Small Business Enterprises, Disadvantaged Business Enterprises, Local Business Enterprises with Principle Offices Located in an Enterprise Zone, Resident-Owned Businesses, Longtime Resident Businesses, Local Manufacturing Enterprises, and Veteran Owned Businesses are presented in Appendix II.

DSLBD did not establish an SBE expenditure goal for the Department of Forensic Sciences which commenced operations on October 1, 2012. Therefore, this examination of FY 2013 SBE expenditures did not include a review of the SBE expenditures of the Department of Forensic Sciences.

¹⁰ This report reflects all changes to appropriated and expendable budgets, as well as SBE expenditure goals, as of May 27, 2014.

Seven District Agencies Did Not Submit Expenditure Data for Every Quarter in FY 2013

According to DSLBD’s QuickBase database, as of May 27, 2014, seven agencies did not submit the required quarterly expenditure report for every quarter in FY 2013. The seven agencies that did not submit the required expenditure report for every quarter in FY 2013 are presented in Figure 1.

Figure 1
Agencies That Did Not Submit Expenditure Data for Every Quarter in FY 2013

	Agency	1 st Quarter Report Received	2 nd Quarter Report Received	3 rd Quarter Report Received	4 th Quarter Report Received
1	Advisory Neighborhood Commissions, Office of	No	No	No	No
2	District of Columbia Public Charter School Board	No	No	Yes	Yes
3	Employee Appeals, Office of	No	No	No	No
4	Finance and Resource Management, Office of	Yes	Yes	Yes	No
5	Housing Finance Agency	No	No	No	No
6	Public Charter Schools, D.C.	No	No	No	No
7	Public Employee Relations Board	No	No	No	No

Because we obtain agency expenditures directly from SOAR and P-Card data, the lack of an agency-submitted expenditure report did not prevent us from listing expenditure totals for the majority of agencies in Appendices I and II.

We found, however, that three of the seven agencies that did not submit all required quarterly expenditure reports for FY 2013, the Housing Finance Agency, the D.C. Public Charter School Board, and D.C. Public Charter Schools, also do not report expenditures through SOAR, leaving the complete expenditures toward their combined SBE expenditure goal of \$275,501,113 unexamined by either the Auditor or DSLBD. As a result, there is an incomplete presentation of the District’s overall SBE goal attainment.

To address issues pertaining to the seven agencies that did not submit all required FY 2013 expenditure reports and the three agencies that did not record expenditures in SOAR, we offer the following recommendations:

1. Within 30 days of the release of this report, DSLBD should notify (1) the Chairman of the Council Committee responsible for providing oversight of the non-compliant agency, (2) the Chairman of the Council Committee on Business, Consumer and Regulatory Affairs, and (3) the Mayor that the 7 agencies listed in this report failed to submit all required expenditure reports for FY 2013.

2. Within 30 days of the release of this report, DSLBD should review the status of the three agencies that did not submit all reports and did not record expenditures in SOAR to determine the best method for accurately capturing their expenditures.

DSLBD's Goal-Setting Process Had Flaws

We found that several agencies exceeded their FY 2013 SBE goal by excessively large amounts. The excessive amount by which some agencies exceeded their SBE goals raises questions about the validity of the declared expendable budgets of certain agencies. This is a recurring issue that we have addressed in previous reports. In our report entitled "District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals through the 2nd Quarter of Fiscal Year 2014," published on June 18, 2014, we found that some agencies are on track to exceed their goals by excessive amounts in FY 2014. These recurring findings indicate that there may be an ongoing issue with DSLBD's goal setting process. We will continue to monitor DSLBD's goal setting process in FY 2014.

We also found that DSLBD made significant changes to FY 2013 SBE expenditure goals late in the fiscal year. During both the 4th quarter of FY 2013 and after the end of FY 2013, DSLBD approved agency exclusions from appropriated budgets that reduced the total FY 2013 SBE expenditure goal for all agencies from approximately \$614 million to \$507 million. While some changes to goals are to be expected due to budget reductions or augmentations, a reduction of over \$100 million during and after the last quarter of the year is troublesome.

To address the issues of the excessive amounts by which some agencies exceed their SBE goals and significant changes to expenditure goals, we offer the following recommendations:

1. Within 30 days of the release of this report, DSLBD should review all FY 2014 SBE expenditure goals to ensure that they are realistic.
2. Within 30 days of the release of this report, DSLBD should establish a reasonable deadline for changes to SBE expenditure goals for FY 2014.

Many District Agencies Submitted Inaccurate SBE Expenditure Reports

We found significant differences between the expenditure totals that District agencies entered into DSLBD's QuickBase database and expenditure totals that we verified through SOAR and P-Card data. Our analysis of the differences between reported expenditures and amounts spent with SBEs, based on the 71 agencies for which a comparison is possible, is listed in Appendix III.

The cause of discrepancies between SBE expenditures reported by agencies and actual SBE expenditures may be that: (1) agencies reported contract awards rather than expenditures; (2) agencies reported dollars appropriated in previous years but expended in the reporting quarter or year; (3) some Agency Compliance Officers, who were responsible for compiling and submitting SBE expenditure reports, did not know how to locate the correct SBE financial data; or (4) some Agency Compliance Officers did not receive the SBE financial data from their Agency Fiscal Officer in a timely manner.

As a result of the inability of agencies to correctly track and submit accurate quarterly SBE expenditure data, DSLBD does not have the necessary information to assess agency goal attainment and to identify agencies that need assistance in procuring goods and services from SBEs. DSLBD's lack of SBE expenditure information may ultimately result in a loss of procurement opportunities for SBEs. Additionally, the failure of agencies to accurately track SBE quarterly expenditures prevents agency procurement officials from making necessary spending adjustments during the year to meet agency annual SBE goals.

DSLBD worked to improve the SBE reporting system in FY 2013 using the QuickBase database system. We will continue to monitor the implementation of improvements to the QuickBase database system to determine whether the improvements result in better quality data.

To address the issue of the submission of inaccurate agency SBE expenditure reports, we offer the following recommendation:

1. The DSLBD Director should begin calculating agency SBE expenditure totals using SOAR and P-Card data, rather than relying solely on agency submissions.

Procurement Supplemental Information Analysis

D.C. Official Code required each District agency to submit the following procurement supplemental information with their FY 2013 SBE expenditure report:

1. A description of the activities the agency engaged in to achieve their FY 2013 SBE expenditure goal; and
2. A description of any changes the agency intends to make during FY 2014 to achieve their SBE expenditure goal.¹¹

Of the 80 agencies, 49 agencies entered complete procurement supplemental information in QuickBase and 31 agencies did not enter the required procurement supplemental information in QuickBase.

There was a decrease in the number of agencies that submitted procurement supplemental information for FY 2013 over FY 2012.¹² In FY 2013, 49 agencies submitted procurement supplemental information, compared with 60 agencies that submitted procurement supplemental information in FY 2012. The quality of the procurement supplemental information remained fairly consistent as compared to FY 2012. It was evident that many agencies were taking a proactive approach in developing strategies to track their SBE expenditures and assess the capabilities of potential SBEs.

Appendix IV summarizes (1) the supplemental procurement information from the 49 compliant agencies, (2) our assessment of whether the planned activities of the 49 agencies for FY 2014 are likely to enable the agencies to achieve their SBE expenditure goals, and (3) our recommendations on activities the 49 agencies should engage in to meet or exceed their FY 2014 goals.

Appendix V lists the 31 agencies that did not submit the required supplemental procurement information.

¹¹ See D.C. Official Code §2-218.53(b). The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was amended on June 10, 2014. The references in this report are to the law as it was written during FY 2013.

¹² See ODCA Letter Report: District of Columbia Agencies' Compliance with Fiscal Year 2012 Small Business Enterprise Expenditure Goals, June 25, 2013

FY 2014 Procurement Plan Analysis

District of Columbia Municipal Regulations (DCMR) require agencies to submit procurement plans to DSLBD one month prior to the beginning of each fiscal year.¹³

Of the 80 agencies, 60 agencies submitted a FY 2014 procurement plan and 20 agencies did not submit a FY 2014 procurement plan. The 60 agencies that submitted a procurement plan are listed in Appendix VI. The 20 agencies that did not submit a procurement plan are presented in Appendix VII.

To address the issue of agencies that do not submit procurement plans, we offer the following recommendation:

1. In accordance with DCMR requirements, the DSLBD Director should report agencies that do not submit procurement plans to the City Administrator within 30 days of the start of the fiscal year.¹⁴

¹³ See DCMR Title 27, Section 832.1

¹⁴ See DCMR Title 27, Section 832.2

Conclusion

Based on our examination, we found that 80 agencies collectively spent \$172,869,111 with SBEs in FY 2013 or 34 percent of the cumulative reported SBE goal of \$506,655,781. Of the 80 agencies, 45 agencies met or exceeded their FY 2013 expenditure goal, spending a combined total of \$130,402,524.

Although many agencies reached or exceeded their SBE expenditure goal, many agencies did not reach their SBE goal. In total, the District of Columbia fell over \$333 million short of the overall SBE expenditure goal of \$506,655,781. As a result, small businesses were deprived of the economic growth and development of business that the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act was designed to provide.

We continue to remain concerned that problems persist in DSLBD's goal-setting process and that some agencies continue to submit inaccurate SBE expenditure data. DSLBD has initiated significant improvements to the procedures used to establish District agency SBE goals and monitor agency compliance with SBE reporting requirements and goal attainment. We will continue to monitor DSLBD's efforts to ensure realistic agency SBE expenditure goals, establish a reasonable deadline for changes to SBE expenditure goals and address the issue of inaccurate agency SBE expenditure reports.

Sincerely,

A handwritten signature in black ink, appearing to read "Lawrence Perry". The signature is fluid and cursive, written in a professional style.

Lawrence Perry
Acting District of Columbia Auditor

Appendices

Appendix I

Fiscal Year 2013 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2013 SBE Expenditures	% of SBE Goal Achieved
1	Administrative Hearings, Office of	\$9,235,000	\$453,327	\$226,663	\$23,896	\$47,361	\$40,343	\$225,901	\$337,501	149%
2	Advisory Neighborhood Commissions, Office of	\$894,000	\$894,000	\$447,000	\$206	\$0	\$68	\$400	\$674	0%
3	Aging, Office on	\$25,544,000	\$3,770,715	\$1,885,358	\$5,822	\$21,518	\$27,883	\$84,373	\$139,596	7%
4	Alcohol Beverage Regulation Administration	\$6,835,000	\$313,264	\$156,632	\$14,910	\$57,636	\$24,137	\$86,901	\$183,584	117%
5	Arts and Humanities, Commission on	\$17,135,000	\$516,129	\$258,065	\$10,084	\$25,438	\$8,355	\$85,812	\$129,689	50%
6	Asian and Pacific Islander Affairs, Office on	\$798,168.04	\$23,396	\$11,698	\$602	\$1,864	\$7,445	\$7,112	\$17,023	146%
7	Attorney General for the District of Columbia, Office of the	\$96,650,000	\$2,319,257	\$1,159,629	\$157,268	\$275,489	\$285,519	\$662,780	\$1,381,056	119%
8	Auditor, Office of the D.C.	\$4,276,000	\$30,018	\$15,009	\$626	\$15,859	\$11,743	\$94,703	\$122,931	819%
9	Cable Television, Office of	\$8,592,000	\$305,889	\$152,944	\$5,291	\$14,646	\$5,535	\$216,804	\$242,276	158%
10	Campaign Finance, Office of	\$2,601,000	\$147,100	\$73,550	\$0	\$2,459	\$4,210	\$199,465	\$206,134	280%
11	Chief Financial Officer, Office of the	\$151,613,000	\$11,118,747	\$5,559,374	\$965,662	\$2,226,670	\$2,272,625	\$3,698,651	\$9,163,608	165%
12	Chief Medical Examiner, Office of the	\$7,924,000	\$623,882	\$311,941	\$9,589	\$50,890	\$132,004	\$255,075	\$447,558	143%

Appendix I

Fiscal Year 2013 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2013 SBE Expenditures	% of SBE Goal Achieved
13	Chief Technology Officer, Office of the	\$80,708,000	\$44,045,848	\$22,022,924	\$994,550	\$1,096,786	\$268,242	\$4,604,666	\$6,964,244	32%
14	Child and Family Services Agency	\$257,067,000	\$419,058	\$209,529	\$114,383	\$333,036	\$229,030	\$755,500	\$1,431,949	683%
15	City Administrator, Office of the	\$3,689,000	\$140,449	\$70,225	\$12,482	\$4,839	\$15,877	\$62,868	\$96,066	137%
16	Consumer & Regulatory Affairs, Department of	\$32,952,000	\$4,343,114	\$2,171,557	\$242,041	\$616,926	\$419,521	\$881,621	\$2,160,109	99%
17	Contract Appeals Board	\$1,051,000	\$6,000	\$3,000	\$171	\$1,628	\$99	\$5,077	\$6,975	233%
18	Contracting and Procurement, Office of	\$8,971,000	\$407,032	\$203,516	\$63,494	\$126,360	\$65,132	\$331,210	\$586,196	288%
19	Corrections, Department of	\$144,954,000	\$15,420,599	\$7,710,300	\$207,308	\$558,866	\$191,634	\$1,522,402	\$2,480,210	32%
20	D.C. Taxicab Commission	\$1,891,000	\$96,278	\$48,139	\$25,533	\$8,483	\$9,078	\$24,147	\$67,241	140%
21	Disability Rights, Office of	\$1,840,000	\$57,217	\$28,608	\$0	\$0	\$0	\$60,945	\$60,945	213%
22	Disability Services, Department on	\$93,113,000	\$297,022	\$148,511	\$111,743	\$216,336	\$177,864	\$691,495	\$1,197,438	806%
23	District of Columbia Public Charter School Board ¹⁵	\$3,495,000	\$236,225	\$118,113	N/A	N/A	\$23,924	\$12,198	\$36,122	31%

¹⁵ The District of Columbia Public Charter School Board has independent procurement authority and does not record expenditures in SOAR. The District of Columbia Public Charter School Board did not submit FY 2013 expenditure reports for quarters 1 and 2, but they did submit expenditure reports for quarters 3 and 4. We were not able to independently verify the submitted expenditure data for quarters 3 and 4 for the District of Columbia Public Charter School Board.

Appendix I

Fiscal Year 2013 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2013 SBE Expenditures	% of SBE Goal Achieved
24	Education, Deputy Mayor for	\$2,303,000	\$33,210	\$16,605	\$404	\$64,360	\$24,943	\$4,607	\$94,314	568%
25	Elections, Board of	\$6,909,540	\$110,000	\$55,000	\$71,492	\$174,724	\$231,520	\$35,379	\$513,115	933%
26	Employee Appeals, Office of	\$1,468,000	\$1,468,000	\$734,000	\$5,841	\$8,984	\$5,614	\$13,372	\$33,811	5%
27	Employees' Compensation Fund	\$19,822,000	\$100,178	\$50,089	\$24,480	\$75,520	\$0	\$0	\$100,000	200%
28	Employment Services, Department of	\$122,179,000	\$1,261,571	\$630,786	\$39,301	\$207,535	\$250,944	\$332,646	\$830,426	132%
29	Environment, District Department of the	\$88,335,207.66	\$741,601	\$370,800	\$53,885	\$197,103	\$261,694	\$520,087	\$1,032,769	279%
30	Ethics and Government Accountability, Board of	\$1,039,000	\$137,444	\$68,722	\$4,940	\$28,973	\$213	\$38,702	\$72,828	106%
31	Events DC ¹⁶	\$129,711,245	\$32,687,367	\$16,343,684	\$12,262,401	\$1,283,784	\$2,369,502	\$4,594,077	\$20,509,764	125%
32	Finance and Resource Management, Office of	\$32,638,000	\$87,367	\$43,684	\$5,290	\$4,231	\$1,238	\$29,795	\$40,554	93%
33	Fire and Emergency Medical Services Department	\$206,042,000	\$2,035,483	\$1,017,742	\$176,722	\$345,160	\$481,837	\$1,518,373	\$2,522,092	248%
34	General Services, Department of	\$413,886,000	\$92,858,908	\$46,429,454	\$2,736,636	\$9,239,068	\$13,622,472	\$27,870,614	\$53,468,790	115%

¹⁶ Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

Appendix I

Fiscal Year 2013 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2013 SBE Expenditures	% of SBE Goal Achieved
35	Health and Human Services, Deputy Mayor for	\$947,550	\$353,501	\$176,751	\$0	\$20,155	\$27,720	\$67,184	\$115,059	65%
36	Health Care Finance, Department of	\$2,489,196,000	\$78,796,921	\$39,398,460	\$122,642	\$1,884,203	\$163,097	\$710,677	\$2,880,619	7%
37	Health, Department of	\$272,394,000	\$6,945,095	\$3,472,547	\$406,941	\$1,068,237	\$1,695,034	\$3,453,196	\$6,623,408	191%
38	Homeland Security and Emergency Management Agency	\$102,039,000	\$84,075	\$42,038	\$128,967	\$320,765	\$361,664	\$1,064,271	\$1,875,667	4462%
39	Housing Finance Agency ¹⁷	\$8,735,000	\$8,735,000	\$4,367,500	N/A	N/A	N/A	N/A	N/A	N/A
40	Housing and Community Development, Department of	\$125,842,000	\$3,309,343	\$1,654,672	\$4,815	\$292,366	\$65,933	\$76,313	\$439,427	27%
41	Human Resources, D.C. Department of	\$11,653,257	\$2,346,876	\$1,173,438	\$69,034	\$384,450	\$390,379	\$488,864	\$1,332,727	114%
42	Human Rights, Office of	\$2,436,000	\$105,506	\$52,753	\$2,470	\$3,896	\$20,067	\$87,986	\$114,419	217%
43	Human Services, Department of	\$354,330,000	\$4,646,418	\$2,323,209	\$65,370	\$262,569	\$301,929	\$446,988	\$1,076,856	46%
44	Inspector General, Office of the	\$15,686,000	\$247,334	\$123,667	\$39,981	\$12,736	\$27,692	\$51,579	\$131,988	107%

¹⁷ The Housing Finance Agency has independent procurement authority and does not record expenditures in SOAR. The Housing Finance Agency did not submit FY 2013 expenditure reports for quarters 1, 2, 3, and 4. Therefore, we were not able to obtain expenditure data for the Housing Finance Agency.

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Fiscal Year 2013 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2013 SBE Expenditures	% of SBE Goal Achieved
45	Insurance, Securities and Banking, Department of	\$18,113,633.31	\$283,869	\$141,935	\$31,556	\$13,450	\$12,403	\$21,171	\$78,580	55%
46	Latino Affairs, Office on	\$2,884,852.01	\$113,363	\$56,682	\$873	\$3,492	\$873	\$60,039	\$65,277	115%
47	Lottery & Charitable Games Control Board, D.C.	\$250,000,000	\$11,115,289	\$5,557,645	\$808,499	\$1,892,090	\$1,940,442	\$2,209,348	\$6,850,379	123%
48	Mayor, Executive Office of the	\$12,352,000	\$324,712	\$162,356	\$13,508	\$32,510	\$44,978	\$132,311	\$223,307	138%
49	Medical Liability Captive Insurance Agency	\$2,496,000	\$10,150	\$5,075	\$0	\$0	\$2,495	\$0	\$2,495	49%
50	Mental Health, Department of	\$195,952,315.83	\$31,149,666	\$15,574,833	\$101,077	\$290,684	\$317,153	\$1,443,292	\$2,152,206	14%
51	Metropolitan Police Department	\$519,476,000	\$9,224,286	\$4,612,143	\$417,000	\$1,129,334	\$795,446	\$1,180,850	\$3,522,630	76%
52	Motion Picture and Television Development, Office of	\$869,000	\$134,130	\$67,065	\$7,918	\$16,808	\$14,193	\$40,367	\$79,286	118%
53	Motor Vehicles, Department of	\$37,423,000	\$468,536	\$234,268	\$17,731	\$353,014	\$297,920	\$816,010	\$1,484,675	634%
54	National Guard, D.C.	\$8,815,000	\$459,779	\$229,889	\$0	\$0	\$4,173	\$427,628	\$431,801	188%
55	Parks and Recreation, Department of	\$67,489,000	\$2,312,643	\$1,156,321	\$8,035	\$103,428	\$221,811	\$766,391	\$1,099,665	95%
56	People's Counsel, Office of the	\$6,116,000	\$473,158	\$236,579	\$27,899	\$28,552	\$10,584	\$73,186	\$140,221	59%

Appendix I

Fiscal Year 2013 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2013 SBE Expenditures	% of SBE Goal Achieved
57	Planning and Economic Development, Office of the Deputy Mayor for	\$108,323,000	\$896,319	\$448,159	\$33,824	\$54,214	\$106,176	\$200,960	\$395,174	88%
58	Planning, Office of	\$17,097,000	\$478,114	\$239,057	\$21,182	\$13,931	\$15,084	\$46,410	\$96,607	40%
59	Police Complaints, Office of	\$2,091,000	\$73,983	\$36,991	\$6,676	\$12,414	\$3,876	\$2,262	\$25,228	68%
60	Public Charter Schools, D.C. ¹⁸	\$542,031,000	\$542,031,000	\$271,015,500	N/A	N/A	N/A	N/A	N/A	N/A
61	Public Employee Relations Board	\$1,151,000	\$1,151,000	\$575,500	\$2,034	\$3,144	\$90	\$4,358	\$9,626	2%
62	Public Library, District of Columbia	\$66,569,000	\$11,541,112	\$5,770,556	\$241,038	\$427,280	\$697,611	\$1,360,335	\$2,726,264	47%
63	Public Safety and Justice, Deputy Mayor for	\$18,608,000	\$61,084	\$30,542	\$5,119	\$11,577	\$1,938	\$27,954	\$46,588	153%
64	Public Schools, D.C.	\$1,197,204,000	\$2,273,873	\$1,136,937	\$1,825,234	\$1,216,937	\$1,627,009	\$4,619,589	\$9,288,769	817%
65	Public Service Commission	\$10,823,000	\$635,086	\$317,543	\$56,561	\$103,904	\$117,126	\$141,534	\$419,125	132%
66	Public Works, Department of	\$133,541,000	\$12,881,710	\$6,440,855	\$253,714	\$1,497,476	\$930,212	\$3,206,190	\$5,887,592	91%
67	Real Property Assessments and Appeals, Board of	\$1,663,000	\$33,519	\$16,760	\$10,832	\$3,189	\$1,400	\$17,940	\$33,361	199%

¹⁸ The D.C. Public Charter Schools have independent procurement authority and do not record expenditures in SOAR. The D.C. Public Charter Schools did not submit FY 2013 expenditure reports for quarters 1, 2, 3 and 4. Therefore, we were not able to obtain expenditure data for the D.C. Public Charter Schools.

Appendix I

Fiscal Year 2013 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2013 SBE Expenditures	% of SBE Goal Achieved
68	Retirement Board, D.C. ¹⁹	\$30,338,000	\$7,779,933	\$3,889,967	\$0	\$10,949	\$3,335	\$191,646	\$205,930	5%
69	Risk Management, D.C. Office of	\$2,962,000	\$40,809	\$20,405	\$0	\$1,813	\$7,289	\$10,443	\$19,545	96%
70	Secretary, Office of the	\$3,746,000	\$568,558	\$284,279	\$9,112	\$30,464	\$35,507	\$162,062	\$237,145	83%
71	Small and Local Business Development, Department of	\$7,085,386.83	\$69,932	\$34,966	\$1,143	\$2,436	\$8,031	\$53,797	\$65,407	187%
72	Special Education Transportation	\$98,347,000	\$52,029	\$26,014	\$20,097	\$230,276	\$121,833	\$528,824	\$901,030	3464%
73	State Superintendent of Education, Office of the	\$435,861,000	\$754,271	\$377,136	\$313,402	\$647,652	\$710,498	\$1,908,261	\$3,579,813	949%
74	Tenant Advocate, Office of the	\$2,064,000	\$136,810	\$68,405	\$299	\$6,866	\$6,556	\$52,884	\$66,605	97%
75	Transportation, Department of	\$421,767,000	\$8,675,745	\$4,337,873	\$122,853	\$362,326	\$295,540	\$1,295,398	\$2,076,117	48%
76	Unified Communications, Office of	\$44,087,000	\$4,915,656	\$2,457,828	\$7,327	\$6,106	\$69,439	\$356,766	\$439,638	18%
77	University of the District of Columbia ²⁰	\$208,610,000	\$35,700,845	\$17,850,422	\$1,598,665	\$2,673,525	\$1,130,135	\$1,386,024	\$6,788,349	38%
78	Veterans' Affairs, Office of	\$394,000	\$24,550	\$12,275	\$0	\$0	\$0	\$0	\$0	0%

¹⁹ The D.C. Retirement Board has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the D.C. Retirement Board.

²⁰ The University of the District of Columbia has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the University of the District of Columbia.

Appendix I

Fiscal Year 2013 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	4 th Quarter SBE Expenditures	Total FY 2013 SBE Expenditures	% of SBE Goal Achieved
79	Youth Rehabilitation Services, Department of	\$106,728,000	\$3,002,492	\$1,501,246	\$212,758	\$400,637	\$437,765	\$886,481	\$1,937,641	129%
80	Zoning, Office of	\$2,620,000	\$387,785	\$193,893	\$39,238	\$32,510	\$32,613	\$120,916	\$225,277	116%
	TOTAL	\$9,955,117,156	\$1,013,311,562	\$506,655,781	\$25,402,477	\$33,194,897	\$34,545,294	\$79,726,443	\$172,869,111	34%

SOURCE: QuickBase, SOAR, P-Card, and CBE Online data as of February 4, 2014. All numbers are rounded to the nearest dollar.

Appendix II

Fiscal Year 2013 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
1	Administrative Hearings, Office of	\$337,501	\$337,501	\$196,177	\$161,088	\$265,270	\$278,338	\$0	\$0
2	Advisory Neighborhood Commissions, Office of	\$674	\$674	\$0	\$0	\$0	\$674	\$0	\$0
3	Aging, Office on	\$139,596	\$139,596	\$54,589	\$41,627	\$52,243	\$74,785	\$0	\$0
4	Alcohol Beverage Regulation Administration	\$183,584	\$183,584	\$40,477	\$138,907	\$126,622	\$121,507	\$0	\$0
5	Arts and Humanities, Commission on	\$129,689	\$129,689	\$49,173	\$89,345	\$25,045	\$85,809	\$0	\$0
6	Asian and Pacific Islander Affairs, Office on	\$17,023	\$17,023	\$4,528	\$4,528	\$0	\$0	\$0	\$0
7	Attorney General for the District of Columbia, Office of the	\$1,416,616	\$1,381,056	\$1,016,547	\$398,628	\$240,444	\$288,314	\$0	\$41,701
8	Auditor, Office of the D.C.	\$122,931	\$122,931	\$102,348	\$92,012	\$4,262	\$43,302	\$0	\$0
9	Cable Television, Office of	\$242,276	\$242,276	\$186,366	\$170,491	\$202,037	\$26,079	\$0	\$1,944
10	Campaign Finance, Office of	\$206,134	\$206,134	\$201,924	\$204,005	\$36,250	\$4,210	\$0	\$0
11	Chief Financial Officer, Office of the	\$10,509,169	\$9,163,608	\$2,154,667	\$4,917,705	\$2,130,726	\$698,230	\$0	\$2,490

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Fiscal Year 2013 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
12	Chief Medical Examiner, Office of the	\$447,558	\$447,558	\$187,756	\$420,802	\$241,957	\$164,630	\$0	\$0
13	Chief Technology Officer, Office of the	\$31,654,829	\$6,964,244	\$5,644,165	\$29,723,219	\$3,037,559	\$228,829	\$0	\$179,023
14	Child and Family Services Agency	\$1,894,551	\$1,431,949	\$1,032,090	\$1,569,937	\$447,630	\$103,498	\$4,300	\$10,455
15	City Administrator, Office of the	\$96,066	\$96,066	\$15,727	\$78,831	\$10,830	\$75,952	\$0	\$0
16	Consumer & Regulatory Affairs, Department of	\$2,212,662	\$2,160,109	\$901,761	\$1,799,510	\$810,215	\$791,316	\$0	\$0
17	Contract Appeals Board	\$6,975	\$6,975	\$2,153	\$6,949	\$2,179	\$4,796	\$0	\$0
18	Contracting and Procurement, Office of	\$608,192	\$586,196	\$448,488	\$315,287	\$466,086	\$296,547	\$21,996	\$0
19	Corrections, Department of	\$2,529,506	\$2,480,210	\$696,139	\$1,288,678	\$729,491	\$303,434	\$0	\$116,736
20	D.C. Taxicab Commission	\$67,241	\$67,241	\$33,465	\$59,196	\$50,969	\$27,630	\$0	\$0
21	Disability Rights, Office of	\$60,945	\$60,945	\$15,945	\$0	\$0	\$15,945	\$0	\$0
22	Disability Services, Department on	\$1,484,164	\$1,197,438	\$135,708	\$556,676	\$893,994	\$79,340	\$0	\$781,382

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Fiscal Year 2013 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
23	District of Columbia Public Charter School Board ²¹	\$36,122	\$36,122	\$0	\$0	\$0	\$0	\$0	\$0
24	Education, Deputy Mayor for	\$94,314	\$94,314	\$404	\$94,136	\$94,314	\$0	\$0	\$0
25	Elections, Board of	\$513,307	\$513,115	\$248,063	\$156,819	\$312,004	\$198,479	\$0	\$0
26	Employee Appeals, Office of	\$33,811	\$33,811	\$1,026	\$1,802	\$776	\$28,053	\$0	\$1,313
27	Employees' Compensation Fund	\$100,000	\$100,000	\$0	\$0	\$100,000	\$0	\$0	\$0
28	Employment Services, Department of	\$3,094,662	\$830,426	\$375,137	\$2,475,870	\$265,445	\$325,161	\$1,010	\$187,778
29	Environment, District Department of the	\$1,433,847	\$1,032,769	\$526,138	\$986,658	\$684,028	\$199,951	\$0	\$17,059
30	Ethics and Government Accountability, The Board of	\$72,828	\$72,828	\$57,809	\$40,068	\$28,760	\$0	\$0	\$4,000
31	Events DC ²²	\$20,619,925	\$20,509,764	\$6,468,375	\$14,877,830	\$13,528,404	\$10,373,063	\$0	\$48,356

²¹ The District of Columbia Public Charter School Board has independent procurement authority and does not record expenditures in SOAR. The District of Columbia Public Charter School Board did not submit FY 2013 expenditure reports for quarters 1 and 2, but they did submit expenditure reports for quarters 3 and 4. We were not able to independently verify the submitted expenditure data for quarters 3 and 4 for the District of Columbia Public Charter School Board.

²² Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

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Fiscal Year 2013 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
32	Finance and Resource Management, Office of	\$40,554	\$40,554	\$8,085	\$25,342	\$23,392	\$15,211	\$0	\$0
33	Fire and Emergency Medical Services Department	\$2,522,662	\$2,522,092	\$1,021,143	\$2,059,778	\$650,375	\$227,627	\$0	\$0
34	General Services, Department of	\$58,219,421	\$53,468,790	\$35,636,328	\$32,689,168	\$26,205,670	\$14,043,849	\$955,517	\$257,676
35	Health and Human Services, Deputy Mayor for	\$115,059	\$115,059	\$95,058	\$115,059	\$95,058	\$20,001	\$0	\$0
36	Health Care Finance, Department of	\$4,638,445	\$2,880,619	\$1,249,928	\$4,111,537	\$89,913	\$1,602,070	\$0	\$0
37	Health, Department of	\$8,913,693	\$6,623,408	\$2,828,599	\$6,891,446	\$1,400,230	\$2,965,752	\$0	\$0
38	Homeland Security and Emergency Management Agency	\$2,781,071	\$1,875,667	\$1,200,808	\$2,446,787	\$1,552,828	\$195,573	\$0	\$0
39	Housing Finance Agency ²³	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
40	Housing and Community Development, Department of	\$449,927	\$439,427	\$54,645	\$344,483	\$340,112	\$76,473	\$0	\$0

²³ The Housing Finance Agency has independent procurement authority and does not record expenditures in SOAR. The Housing Finance Agency did not submit FY 2013 expenditure reports for quarters 1, 2, 3, and 4. Therefore, we were not able to obtain expenditure data for the Housing Finance Agency.

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Fiscal Year 2013 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
41	Human Resources, D.C. Department of	\$1,332,727	\$1,332,727	\$1,199,609	\$1,266,112	\$111,677	\$82,642	\$0	\$0
42	Human Rights, Office of	\$114,419	\$114,419	\$71,799	\$30,929	\$66,619	\$77,186	\$0	\$0
43	Human Services, Department of	\$1,560,237	\$1,076,856	\$432,621	\$1,127,217	\$360,581	\$413,583	\$0	\$0
44	Inspector General, Office of the	\$131,988	\$131,988	\$66,092	\$105,508	\$42,017	\$17,527	\$0	\$0
45	Insurance, Securities and Banking, Department of	\$78,580	\$78,580	\$48,244	\$45,517	\$49,502	\$15,119	\$0	\$0
46	Latino Affairs, Office on	\$65,277	\$65,277	\$65,277	\$65,277	\$65,277	\$0	\$0	\$0
47	Lottery & Charitable Games Control Board, D.C.	\$6,850,379	\$6,850,379	\$194,553	\$525,197	\$5,515	\$6,319,202	\$0	\$0
48	Mayor, Executive Office of the	\$223,307	\$223,307	\$63,411	\$58,556	\$63,748	\$133,418	\$0	\$0
49	Medical Liability Captive Insurance Agency	\$2,495	\$2,495	\$2,495	\$0	\$2,495	\$0	\$0	\$0
50	Mental Health, Department of	\$2,152,206	\$2,152,206	\$362,497	\$1,299,502	\$767,712	\$351,912	\$2,263	\$0
51	Metropolitan Police Department	\$7,547,474	\$3,522,630	\$992,805	\$6,903,610	\$969,731	\$378,627	\$0	\$0
52	Motion Picture and Television Development, Office of	\$79,286	\$79,286	\$11,685	\$19,657	\$48,874	\$12,565	\$800	\$47,882

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Fiscal Year 2013 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
53	Motor Vehicles, Department of	\$1,494,241	\$1,484,675	\$1,057,831	\$640,573	\$758,166	\$241,097	\$0	\$95,493
54	National Guard, D.C.	\$431,801	\$431,801	\$193,828	\$333,791	\$205,807	\$47,774	\$0	\$5,800
55	Parks and Recreation, Department of	\$1,114,865	\$1,099,665	\$454,511	\$774,776	\$715,655	\$335,012	\$54,950	\$0
56	People's Counsel, Office of the	\$140,221	\$140,221	\$75,761	\$120,188	\$73,431	\$40,477	\$0	\$0
57	Planning and Economic Development, Office of the Deputy Mayor for	\$417,964	\$395,174	\$260,329	\$389,347	\$91,294	\$39,328	\$0	\$0
58	Planning, Office of	\$96,607	\$96,607	\$3,390	\$71,667	\$2,075	\$22,278	\$1,238	\$16,152
59	Police Complaints, Office of	\$26,028	\$25,228	\$11,220	\$25,031	\$12,753	\$5,428	\$0	\$0
60	Public Charter Schools, D.C. ²⁴	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
61	Public Employee Relations Board	\$9,626	\$9,626	\$0	\$724	\$724	\$8,902	\$0	\$0
62	Public Library, District of Columbia	\$2,728,780	\$2,726,264	\$1,347,821	\$2,456,902	\$665,007	\$1,029,256	\$0	\$27,438
63	Public Safety and Justice, Deputy Mayor for	\$46,588	\$46,588	\$13,999	\$28,519	\$0	\$31,769	\$0	\$0

²⁴ The D.C. Public Charter Schools have independent procurement authority and do not record expenditures in SOAR. The D.C. Public Charter Schools did not submit FY 2013 expenditure reports for quarters 1, 2, 3, and 4. Therefore, we were not able to obtain expenditure data for the D.C. Public Charter Schools.

Appendix II

Fiscal Year 2013 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
64	Public Schools, D.C.	\$9,398,434	\$9,288,769	\$2,739,959	\$6,099,269	\$2,840,648	\$5,019,653	\$750	\$6,039
65	Public Service Commission	\$419,125	\$419,125	\$191,851	\$376,439	\$142,326	\$114,927	\$0	\$0
66	Public Works, Department of	\$7,646,878	\$5,887,592	\$4,023,023	\$6,445,047	\$4,076,493	\$2,305,580	\$0	\$0
67	Real Property Assessments and Appeals, Board of	\$33,361	\$33,361	\$11,528	\$14,480	\$14,480	\$11,912	\$0	\$0
68	Retirement Board, D.C. ²⁵	\$959,524	\$205,930	\$177,839	\$64,683	\$65,647	\$75,600	\$0	\$13,807
69	Risk Management, D.C. Office of	\$19,545	\$19,545	\$7,623	\$11,341	\$3,300	\$9,324	\$0	\$0
70	Secretary, Office of the	\$237,145	\$237,145	\$50,453	\$35,532	\$33,745	\$154,895	\$0	\$0
71	Small and Local Business Development, Department of	\$65,407	\$65,407	\$24,858	\$38,759	\$47,418	\$13,010	\$0	\$0
72	Special Education Transportation	\$1,069,649	\$901,030	\$301,307	\$723,104	\$197,119	\$190,457	\$0	\$22,477
73	State Superintendent of Education, Office of the	\$5,143,468	\$3,579,813	\$3,078,233	\$2,503,728	\$2,670,527	\$674,890	\$0	\$28,880
74	Tenant Advocate, Office of the	\$66,605	\$66,605	\$21,971	\$50,761	\$25,815	\$38,407	\$0	\$0

²⁵ The D.C. Retirement Board has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the D.C. Retirement Board.

Appendix II

Fiscal Year 2013 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
75	Transportation, Department of	\$5,104,664	\$2,076,117	\$1,201,562	\$4,039,044	\$523,124	\$2,876,425	\$2,485,447	\$24,000
76	Unified Communications, Office of	\$1,956,907	\$439,638	\$381,111	\$1,904,680	\$310,514	\$31,813	\$0	\$0
77	University of the District of Columbia ²⁶	\$7,536,840	\$6,788,349	\$1,863,476	\$6,152,849	\$1,199,073	\$3,753,488	\$0	\$230,641
78	Veterans' Affairs, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
79	Youth Rehabilitation Services, Department of	\$1,997,353	\$1,937,641	\$1,193,064	\$1,293,249	\$945,680	\$256,759	\$0	\$1,676
80	Zoning, Office of	\$360,953	\$225,277	\$91,251	\$303,730	\$193,022	\$107,696	\$0	\$0
	TOTAL	\$226,708,484	\$172,869,111	\$85,180,626	\$155,699,499	\$73,512,709	\$59,222,366	\$3,528,271	\$2,170,198

SOURCE: SOAR, P-Card, and CBE Online data as of February 4, 2014. All numbers are rounded to the nearest dollar.

²⁶ The University of the District of Columbia has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the University of the District of Columbia.

Appendix IIIAgencies That Failed to Submit 1st and 2nd Quarter FY 2014 Expenditure Reports, as of May 28, 2014

	Agency	Agency Self-Reported FY 2013 SBE Expenditures	Agency Self-Reported % of SBE Goal Achieved	Verified FY 2013 SBE Expenditures	% of SBE Goal Achieved	Difference (Self-Reported Minus Verified)
1	Administrative Hearings, Office of	\$344,951	152%	\$337,501	149%	\$7,450
2	Aging, Office on	\$1,983,497	105%	\$139,596	7%	\$1,843,901
3	Alcohol Beverage Regulation Administration	\$188,642	120%	\$183,584	117%	\$5,058
4	Arts and Humanities, Commission on	\$280,751	109%	\$129,689	50%	\$151,062
5	Asian and Pacific Islander Affairs, Office on	\$13,150	112%	\$17,023	146%	-\$3,873
6	Attorney General for the District of Columbia, Office of the	\$1,280,375	110%	\$1,381,056	119%	-\$100,681
7	Auditor, Office of the D.C.	\$123,213	821%	\$122,931	819%	\$282
8	Cable Television, Office of	\$402,017	263%	\$242,276	158%	\$159,741
9	Campaign Finance, Office of	\$195,272	265%	\$206,134	280%	-\$10,862
10	Chief Financial Officer, Office of the	\$9,372,812	169%	\$9,163,608	165%	\$209,204
11	Chief Medical Examiner, Office of the	\$289,760	93%	\$447,558	143%	-\$157,798
12	Chief Technology Officer, Office of the	\$40,078,862	182%	\$6,964,244	32%	\$33,114,618
13	Child and Family Services Agency	\$2,277,349	1087%	\$1,431,949	683%	\$845,400
14	City Administrator, Office of the	\$121,357	173%	\$96,066	137%	\$25,291
15	Consumer & Regulatory Affairs, Department of	\$2,269,751	105%	\$2,160,109	99%	\$109,642
16	Contract Appeals Board	\$13,452	448%	\$6,975	233%	\$6,477
17	Contracting and Procurement, Office of	\$433,942	213%	\$586,196	288%	-\$152,254
18	Corrections, Department of	\$10,018,575	130%	\$2,480,210	32%	\$7,538,365
19	D.C. Taxicab Commission	\$56,117	117%	\$67,241	140%	-\$11,124
20	Disability Rights, Office of	\$45,000	157%	\$60,945	213%	-\$15,945
21	Disability Services, Department on	\$460,538	310%	\$1,197,438	806%	-\$736,900

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Agencies That Failed to Submit 1st and 2nd Quarter FY 2014 Expenditure Reports, as of May 28, 2014

	Agency	Agency Self-Reported FY 2013 SBE Expenditures	Agency Self-Reported % of SBE Goal Achieved	Verified FY 2013 SBE Expenditures	% of SBE Goal Achieved	Difference (Self-Reported Minus Verified)
22	Education, Deputy Mayor for	\$107,902	650%	\$94,314	568%	\$13,588
23	Elections, Board of	\$614,538	1117%	\$513,115	933%	\$101,423
24	Employees' Compensation Fund	\$99,895	199%	\$100,000	200%	-\$105
25	Employment Services, Department of	\$3,334,384	529%	\$830,426	132%	\$2,503,958
26	Environment, District Department of the	\$1,028,869	277%	\$1,032,769	279%	-\$3,900
27	Ethics and Government Accountability, Board of	\$132,534	193%	\$72,828	106%	\$59,706
28	Finance and Resource Management, Office of	\$29,087	67%	\$40,554	93%	-\$11,467
29	Fire and Emergency Medical Services Department	\$2,792,356	274%	\$2,522,092	248%	\$270,264
30	General Services, Department of	\$43,296,185	93%	\$53,468,790	115%	-\$10,172,605
31	Health and Human Services, Deputy Mayor for	\$207,188	117%	\$115,059	65%	\$92,129
32	Health Care Finance, Department of	\$3,130,862	8%	\$2,880,619	7%	\$250,243
33	Health, Department of	\$7,752,677	223%	\$6,623,408	191%	\$1,129,269
34	Homeland Security and Emergency Management Agency	\$442,018	1051%	\$1,875,667	4462%	-\$1,433,649
35	Housing and Community Development, Department of	\$1,235,006	75%	\$439,427	27%	\$795,579
36	Human Resources, D.C. Department of	\$1,561,168	133%	\$1,332,727	114%	\$228,441
37	Human Rights, Office of	\$122,296	232%	\$114,419	217%	\$7,877
38	Human Services, Department of	\$2,654,833	114%	\$1,076,856	46%	\$1,577,977
39	Inspector General, Office of the	\$215,531	174%	\$131,988	107%	\$83,543
40	Insurance, Securities and Banking, Department of	\$326,343	230%	\$78,580	55%	\$247,763
41	Latino Affairs, Office on	\$70,016	124%	\$65,277	115%	\$4,739

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Agencies That Failed to Submit 1st and 2nd Quarter FY 2014 Expenditure Reports, as of May 28, 2014

	Agency	Agency Self-Reported FY 2013 SBE Expenditures	Agency Self-Reported % of SBE Goal Achieved	Verified FY 2013 SBE Expenditures	% of SBE Goal Achieved	Difference (Self-Reported Minus Verified)
42	Lottery & Charitable Games Control Board, D.C.	\$5,656,000	102%	\$6,850,379	123%	-\$1,194,379
43	Mayor, Executive Office of the	\$256,410	158%	\$223,307	138%	\$33,103
44	Medical Liability Captive Insurance Agency	\$5,106	101%	\$2,495	49%	\$2,611
45	Mental Health, Department of	\$12,323,411	79%	\$2,152,206	14%	\$10,171,205
46	Metropolitan Police Department	\$5,124,977	111%	\$3,522,630	76%	\$1,602,347
47	Motion Picture and Television Development, Office of	\$79,867	119%	\$79,286	118%	\$581
48	Motor Vehicles, Department of	\$639,855	273%	\$1,484,675	634%	-\$844,820
49	National Guard, D.C.	\$452,822	197%	\$431,801	188%	\$21,021
50	Parks and Recreation, Department of	\$1,383,011	120%	\$1,099,665	95%	\$283,346
51	People's Counsel, Office of the	\$83,733	35%	\$140,221	59%	-\$56,488
52	Planning and Economic Development, Office of the Deputy Mayor for	\$695,329	155%	\$395,174	88%	\$300,155
53	Planning, Office of	\$280,746	117%	\$96,607	40%	\$184,139
54	Police Complaints, Office of	\$32,422	88%	\$25,228	68%	\$7,194
55	Public Library, District of Columbia	\$6,934,584	120%	\$2,726,264	47%	\$4,208,320
56	Public Safety and Justice, Deputy Mayor for	\$56,179	184%	\$46,588	153%	\$9,591
57	Public Schools, D.C.	\$11,915,546	1048%	\$9,288,769	817%	\$2,626,777
58	Public Service Commission	\$624,096	197%	\$419,125	132%	\$204,971
59	Public Works, Department of	\$8,422,930	131%	\$5,887,592	91%	\$2,535,338
60	Real Property Assessments and Appeals, Board of	\$30,252	181%	\$33,361	199%	-\$3,109
61	Risk Management, D.C. Office of	\$20,968	103%	\$19,545	96%	\$1,423
62	Secretary, Office of the	\$286,938	101%	\$237,145	83%	\$49,793

Appendix IIIAgencies That Failed to Submit 1st and 2nd Quarter FY 2014 Expenditure Reports, as of May 28, 2014

	Agency	Agency Self-Reported FY 2013 SBE Expenditures	Agency Self-Reported % of SBE Goal Achieved	Verified FY 2013 SBE Expenditures	% of SBE Goal Achieved	Difference (Self-Reported Minus Verified)
63	Small and Local Business Development, Department of	\$51,571	147%	\$65,407	187%	-\$13,836
64	Special Education Transportation	\$145,693	560%	\$901,030	3464%	-\$755,337
65	State Superintendent of Education, Office of the	\$581,343	154%	\$3,579,813	949%	-\$2,998,470
66	Tenant Advocate, Office of the	\$69,316	101%	\$66,605	97%	\$2,711
67	Transportation, Department of	\$5,390,135	124%	\$2,076,117	48%	\$3,314,018
68	Unified Communications, Office of	\$3,166,053	129%	\$439,638	18%	\$2,726,415
69	Veterans' Affairs, Office of	\$3,000	24%	\$0	0%	\$3,000
70	Youth Rehabilitation Services, Department of	\$1,784,335	119%	\$1,937,641	129%	-\$153,306
71	Zoning, Office of	\$300,868	155%	\$225,277	116%	\$75,591
	TOTAL	\$243,568,584	48%	\$172,869,111	34%	\$70,699,473

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
1	Administrative Hearings, Office of	Our agency thoroughly reviewed our operational needs for FY13 and provided detailed Statement(s) of Work within the procurement system to promote the selection of CSBE's.	OAH will continue to use best efforts to utilize the services of CSBE's by providing detailed requirements when soliciting vendors to ensure the increase in the number of viable employment opportunities for small businesses within the District of Columbia. In addition, we will continue to actively support the economic growth and development of CSBE's within the District of Columbia through our proactive participation in the DSLBD program.	Yes	None
2	Aging, Office on	The agency continued to be mindful of the CBE regulation and guidelines when awarding contracts and procuring goods and services.	The agency will not make changes due to the fact the goal was achieved	No. The Office on Aging did not meet its FY 2013 goal.	The Department on Aging should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs.
3	Alcohol Beverage Regulation Administration	The Alcoholic Beverage Regulation Administration (ABRA) consistently strives to utilize CSBE businesses in our daily transactions as well as our larger procurements. ABRA has surpassed the CSBE goal by \$32,009.00 for FY 2013 and will look to continued success in FY 2014.	ABRA will continue to utilize as many CSBE businesses as possible in order to exceed the goal again in FY 2014.	Yes	None

²⁷ ODCA paraphrased some of the agency responses and made minor corrections where necessary.

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
4	Attorney General for the District of Columbia, Office of the	<p>The Office of the Attorney General ("OAG") met its FY 2013 CSBE goal by doing business, whenever possible, with CSBEs. OAG's non-personal services expendable budget primarily funds litigation support, child support functions, information technology and office operations. OAG utilizes CSBEs for information technology and office operations, as there is a ready supply of CSBE vendors providing those services. This includes equipment purchase and maintenance, furniture purchase, office supplies, computer supplies and relocation services. Similarly, if there are available CSBE vendors that can provide the services necessary for OAG's child support functions, OAG attempts to procure their services.</p> <p>When procuring litigation support services where OAG selects the deposition transcription company, OAG always uses CSBE vendors. Each year, OAG's contracting officer contacts all CSBE deposition transcription services vendors and offers them the opportunity to respond to OAG's request for proposals. Each litigating unit within OAG is assigned one or more CSBE transcription vendors to ensure that only CSBE vendors are used when OAG requires a court reporter.</p>	<p>OAG intends to meet its FY 2014 CSBE goal by complying with the law and diligently exercising its responsibilities to CSBE vendors. OAG will continue the excellent work that it has been doing in this regard by: (1) seeking CSBE vendor services for equipment purchase and maintenance, information technology services and supplies, office supplies and deposition transcription services; (2) closely scrutinizing employee requests for purchase orders to ensure that CSBE vendors are used whenever possible and (3) actively reviewing OAG's programs to determine whether CSBE vendor services can be utilized.</p>	Yes	None
5	Auditor, Office of the D.C.	<p>As it procures the goods and services it needs, the Office of the District of Columbia Auditor (ODCA) gives preferential treatment to approved CBE vendors. If the ODCA finds that it can procure the same goods and services from both an approved CBE vendor, and a vendor that has not been approved as a CBE, the ODCA will select the CBE vendor for its needs.</p>	N/A, goal for current year has been met.	Yes	None

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
6	Cable Television, Office of	In order to achieve the goal of procuring and contracting 50% of the dollar value of its goods and service with small business enterprises (SBEs) OCT often uses the services of local business enterprises (LBEs) to support a variety of activities including the purchase of general office supplies, equipment, staffing and legal consulting and technical support services. In addition, OCT makes routine small purchases with CBE vendors using the JP Morgan purchase card.	OCT will continue to proactively seek the services of small business enterprises and work with OCP and DSLBD to support future endeavors in order to achieve the goals of procuring and contracting 50% of the dollar volume of its goods and services with small business enterprises and local business enterprises.	Yes	None
7	Chief Financial Officer, Office of the	The OCFO is pleased to have spent \$9.3M in CSBE expenditures and \$10.4M in CBE expenditures. The \$9.3M represents 169% of the 2013 goal and the \$10.4M represents 187% of the 2013 goal.	The agency will continue to work toward attaining the target goal in 2014	Yes	None
8	Child and Family Services Agency	CFSA contracting office has instituted a process whereby all purchases are bumped up against the DC Supply schedule to ensure that these vendors are fully utilized to best of our abilities in providing the necessary services the children and families of the District of Columbia. Request for quotes are sent to the vendors on a continual basis to obtain the best possible price.	We will continue to perform this function such that the Agency will meet the required set-aside goal for FY-14.	Yes	None
9	City Administrator, Office of the	The OCA is heavily reliant upon the DC Supply Schedule for the purchase of goods and services.	The OCA exceeded our CBE expenditure requirement goal for FY13. We will strive to exceed the requirement as well in FY14.	Yes	None
10	Contract Appeals Board	The Contract Appeals Board is pleased to have substantially exceeded its FY13 CBE goal by maximizing its use of CBE vendors.	In FY14 we will again emphasize utilization of CBE vendors.	Yes	None

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
11	D.C. Taxicab Commission	DC Taxicab Commission has exceeded the 50% goal for FY 2013.	DCTC will continue to seek CBEs in FY 2014.	Yes	None
12	Disability Services, Department on	(1) Communicating with Pcardholders identifying CBE vendors for unique services; (2) Contracting Officer awarding more CBE vendors when applicable; and (3) Questioning Pcardholders when CBE vendor is not used on Pcard for services they provide.	(1) Identify CBE vendors for services we have not used them for in the past and (2) Continue to find reliable and good services from multiple CBE vendors to choose from and recommend to Pcardholders.	Yes	None
13	District of Columbia Public Charter School Board	In the middle of the fiscal year 2013, the DC PCSB retained Community IT Innovators to provide technology support to the agency. Community IT Innovators is a registered CSBE. While the goal was not fully met, the agency made strides to meet the CSBE goal.	DC PCSB has met with DSLBD to discuss ways to increase outreach of CSBEs for unique areas. Also, we will be retaining Community IT Innovators and encouraging some existing small and local vendors to become CSBEs.	Yes. Although the D.C. Public Charter School Board did not meet its FY 2013 goal, the changes the agency plans for FY 2014 should enable the agency to meet its goal.	The D.C. Public Charter School Board should maintain consistent communication with DSLBD throughout the remainder of FY 2014.
14	Education, Deputy Mayor for	We utilized the CBE database as well as the Local Business Development Team and made purchases with our Certified Business Enterprises as best as possible. Compared to DME's report from previous years, we have made big improvements.	We will continue to utilize the CBE database and work even harder to reach our goal for FY14.	Yes	None

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
15	Elections, Board of	The DC Board of Elections conducted two elections in FY 2013 - the November 2012 Presidential General Election and the April 2013 Special Election, which led to increased activity and purchases. The Board relied upon CBE's to procure contracts for printing, precinct/office supplies, IT supplies and equipment and transportation and logistical services, to name a few. The services of the small and minority businesses were based upon the needs of the elections, which were substantial in that over 480,000 voters are on the Board's voter registry.	During each election cycle there is a need to procure goods and services from small and minority businesses. We will continue working with the CBE community and remain compliant with the District of Columbia Government's expectation of its agencies as it relates to small and minority businesses.	Yes	None
16	Employees' Compensation Fund	In FY 13, BGO met its CBE goal with its actuarial contract, which was awarded to a CBE contractor by the Office of Contracting and Procurement in FY 12.	For FY13, the PSWCP program entered into two contract awards: one for a new Third Party Administrator and the option renewal for actuarial services. The actuarial contract ensures that our CBE goals for this budget are met.	Yes	None

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
17	Employment Services, Department of	<p>DOES continues to achieve the CSBE goals through its strong commitment in supporting the District's micropurchase policies that requires purchase card holders to use CSBE vendors for purchases that meet CSBE guidelines. In the case where pcard purchases cannot be fulfilled by a CSBE vendor, the pcard holder must provide a valid justification.</p> <p>Under the micro-purchase, small purchase and non-competitive guidelines DOES ensures that all small purchases are first open only to CBE vendors and are set aside for this specific market . In meeting and maintaining these goals, DOES safeguards again non-compliance; If and only if no CBE vendors respond to the solicitation is an open market solicitation then made.</p>	In preparation for FY13 CSBE goals, DOES conducted a thorough review of all budget exclusions to ensure the most accurate reporting of these items were done. The various departments within DOES have found more possibilities for CSBE spending and that is reflected in the increased CSBE approved goals for FY14.	Yes	None
18	Environment, District Department of the	The District Department of the Environment (DDOE) this year through additional compliance, assessment and internal staff training efforts is pleased to report that in fiscal year 13, DDOE not only met but exceeded its CBE targeted goal. DDOE leadership and staff will continue to ensure that the agency annually remains on target and in compliance.	DDOE will continue to educate its staff regarding the use of CBE/CSBE vendors when procuring goods and services.	Yes	None
19	Ethics and Government Accountability, The Board of	The BEGA is a new Agency that worked towards achieving its goal to comply with DSLBD requirements as it pertains to CSBE compliance - the goal was successfully attained.	Next year, the Agency will continue to work hard towards achieving even greater margins of CSBE compliance by seeking out more small businesses to provide goods and/or services.	Yes	None

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
20	Finance and Resource Management, Office of	OFRM did not achieve its goal, coming short by a little over \$20K. However, our CBE spending is primarily on supplies and IT purchases.	OFRM has been faithful in its use of CBEs for the purchase of our supplies and IT equipment. Outside of salary/fringe and training/travel, our expenditures are primarily supplies and equipment. We need to update our exclusions to reflect the increase in professional association participation and training and development activities (training and travel).	Yes. Although the Office of Finance and Resource Management did not meet its FY 2013 goal, the changes the agency plans for FY 2014 should enable the agency to meet its goal.	The Office of Finance and Resource Management should maintain consistent communication with DSLBD throughout the remainder of FY 2014.
21	Fire and Emergency Medical Services Department	Most importantly, all "non-exempt from competition" solicitations under \$100,000 are first presented to DCSS/CBE vendors. Additionally, FEMS vigorously monitors its expenditures to ascertain how well it is doing to maximize CBE participation in its procurements. To help accomplish this end FEMS' procurement specialist often outreaches to known CBE companies/vendors on a frequent basis.	FEMS continues to work with the District Government's Office of Contracting and Procurement and DSLBD to make sure that FEMS spends as much as allotted for CBE's as possible.	Yes	None
22	Health and Human Services, Deputy Mayor for	For all Pcard purchases, DMHHS first used the DC Supply schedule to identify CBE vendors. DMHHS also submitted the relevant exclusions to determine the appropriate SBE goal.	We will continue to work with OCP to meet the requirements for using SBEs.	No. The Office of the Deputy Mayor for Health and Human Services did not meet its FY 2013 goal and the planned changes for FY 2014 do not reflect a strong commitment to improvement.	The Office of the Deputy Mayor for Health and Human Services should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs.

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
23	Health Care Finance, Department of	The Department of Health Care Finance is a unique agency within the District. Our major budget line items encompass the Medicaid and CHIP Programs which are regulated by federal mandates. Federal mandates restrict our pool of potential vendors to a limited number of national firms that specialize in the services we provide to our beneficiaries. Due to the complexity of the healthcare business and the limited number of vendors with business products that are adequate to meet these guidelines, we have found an insufficient number of qualified Certified Small Business Enterprises to completely fulfill the requirements of the Agency. We have however, fulfilled the set aside requirements for contracts in excess of \$250k (DC Code §2-218.46) to give 35% of dollar volume to SBE Subcontractors.	The agency seeks Small and Local Businesses for all procurements while meeting the 50% set aside requirements for contracts in excess of \$250k (according to DC Code §2-218.46) to give 35% of dollar volume to SBE Subcontractors.	No. The changes described by the Department of Health Care Finance for FY 2014 will not enable the agency to meet its SBE expenditure goal.	The Department of Health Care Finance should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs. The agency should also work with DSBLD to determine if additional budget line items should be excluded from the agency's expendable budget.

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
24	Health, Department of	In FY13 as in previous years, the DOH Office of Procurement Services partnered with OCP to select CBE's to provide the goods and services needed to facilitate meeting the Department's program goals. The DOH OPS has been diligent in adhering to the laws and procedures that require priority selection of vendors from the DCSS and CBE register. We also consistently emphasize to our customer base the importance of utilizing local businesses, as well as explaining the process so that they have a clear understanding of the purchasing process and requirements. PCard holders are also strongly encouraged in every ART meeting to use CBE's for the purchase of goods and services whenever possible.	<p>DOH does not plan to make any significant changes to our approach as it has allowed us to meet or exceed our CBE goal for the past several years. This FY, we will continue to work closely with OCP to engage in trainings and other opportunities that will assist in our meeting our CBE utilization goals. We will continue to make a conscious effort to ensure that if at all possible, our purchase orders are awarded to our CBE vendors, and that those awards are rotated among the CBE pool as often as practical.</p> <p>We will vigorously maintain our efforts to enlighten our program offices on the importance of doing business with CBE vendors. We have educated them on how to use the DSLBD website to do market research on suppliers and will continue to provide them with information on CBE vendors that fit their particular requirements.</p>	Yes	None
25	Human Resources, D.C. Department of	DCHR has exceeded the goal of 50% for FY2013.	DCHR will continue to search for and work with CBE vendors that meet the needs of the agency.	Yes	None

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
26	Human Rights, Office of	In FY2013, the Compliance Officer and Reporting Representative for the Office of Human Rights focused on how to meet the approved CSBE goal and if possible to exceed the goal. The Agency Contracting Officer (ACO) strategically made sure that CSBEs are selected for all recurring Agency procurements which include office supplies, equipment, toners, temporary staffing, design and print, and marketing consulting services. This way, OHR was proactive in meeting our CSBE goal.	The Agency will continue to ensure that CSBEs are selected for all recurring Agency procurements which include office supplies, equipment, toners, temporary staffing, design and print, and marketing consulting services. This way, OHR will once again be proactive in meeting our CSBE goal in FY2014.	Yes	None
27	Insurance, Securities and Banking, Department of	The Department continues to be successful in achieving the goal by making every effort to seek bids from current CBE vendors that are listed on the DSLBD website. This will continue to be our standard procedure as in past years to secure goods and services and only after this effort is exhausted do we seek alternative vendors.	DISB will continue to make every effort to reach the CBE goals that have been set aside each year and will be the first choice of vendor when applicable.	No. The Department of Insurance, Securities and Banking did not meet its FY 2013 goal.	The Department of Insurance, Securities and Banking should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs.
28	Latino Affairs, Office on	In FY 2013 the Office on Latino Affairs (OLA) met and exceeded its Certified Small Business Enterprise (CSBE) goal for the FY. OLA was able to accomplish this by conducting business, whenever possible, with CSBE's registered in the District of Columbia. OLA's non-personal expenditure budget primarily funds equipment purchases and maintenance, office operations and outreach activities. OLA utilized CSBE's to purchase office supplies and equipment; promotional and outreach materials and to secure professional contractual services.	As noted previously, OLA met and exceeded its FY 2013 CSBE expenditure goal and shall continue to do so in FY2014. OLA will continue to use CSBE's to procure its copier lease and maintenance contract, purchase office supplies and outreach materials. In addition, OLA will ensure that priority is given to CSBE's when selecting vendors for goods and services.	Yes	None

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
29	Mayor, Executive Office of the	The Office of the Mayor worked to identify qualified CSBE vendors and utilize them whenever possible. We have also made an effort to monitor monthly credit card expenditures in order to gauge our progress quarterly and have remained in compliance.	The agency intends to seek out potential CSBE vendors in the District that may be qualified to perform services that we previously could not find qualified CSBE's to do and shall encourage them to register with the DSLBD Office. We will also continue to impress upon staff the mission of the DSLBD Office and how it pertains to our agency's compliance and spending habits.	Yes	None
30	Medical Liability Captive Insurance Agency	In FY 13, the Captive had two previously awarded contracts for a captive manager and for legal services. These contracts were not awarded to CBE vendors because the scope of work falls outside the realm of vendors who can meet the requirements. Nonetheless, in FY13, the Captive met its goal by using its government purchase card to acquire supplies from CBE businesses.	For FY 14, the Captive will continue to strive to make as many purchases as possible with CBE businesses, outside of the contracts previously awarded to other vendors.	No. The Medical Liability Captive Insurance Agency did not meet its FY 2013 goal.	The Medical Liability Captive Insurance Agency should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs.

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
31	Mental Health, Department of	N/A	DBH is in the process of re-training staff concerning CSBE requirements, along with strengthening mandated monitoring utilizing CAs and re-educating Senior Management of the critical importance of LSDBE requirements. DBH will advocate the advantages of becoming CBE Certified to Vendors currently providing Janitorial Services, Landscaping Services, Construction related Services, Shredding and Pest Control Services to name a few. Vendors performing services for DBH are heavily outside of CBE requirements due to the nature and purpose of mandated services including but not limited to Acute Psychiatric Care Services, Children/Youth Services, Crisis Beds and Mental Health Rehabilitation Services (MHRS).	Yes. The changes described by the Department of Mental Health (now known as the Department of Behavioral Health) for FY 2014 should enable the agency to meet its SBE expenditure goal.	The Department of Behavioral Health should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs. The agency should also work with DSBLD to determine if additional budget line items should be excluded from the agency's expendable budget.
32	Motion Picture and Television Development, Office of	The Office of Motion Picture (MPTD) exceeded the goal for FY 2013. MPTD fully supports the goals of the District to utilize small and local businesses.	MPTD will continue to seek CBEs in FY 2014.	Yes	None
33	Parks and Recreation, Department of	The Department of Parks and Recreation has continuously sought out CBEs first in all of our procurements. We also reach out to CBE vendors to see if they could expand their offerings to include goods or services that were previously obtained from non-CBEs. Although in some cases this causes the expenditure to be up to 12% higher, we understand that these dollars are supporting our local economy.	We do not anticipate making any changes; however, we are going to continue to diligently monitor our CBE spending and to reach out to the CBE community encouraging them to expand the types of services that they offer.	No. The Department of Parks and Recreation did not meet its FY 2013 goal.	The Department of Parks and Recreation should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs.

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Procurement Supplemental Information Analysis

	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
34	Planning and Economic Development, Office of the Deputy Mayor for	Procurement opportunities were reviewed to determine CBE feasibility and procurements were set aside accordingly.	The agency will continue to seek avenues best suited for CBE procurements and participation, including purchases executed through the agency's purchase card program.	No. The Office of the Deputy Mayor for Planning and Economic Development did not meet its FY 2013 goal and the changes described for FY 2014 will not enable the agency to meet its SBE expenditure goal.	The Office of the Deputy Mayor for Planning and Economic Development should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs.
35	Planning, Office of	OP coordinates its procurement activity with OP's liaison to DSLBD. The liaison works to ensure that procurements made via PASS and OCP, as well as those made via OP's purchase cards, give first consideration to District companies certified as small business enterprises. The liaison uses DSLBD's CBE Contractors searchable database to find and suggest to staff CBE firms that can satisfy OP requirements.	OP plans to continue in FY 2014 to give first consideration to District companies certified as small business enterprises.	No. The Office of Planning did not meet its FY 2013 goal and the changes described for FY 2014 will not enable the agency to meet its SBE expenditure goal.	The Office of Planning should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs.
36	Police Complaints, Office of	The Office of Police Complaints (DCPC) used SBE vendors as was possible. We fell short of our 2013 goal by \$4,600. Our large budget items do not avail themselves to SBE vendors and we always strive to use SBE vendors for office supplies and equipment but with our budget shrinking each year we're also looking to get the best price and most for our money so that has forced us to look outside the SBE vendors for several large quantity items.	The Office of Police Complaints will continue to use as many SBE vendors as possible in 2014. We will also continue to seek out SBE vendors with all new and repeat purchases in order to meet our 2014 goal and expect to meet our goal.	No. The Office of Police Complaints did not meet its FY 2013 goal and the changes described for FY 2014 will not enable the agency to meet its SBE expenditure goal.	The Office of Police Complaints should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs.

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
37	Public Library, District of Columbia	(1) Continue to hold regular staff meetings to discuss strategies to maintain the use of current SBEs and to discuss new methods for recruitment and use of new ones; and (2) Encourage program managers to use the CBE database to find new CBE vendors.	Business Operations management will institute methods to better track use of SBEs	No. The D.C. Public Library did not meet its FY 2013 goal and the changes described for FY 2014 will not enable the agency to meet its SBE expenditure goal.	The D.C. Public Library should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs.
38	Public Safety and Justice, Deputy Mayor for	We utilized the CBE database as well as the Local Business Development Team and made purchases with our Certified Business Enterprises.	NA	Yes	None
39	Public Schools, D.C.	During this period DCPS has demonstrated its commitment to maximizing business opportunities for CBEs. Working in tandem with DSLBD, District of Columbia Public Schools will continue to communicate to our school administrators the importance of utilizing local certified businesses.	For the past three years DCPS has exceeded the approved CSBE goals, we plan to continue our review of procurement practices with a goal of developing strategic sourcing techniques to improve high volume low value purchases. Through the AMEX Buydown Program we engage our CBE vendors via purchases of educational, office and custodial supplies. We will continue to adhere to the DC code regarding utilizing the DCSS and CBEs and the 35% mandatory subcontracting where applicable.	Yes	None

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
40	Public Service Commission	In the areas where CBE services and goods were available, the agency attempted to use CBE companies. The agency was successful in most instances in using CBEs.	The agency will continue to request companies to register with DSLBD to become a CBE. In areas that the agency can, CBEs will be utilized to provide goods and services.	Yes	None
41	Real Property Assessments and Appeals, Board of	For FY 2013 the Real Property Tax Appeals Commission did not have any contracts for goods or services that we submitted via the PASS System. All of the agency's expenditures were made via the PCard. The total amount spent on the PCard was \$45,038.56. Of that amount, \$30,821.04 (69%) were CSBE Expenditures.	The agency intends to re-align its budget for FY 2013 in order to more appropriately reflect budgetary needs so that funds do not remain unspent, thus giving the appearance of unmet CSBE goals.	Yes	None
42	Retirement Board, D.C.	To the maximum extent possible, the Board will actively encourage and support the utilization and participation of certified LBEs, LSBDs, MBEs, WBEs in its contracting and procurement efforts. For purchases over \$100,000, "preference points" may apply to competitive proposals submitted by LBEs and/or LSBEs or prime contractors utilizing LBEs and or LSBEs as subcontractors. For purchases under \$100,000, quotes may be limited to LBEs and/or LSBEs when there are at least two LBEs or LSBEs capable of providing the goods and services.	Procurement staff will meet with DSLBD staff to (1) obtain assistance in areas such as acquisition planning and conducting market research; (2) develop and share "best practices" with DCRB program managers to increase awareness of DSLBD programs; and (3) review all program office requirements/statements of work to ensure they are structured in a manner that encourages LBEs/LSBEs to participate in DCRB procurements.	Yes. Although the D.C. Retirement Board did not meet its FY 2013 goal, the changes the agency plans for FY 2014 should enable the agency to meet its goal.	The D.C. Retirement Board should maintain consistent communication with DSLBD throughout the remainder of FY 2014.

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Procurement Supplemental Information Analysis

	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
43	Risk Management, D.C. Office of	In FY 13, ORM met its CBE goal by purchasing furniture for an office renovation as well as supplies, and services for the day-to-day administrative functions of the agency.	For FY 14, we will continue to strive to make as many purchases as possible with CBE businesses outside of the purchase orders previously awarded to other vendors.	Yes. Although the D.C. Office of Risk Management did not meet its FY 2013 goal, the changes the agency plans for FY 2014 should enable the agency to meet its goal.	The D.C. Office of Risk Management should maintain consistent communication with DSLBD throughout the remainder of FY 2014.
44	Secretary, Office of the	The Office of the Secretary made a resolute effort to re-examine potential CSBE candidates who cater after noticing that there were missed opportunities to spend with these vendors in the previous fiscal year particularly in the specialty item orders that were made. Much of the agency purchasing goes to ceremonial items as well in which it was impressed upon staff that qualified SBE vendors should be sought out for these items on the DSLBD database.	The agency will continue to seek out CSBE vendors in areas where the money was not spent with them in FY13 in an effort to continue our compliance. We will also encourage potential CSBE vendors to register online with the CSBE office so they may become recognized on the database searches. The OS has already sent out SBE application forms and SBE info to potential vendors.	Yes. Although the Office of the Secretary did not meet its FY 2013 goal, the changes the agency plans for FY 2014 should enable the agency to meet its goal.	The Office of the Secretary should maintain consistent communication with DSLBD throughout the remainder of FY 2014.

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Procurement Supplemental Information Analysis

	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
45	Small and Local Business Development, Department of	We utilized the CBE database as well as the Local Business Development Team and made purchases with our Certified Business Enterprises.	Re-evaluate processes in which the agency's programs outreach to CBE firms prior to procuring and make it a standard operating procedure for divisions and programs to place a request with the division of Local Business Development to research firms. This process will allow DSLBD to proactively support CSBEs on an ongoing basis. The Local Business Development team members will provide a listing prior to division representatives beginning their procurement process. We will take a closer look at promoting grantees use of CBEs as well.	Yes	None
46	Unified Communications, Office of	With every small purchase, particularly those within our control such as ones the agency intends to make via credit card, the OUC gives first preference to the CBE community. In making larger purchases, the agency defers to the Office of Contracting and Procurement with the understanding that CBEs receive preference points during the bid evaluation process.	The OUC achieved the goal this year. Nonetheless, the agency plans to continue to engage the CBE community to purchase goods and services whenever possible going forward.	No. The Office of Unified Communications did not meet its FY 2013 goal and the changes described for FY 2014 will not enable the agency to meet its SBE expenditure goal.	The Office of Unified Communications should meet with DSLBD to identify SBE opportunities and obtain assistance with outreach to SBEs.

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	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
47	University of the District of Columbia	The University's Office of Contracting and Procurement is committed to ensuring the success of this program by continually educating the University's community, equipping the purchase cardholders and end users with DSLBD's certified contractor listing and educating the cardholders and end users on how to utilize DSLBD's website. We also ensure that the University's contract specialist utilizes CBE when applicable. Monthly friendly reminders are sent to cardholders and end-users to remind them to utilize CBE whenever possible. The Contract Specialist uses commodity lookup on DSLBD's website to ensure that they utilize CBEs especially CSBEs first. The University, Office of Contracting and Procurement in conjunction with the Department of Small and Local Business Development held several training sessions to educate the University Community on the importance of utilizing the CBEs and how using these small business help generate revenue for the District of Columbia.	The University has implemented a certified business enterprises (CBE) awareness program to ensure that the purchase cardholders, end users, and contract specialist reach out to the certified business enterprises first when applicable. The University Office of Contracting and Procurement will continue to partner with the Department of Small and Local Business Development to educate the University Community regarding the CBE program.	Yes. Although the University of the District of Columbia did not meet its FY 2013 goal, the changes the agency plans for FY 2014 should enable the agency to meet its goal.	The University of the District of Columbia should maintain consistent communication with DSLBD throughout the remainder of FY 2014.
48	Youth Rehabilitation Services, Department of	DYRS develops a spending/procurement plan for all funds each fiscal year. DYRS identifies which funds are set aside for DSLBD vendors. DYRS works with OCP for all requisitions to ensure CSBE and CBE vendors are provided preference when applicable. The approved CSBE Goal is monitored on a regular, quarterly basis to ensure it is met. Adjustments are made to the spending/procurement plan to ensure that goals are achieved. DYRS exceeded its goal for FY13 with a total of 118.86%.	DYRS plans on using its similar methodology of monitoring the Approved CSBE Goal to ensure it is met by the end of FY14. DYRS maintains a close and healthy relationship with OCP to ensure DSLBD vendors are provided preference when goods and services are procured. DYRS will first source per the DC Supply Schedule and DSLBD database. Adjustments will be made on a quarterly basis to ensure compliance is upheld.	Yes	None

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Procurement Supplemental Information Analysis

	Agency	Activities the agency engaged in to achieve the FY 2013 SBE expenditure goal ²⁷	Changes the agency intends to make in FY 2014 to achieve the SBE expenditure goal	Will the agency's planned activities for FY 2014 enable it to achieve its SBE expenditure goal?	ODCA Recommendations
49	Zoning, Office of	Whenever possible, OZ made every effort to spend all expendable dollars with CSBEs before contracting with non-CSBE entities. Please note that 94% (\$479,498.20) of all expenditures were spent with CBEs.	In FY 2014, OZ maintains its pledge to spend all expendable dollars with CSBEs before contracting with non-CSBE entities. However, as the award of contracts is not solely at the discretion of OZ, but primarily at the discretion of the Office of Contracting and Procurement, OZ can request contracts be awarded to CSBEs, but ultimately the request may be denied. In an effort to ensure compliance this fiscal year, OZ has made a concerted effort to encourage non-CSBE awardees to become CSBE-certified.	Yes	None

Appendix V

Agencies That Did Not Submit Procurement Supplemental Information as of June 11, 2014

1. Advisory Neighborhood Commissions, Office of
2. Arts and Humanities, Commission on
3. Asian and Pacific Islander Affairs, Office on
4. Campaign Finance, Office of
5. Chief Medical Examiner, Office of the
6. Chief Technology Officer, Office of the
7. Consumer & Regulatory Affairs, Department of
8. Contracting and Procurement, Office of
9. Corrections, Department of
10. Disability Rights, Office of
11. Employee Appeals, Office of
12. Events DC
13. General Services, Department of
14. Homeland Security and Emergency Management Agency
15. Housing Finance Agency
16. Housing and Community Development, Department of
17. Human Services, Department of
18. Inspector General, Office of the
19. Lottery & Charitable Games Control Board, D.C.
20. Metropolitan Police Department

Appendix V

Agencies That Did Not Submit Procurement Supplemental Information as of June 11, 2014

21. Motor Vehicles, Department of
22. National Guard, D.C.
23. People's Counsel, Office of the
24. Public Charter Schools, D.C.
25. Public Employee Relations Board
26. Public Works, Department of
27. Special Education Transportation
28. State Superintendent of Education, Office of the
29. Tenant Advocate, Office of the
30. Transportation, Department of
31. Veterans' Affairs, Office of

Appendix VI

Agencies That Submitted FY 2014 Procurement Plans as of June 19, 2014

1. Administrative Hearings, Office of
2. Aging, Office on
3. Alcohol Beverage Regulation Administration
4. Asian and Pacific Islander Affairs, Office on
5. Attorney General for the District of Columbia, Office of the
6. Auditor, Office of the D.C.
7. Cable Television, Office of
8. Campaign Finance, Office of
9. Chief Medical Examiner, Office of the
10. Chief Technology Officer, Office of the
11. Child and Family Services Agency
12. City Administrator, Office of the
13. Contract Appeals Board
14. Corrections, Department of
15. Disability Services, Department on
16. Elections, Board of

Appendix VI

Agencies That Submitted FY 2014 Procurement Plans as of June 19, 2014

17. Employees' Compensation Fund
18. Employment Services, Department of
19. Environment, District Department of the
20. Ethics and Government Accountability, Board of
21. Events DC
22. Fire and Emergency Medical Services Department
23. General Services, Department of
24. Health and Human Services, Deputy Mayor for
25. Health Care Finance, Department of
26. Health, Department of
27. Human Resources, D.C. Department of
28. Human Rights, Office of
29. Human Services, Department of
30. Inspector General, Office of the
31. Insurance, Securities and Banking, Department of
32. Latino Affairs, Office on
33. Lottery & Charitable Games Control Board, D.C.

Appendix VI

Agencies That Submitted FY 2014 Procurement Plans as of June 19, 2014

34. Mayor, Executive Office of the
35. Medical Liability Captive Insurance Agency
36. Metropolitan Police Department
37. Motion Picture and Television Development, Office of
38. Motor Vehicles, Department of
39. National Guard, D.C.
40. Parks and Recreation, Department of
41. People's Counsel, Office of the
42. Planning and Economic Development, Office of the Deputy Mayor for
43. Planning, Office of
44. Police Complaints, Office of
45. Public Library, District of Columbia
46. Public Schools, D.C.
47. Public Service Commission
48. Public Works, Department of
49. Retirement Board, D.C.
50. Risk Management, D.C. Office of

Appendix VI

Agencies That Submitted FY 2014 Procurement Plans as of June 19, 2014

51. Secretary, Office of the
52. Small and Local Business Development, Department of
53. Special Education Transportation
54. State Superintendent of Education, Office of the
55. Transportation, Department of
56. Unified Communications, Office of
57. University of the District of Columbia
58. Veterans' Affairs, Office of
59. Youth Rehabilitation Services, Department of
60. Zoning, Office of

Appendix VII

Agencies That Submitted FY 2014 Procurement Plans as of June 19, 2014

1. Advisory Neighborhood Commissions, Office of
2. Arts and Humanities, Commission on
3. Chief Financial Officer, Office of the
4. Consumer & Regulatory Affairs, Department of
5. Contracting and Procurement, Office of
6. D.C. Taxicab Commission
7. Disability Rights, Office of
8. District of Columbia Public Charter School Board
9. Education, Deputy Mayor for
10. Employee Appeals, Office of
11. Finance and Resource Management, Office of
12. Homeland Security and Emergency Management Agency
13. Housing Finance Agency
14. Housing and Community Development, Department of
15. Mental Health, Department of
16. Public Charter Schools, D.C.
17. Public Employee Relations Board
18. Public Safety and Justice, Deputy Mayor for
19. Real Property Assessments and Appeals, Board of
20. Tenant Advocate, Office of the